

# WEDBUSH MORGAN SECURITIES

## Client Commitment Statement

**OUR CLIENTS COME FIRST. WE ARE IN BUSINESS TO PROVIDE VALUE-ADDED SERVICES TO EACH OF OUR CLIENTS. THE COLLEAGUES OF WEDBUSH MORGAN SECURITIES ARE THE RESOURCES THROUGH WHOM WE WILL PROVIDE THE FINEST SERVICES AVAILABLE IN THE SECURITIES INDUSTRY.**



### *For our Clients, we will:*

- Assist you in achieving success through superior investment ideas, products and services.
- Conduct our activities for the benefit of Clients first, with the highest standards of ethics and integrity which we can command.
- Consult with you continually in order to provide quality products and services that focus on your needs.
- Place major emphasis on innovation, creativity and performance.
- Maintain business continuity and a strong financial condition to protect your long-term interests.

### *Our colleagues will be expected and encouraged to:*

- Be excellent representatives of the firm to our Clients.
- Excel in their work through personal effort, training and development.
- Practice quality control in their work at all times.
- Be enthusiastic, energetic, self-motivated, creative and ambitious.
- Communicate effectively with our Clients, with each other and with management.
- Be flexible, willing to cross-train and to work as a team with mutual respect and to do whatever it takes to get the job done.
- Smile and maintain a friendly workplace.

### *Our firm's leadership will:*

- Create a positive and secure environment for Clients and colleagues.
- Emphasize the highest ethical and quality standards.
- Develop a teamwork environment where the good of the whole is paramount.
- Develop a true understanding of responsibility, authority, delegation and acceptance.
- Provide excellent stewardship of the company's business.

