

CLIENT COMMITMENT STATEMENT

Our Clients come first. We are in the business to provide value-added services to each of our Clients. The colleagues of Wedbush Securities are the resources through whom we will provide the finest services available in the securities industry. Our formula for success continues to be our commitment to an entrepreneurial culture, core values, strong operational controls and disciplined financial management.

For our Clients, we will:

- Assist you in achieving success through superior investment ideas, products and services.
- Conduct our activities for the benefit of Clients first, with the highest standards of ethics and integrity which we can command.
- Consult with you continually in order to provide quality products and services that focus on your needs.
- Place major emphasis on innovation, creativity and performance.
- Maintain business continuity and a strong financial condition to protect your long-term interests.

Our colleagues will be expected and encouraged to:

- Be excellent representatives of the firm to our Clients.
- Excel in their work through personal effort, training and development.
- Practice quality control in their work at all times.
- Be enthusiastic, energetic, self-motivated, creative and ambitious.
- Communicate effectively with our Clients, with each other and with management.
- Be flexible, willing to cross-train and to work as a team with mutual respect and to do whatever it takes to get the job done.
- Smile and maintain a friendly workplace.

Our firm's leadership will:

- Create a positive and secure environment for Clients and colleagues.
- Emphasize the highest ethical and quality standards.
- Develop a teamwork environment where the good of the whole is paramount.
- Develop a true understanding of responsibility, authority, delegation and acceptance.
- Provide excellent stewardship of the company's business.



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WEDBUSH