



JOEL CONATY

**VICE PRESIDENT,
AML COMPLIANCE OFFICER**

LOS ANGELES, CA

What is one thing that most people would be surprised to learn about you?

After I put my kids to bed, I often head out in to the San Gabriel Mountains for some nighttime mountain biking - with lights of course. It's amazing to be able to head out for miles on the trails in Los Angeles often without seeing another person.

When did you first become interested in working in finance?

The initial spark came from reading articles by Richard Thaler and Daniel Kahneman, that cross-discipline finance and economics with psychology, and that challenge assumptions of rational markets and agents.

In three sentences, tell me about your role at the firm.

As the Vice President of AML Compliance Office, I oversee the firm's AML Compliance Program, by developing policies and procedures to detect and deter money laundering, terrorist financing, and fraud. I create AML training programs for associates and manage audits, and regulatory examinations. I enjoy the balance between the compliance and investigative nature of the position.

What do you like to do in your spare time?

Simple pleasures like a good home-cooked dinner, and conversations with my wife, five year old son, and three year old twin girls. I love going to the local parks. We're on a bit of a National Park tour at the moment - Yellowstone and Zion are my favorites so far.

What famous person would you like to meet and why?

The author Nick Hornby (High Fidelity, Fever Pitch, New Yorker music reviewer) pens authentic characters and conversations about music, sports, and relationships. He'd just be a fun guy to go to a ball game with. I'd also like to meet Harry Markopolos, the whistleblower in the Bernie Madoff Ponzi scheme. It's not exactly a success story because his calls went unanswered, and Bernie only fell because he ran out of cash and credit. Nevertheless, his tactics, including his failures, would be a great learning experience.

If you could visit any place in the world, where would it be and why?

The depths of the ocean because they are largely unexplored, and yet way closer than Mars. Elon Musk should pivot towards colonizing the bottom of the Pacific - he could probably do it in a decade.