

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION

2016-09-15 11:56AM EDT
Status: Amendment - Submitted
Amendment: 1 of 1

FORM
X-17A-5

FOCUS REPORT
(FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT)
PART II 11

(Please read instructions before preparing Form)

This report is being filed pursuant to (Check Applicable Block(s)):

1) Rule 17a-5(a) 16 2) Rule 17a-5(b) 17 3) Rule 17a-11 18
4) Special request by designated examining authority 19 5) Other 26

NAME OF BROKER-DEALER

SEC. FILE NO.

WEBBUSH SECURITIES INC.

8-12987 14

ADDRESS OF PRINCIPAL PLACE OF BUSINESS (Do not use P.O. Box No.)

FIRM ID NO.

1000 WILSHIRE BLVD. SUITE 900, ATTN: BUSINESS CONDUCT
(No. and Street)

877 15

LOS ANGELES 21 CA 22 90017-2457 23

FOR PERIOD BEGINNING (MM/DD/YY)

07/01/16 24

AND ENDING (MM/DD/YY)

07/31/16 25

NAME AND TELEPHONE NUMBER OF PERSON TO CONTACT IN REGARD TO THIS REPORT (Area code) - Telephone No.

DANIEL BILLINGS, CONTROLLER

30 (213) 688-8000 31

NAME(S) OF SUBSIDIARIES OR AFFILIATES CONSOLIDATED IN THIS REPORT

OFFICIAL USE

32 33

34 35

36 37

38 39

DOES RESPONDENT CARRY ITS OWN CUSTOMER ACCOUNTS ? YES 40 NO 41

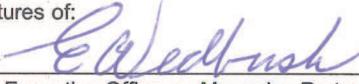
CHECK HERE IF RESPONDENT IS FILING AN AUDITED REPORT 42

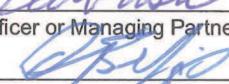
EXECUTION:

The registrant/broker or dealer submitting this Form and its attachments and the person(s) by whom it is executed represent hereby that all information contained therein is true, correct and complete. It is understood that all required items, statements, and schedules are considered integral parts of this Form and that the submission of any amendment represents that all unamended items, statements and schedules remain true, correct and complete as previously submitted.

Dated the 15th day of September 20 16

Manual Signatures of:

1) 
Principal Executive Officer or Managing Partner - Edward Wedbush

2) 
Principal Financial Officer or Partner - Daniel Billings

3) _____
Principal Operations Officer or Partner

ATTENTION - Intentional misstatements or omissions of facts constitute Federal
Criminal Violations. (See 18 U.S.C. 1001 and 15 U.S.C. 78f (a))

FINRA

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

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BROKER OR DEALER

WEBBUSH SECURITIES INC.

N 2

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STATEMENT OF FINANCIAL CONDITION

as of (MM/DD/YY) 07/31/16 99
SEC FILE NO. 8-12987 98
Consolidated 198
Unconsolidated X 199

ASSETS

Allowable

Nonallowable

Total

1. Cash	\$ 16,683,539	200		\$ 16,683,539	750
2. Cash segregated in compliance with federal and other regulations	605,828,705	210		605,828,705	760
3. Receivable from brokers or dealers and clearing organizations:					
A. Failed to deliver:					
1. Includable in "Formula for Reserve Requirements"	3,437,596	220			
2. Other	20,907,631	230		24,345,227	770
B. Securities borrowed:					
1. Includable in "Formula for Reserve Requirements"	391,375,573	240			
2. Other	1,703,388,450	250		2,094,764,023	780
C. Omnibus accounts:					
1. Includable in "Formula for Reserve Requirements"		260			
2. Other	1,678,436	270		1,678,436	790
D. Clearing Organizations:					
1. Includable in "Formula for Reserve Requirements"	6,961,378	280			
2. Other	423,208,861	290		430,170,239	800
E. Other	300	\$ 61,593	550	61,593	810
4. Receivables from customers:					
A. Securities accounts:					
1. Cash and fully secured accounts	437,210,576	310			
2. Partly secured accounts	3,038,015	320	976,159	560	
3. Unsecured Accounts			6,544,671	570	
B. Commodity accounts	414	330	982,894	580	
C. Allowance for doubtful accounts	(5,418,260)	335	(7,520,830)	590	435,813,639 820
5. Receivables from non-customers:					
A. Cash and fully secured accounts	107,738,261	340			
B. Partly secured and unsecured accounts		350	600	107,738,261	830
6. Securities purchased under agreements to resell	1,792,481,455	360	605	1,792,481,455	840
7. Securities and spot commodities owned, at market value:					
A. Banker's acceptances, certificates of deposit and commercial paper		370			
B. U.S. and Canadian government obligations	235,660,905	380			
C. State and municipal government obligations	27,450,049	390			
D. Corporate obligations	6	400			

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WEBBUSH SECURITIES INC.

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STATEMENT OF FINANCIAL CONDITION

ASSETS (continued)

	<u>Allowable</u>	<u>Nonallowable</u>	<u>Total</u>
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E. Stocks and warrants	\$ 7,797,554	410				
F. Options	252,172	420				
G. Arbitrage		422				
H. Other securities	840,090	424				
I. Spot Commodities		430				
J. Total inventory - includes encumbered securities of . . .	\$ 54,615,804	120	\$ 272,000,776	850		
8. Securities owned not readily marketable:						
A. At Cost	\$ 130	440	\$ 12,285,980	610	12,285,980	860
9. Other investments not readily marketable:						
. . .	\$ 140					
B. At estimated fair value		450	85,816	620	85,816	870
10. Securities borrowed under subordination agreements and partners' individual and capital securities accounts, at market value:						
A. Exempted securities	\$ 150					
B. Other	\$ 160	460	630		880	
11. Secured demand notes- market value of collateral:						
A. Exempted securities	\$ 170					
B. Other	\$ 180	470	640		890	
12. Memberships in exchanges:						
A. Owned, at market value	\$ 190					
B. Owned at cost			2,906,775	650		
C. Contributed for use of company, at market value			660		2,906,775	900
13. Investment in and receivables from affiliates, subsidiaries and associated partnerships		480	7,400,097	670	7,400,097	910
14. Property, furniture, equipment, leasehold improvements and rights under lease agreements:						
At cost (net of accumulated depreciation and amortization)		490	728,765	680	728,765	920
15. Other Assets:						
A. Dividends and interest receivable	9,072,383	500	35,600	690		
B. Free shipments		510		700		
C. Loans and advances		520		710		
D. Miscellaneous	4,167,021	530	35,891,281	720		
E. Collateral accepted under SFAS 140		536				
F. SPE Assets		537			49,166,285	930
16. TOTAL ASSETS	\$ 5,793,760,810	540	\$ 60,378,801	740	\$ 5,854,139,611	940

OMIT PENNIES

BROKER OR DEALER
WEBBUSH SECURITIES INC.

as of 07/31/16

STATEMENT OF FINANCIAL CONDITION
LIABILITIES AND OWNERSHIP EQUITY

Liabilities	A.I. Liabilities *	Non-A.I. Liabilities *	Total
17. Bank loans payable:			
A. Includable in "Formula for Reserve Requirements"	1030	1240	17,500,000 1460
B. Other	1040	1250	57,000,000 1470
18. Securities sold under repurchase agreements.		1260	254,526,735 1480
19. Payable to brokers or dealers and clearing organizations:			
A. Failed to receive:			
1. Includable in "Formula for Reserve Requirements"	1050	1270	3,790,023 1490
2. Other	1060	1280	8,186,541 1500
B. Securities loaned:			
1. Includable in "Formula for Reserve Requirements"	1070		48,230,232 1510
2. Other	1080	1290	1,675,506,256 1520
C. Omnibus accounts:			
1. Includable in "Formula for Reserve Requirements"	1090		1530
2. Other	1095	1300	1540
D. Clearing organizations:			
1. Includable in "Formula for Reserve Requirements"	1100		1,019,465 1550
2. Other	1105	1310	755,261 1560
E. Other	1110	1320	2,790,136 1570
20. Payable to customers:			
A. Securities accounts - including free credits of	1,598,731,559 950	1120	2,562,999,549 1580
B. Commodities accounts		1130	698,294,733 1590
21. Payable to non customers:			
A. Securities accounts	1140	1340	183,800,874 1600
B. Commodities accounts	1150	1350	2,856,509 1610
22. Securities sold not yet purchased at market value - including arbitrage of	960	1360	13,257,053 1620
23. Accounts payable and accrued liabilities and expenses:			
A. Drafts payable	1160		6,362,121 1630
B. Accounts payable	1170		18,174,575 1640
C. Income taxes payable	1180		0 1650
D. Deferred income taxes		1370	1660
E. Accrued expenses and other liabilities	1190		47,398,599 1670
F. Other	1200	1380	1680
G. Obligation to return securities		1386	1686
H. SPE Liabilities		1387	1687

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*Brokers or Dealers electing the alternative net capital requirement method need not complete these columns.

BROKER OR DEALER

WEBBUSH SECURITIES INC.

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STATEMENT OF FINANCIAL CONDITION
LIABILITIES AND OWNERSHIP EQUITY (continued)

	<u>Liabilities</u>	<u>A.I.</u> <u>Liabilities</u>	*	<u>Non-A.I.</u> <u>Liabilities</u>	*	<u>Total</u>
24. Notes and mortgages payable:						
A. Unsecured	\$ <u>1210</u>			\$ <u>1690</u>		<u>1690</u>
B. Secured	\$ <u>1211</u>	\$ <u>1390</u>				<u>1700</u>
25. Liabilities subordinated to claims of general creditors:						
A. Cash borrowings:				\$ <u>1400</u>		<u>1710</u>
1. from outsiders \$ <u>0970</u>						
2. Includes equity subordination(15c3-1(d)) of	\$ <u>0980</u>					
B. Securities borrowings, at market value:				\$ <u>1410</u>		<u>1720</u>
from outsiders \$ <u>0990</u>						
C. Pursuant to secured demand note collateral agreements:				\$ <u>1420</u>		<u>1730</u>
1. from outsiders \$ <u>1000</u>						
2. Includes equity subordination(15c3-1(d)) of	\$ <u>1010</u>					
D. Exchange memberships contributed for use of company, at market value				\$ <u>1430</u>		<u>1740</u>
E. Accounts and other borrowings not qualified for net capital purposes				\$ <u>1220</u>	\$ <u>1440</u>	<u>1750</u>
26. TOTAL LIABILITIES	\$ <u>1230</u>	\$ <u>1450</u>	\$ <u>1450</u>	\$ <u>5,602,448,662</u>		<u>1760</u>

Ownership Equity

27. Sole proprietorship				\$ <u>1770</u>		
28. Partnership - limited partners	\$ <u>1020</u>					<u>1780</u>
29. Corporation:						
A. Preferred stock						<u>1791</u>
B. Common stock						<u>700,000</u>
C. Additional paid- in capital						<u>12,068,874</u>
D. Retained Earnings						<u>240,806,937</u>
E. Total						<u>253,575,811</u>
F. Less capital stock in treasury				(<u>1,884,862</u>)
30. TOTAL OWNERSHIP EQUITY				\$ <u>251,690,949</u>		<u>1800</u>
31. TOTAL LIABILITIES AND OWNERSHIP EQUITY				\$ <u>5,854,139,611</u>		<u>1810</u>

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BROKER OR DEALER

WEBBUSH SECURITIES INC.

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COMPUTATION OF NET CAPITAL

1. Total ownership equity (from Statement of Financial Condition - Item 1800)	\$ 251,690,949	3480
2. Deduct: Ownership equity not allowable for Net Capital	()	3490
3. Total ownership equity qualified for Net Capital	251,690,949	3500
4. Add:		
A. Liabilities subordinated to claims of general creditors allowable in computation of net capital		3520
B. Other (deductions) or allowable credits (List)	5,418,260	3525
5. Total capital and allowable subordinated liabilities	\$ 257,109,209	3530
6. Deductions and/or charges:		
A. Total non-allowable assets from		
Statement of Financial Condition (Notes B and C)	\$ 60,378,801	3540
1. Additional charges for customers' and non-customers' security accounts	27,872,716	3550
2. Additional charges for customers' and non-customers' commodity accounts		3560
B. Aged fail-to-deliver:	87,641	3570
1. number of items	120	3450
C. Aged short security differences-less		
reserve of	\$ 3460	3580
number of items	3470	
D. Secured demand note deficiency		3590
E. Commodity futures contracts and spot commodities - proprietary capital charges		3600
F. Other deductions and/or charges	5,869,355	3610
G. Deductions for accounts carried under Rule 15c3-1(a)(6), (a)(7) and (c)(2)(x)		3615
H. Total deductions and/or charges	(94,208,513)	3620
7. Other additions and/or allowable credits (List)		3630
8. Net Capital before haircuts on securities positions	\$ 162,900,696	3640
9. Haircuts on securities: (computed, where applicable, pursuant to 15c3-1(f)):		
A. Contractual securities commitments	\$ 3660	
B. Subordinated securities borrowings		3670
C. Trading and investment securities:		
1. Bankers' acceptances, certificates of deposit and commercial paper		3680
2. U.S. and Canadian government obligations	5,487,502	3690
3. State and municipal government obligations	2,116,471	3700
4. Corporate obligations		3710
5. Stocks and warrants	1,125,544	3720
6. Options	102,070	3730
7. Arbitrage		3732
8. Other securities	16,802	3734
D. Undue concentration		3650
E. Other (List)	(8,848,389)	3740
10. Net Capital	\$ 154,052,307	3750

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COMPUTATION OF BASIC NET CAPITAL REQUIREMENT

Part A

11. Minimal net capital required (6-2/3% of line 19)	\$	3756
12. Minimum dollar net capital requirement of reporting broker or dealer and minimum net capital requirement of subsidiaries computed in accordance with Note (A)	\$	3758
13. Net capital requirement (greater of line 11 or 12)	\$	3760
14. Excess net capital (line 10 less 13)	\$	3770
15. Net capital less greater of 10% of line 19 or 120% of line 12	\$	3780

COMPUTATION OF AGGREGATE INDEBTEDNESS

16. Total A.I. liabilities from Statement of Financial Condition	\$	3790
17. Add:		
A. Drafts for immediate credit	\$	3800
B. Market value of securities borrowed for which no equivalent value is paid or credited	\$	3810
C. Other unrecorded amounts (List)	\$	3820
18. Deduct: Adjustment based on deposits in Special Reserve Bank Accounts(15c3-1(c)(1)(vii))	\$	3838
19. Total aggregate indebtedness	\$	3840
20. Percentage of aggregate indebtedness to net capital (line 19 divided by line 10)	%	3850
21. Percentage of aggregate indebtedness to net capital <u>after</u> anticipated capital withdrawals (line 19 divided by line 10 less item 4880 page 12)	%	3853

COMPUTATION OF ALTERNATE NET CAPITAL REQUIREMENT

Part B

22. 2% of combined aggregate debit items as shown in Formula for Reserve Requirements pursuant to Rule 15c3-3 prepared as of the date of net capital computation including both brokers or dealers and consolidated subsidiaries' debits	\$	37,166,078	3870
23. Minimum dollar net capital requirement of reporting broker or dealer and minimum net capital requirement of subsidiaries computed in accordance with Note(A)	\$	20,000,000	3880
24. Net capital requirement (greater of line 22 or 23)	\$	37,166,078	3760
25. Excess net capital (line 10 less 24)	\$	116,886,229	3910
26. Percentage of Net Capital to Aggregate Debits (line 10 divided by line 18 page 8)	%	17.91	3851
27. Percentage of Net Capital, <u>after</u> anticipated capital withdrawals, to Aggregate Debits item 10 less Item 4880 page 12 divided by line 17 page 8)	%	17.91	3854
28. Net capital in excess of the greater of: 5% of combined aggregate debit items or 120% of minimum net capital requirement	\$	111,053,250	3920

OTHER RATIOS

Part C

29. Percentage of debt to debt-equity total computed in accordance with Rule 15c3-1 (d)	%	0.00	3860
30. Options deductions/Net Capital ratio (1000% test) total deductions exclusive of liquidating equity under Rule 15c3-1(a)(6), (a)(7) and (c)(2)(x) divided by Net Capital	%		3852

NOTES:

(A) The minimum net capital requirement should be computed by adding the minimum dollar net capital requirement of the reporting broker dealer and, for each subsidiary to be consolidated, the greater of:

1. Minimum dollar net capital requirement, or
2. 6-2/3% of aggregate indebtedness or 2% of aggregate debits if alternate method is used.

(B) Do not deduct the value of securities borrowed under subordination agreements or secured demand notes covered by subordination agreements not in satisfactory form and the market values of memberships in exchanges contributed for use of company (contra to item 1740) and partners' securities which were included in non-allowable assets.

(C) For reports filed pursuant to paragraph (d) of Rule 17a-5, respondent should provide a list of material non-allowable assets.

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BROKER OR DEALER
 WEBBUSH SECURITIES INC.

as of 07/31/16

**STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION
 FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES**

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance			
A. Cash	\$	652,739,319	[7010]
B. Securities (at market)		390,283,643	[7020]
2. Net unrealized profit (loss) in open futures contracts traded on a contract market		22,664,622	[7030]
3. Exchange traded options			
A. Add market value of open option contracts purchased on a contract market		392,891,317	[7032]
B. Deduct market value of open option contracts granted (sold) on a contract market	(392,286,101)	[7033]
4. Net equity (deficit) (add lines 1, 2, and 3)		1,066,292,800	[7040]
5. Accounts liquidating to a deficit and accounts with debit balances			
- gross amount		949,457	[7045]
Less: amount offset by customer owned securities	(10,710)	[7047]
6. Amount required to be segregated (add lines 4 and 5)	\$	938,747	[7050]
		1,067,231,547	[7060]

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts			
A. Cash	\$	289,450,782	[7070]
B. Securities representing investments of customers' funds (at market)			[7080]
C. Securities held for particular customers or option customers in lieu of cash (at market)		493,010	[7090]
8. Margins on deposit with derivatives clearing organizations of contract markets			
A. Cash	\$	340,510,771	[7100]
B. Securities representing investments of customers' funds (at market)		66,186,727	[7110]
C. Securities held for particular customers or option customers in lieu of cash (at market)		385,235,791	[7120]
9. Net settlement from (to) derivatives clearing organizations of contract markets		(2,409,915)	[7130]
10. Exchange traded options			
A. Value of open long option contracts		392,891,317	[7132]
B. Value of open short option contracts	(392,286,101)	[7133]
11. Net equities with other FCMs			
A. Net liquidating equity			[7140]
B. Securities representing investments of customers' funds (at market)			[7160]
C. Securities held for particular customers or option customers in lieu of cash (at market)			[7170]
12. Segregated funds on hand (describe: _____)		4,554,842	[7150]
13. Total amount in segregation (add lines 7 through 12)		1,084,627,224	[7180]
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)	\$	17,395,677	[7190]
15. Management Target Amount for Excess funds in segregation	\$	13,000,000	[7194]
16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess	\$	4,395,677	[7198]

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STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION
FOR CUSTOMERS' DEALER OPTIONS ACCOUNTS

1. Amount required to be segregated in accordance
with Commission regulation 32.6 \$ 7200

2. Funds in segregated accounts
A. Cash \$ 7210
B. Securities (at market) _____
7220
C. Total _____
7230

3. Excess (deficiency) funds in segregation
(subtract line 2.C from line 1) \$ 7240

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**STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS
PURSUANT TO COMMISSION REGULATION 30.7**

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government
or a rule of a self-regulatory organization authorized thereunder

\$ _____ **7305**

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers

A. Cash \$ _____ **22,674,989** **7315**
B. Securities (at market) _____ **7317**

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade

_____ **(913,328)** **7325**

3. Exchange traded options

A. Market value of open option contracts purchased on a foreign board of trade _____ **595** **7335**
B. Market value of open contracts granted (sold) on a foreign board of trade _____ **7337**

4. Net equity (deficit) (add lines 1. 2. and 3.)

\$ _____ **21,762,256** **7345**

5. Accounts liquidating to a deficit and accounts with

debit balances - gross amount \$ _____ **2,039** **7351**
Less: amount offset by customer owned securities (_____) **7352** _____ **2,039** **7354**

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) \$ _____ **21,764,295** **7355**

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. \$ _____ **21,764,295** **7360**

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STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS
PURSUANT TO COMMISSION REGULATION 30.7

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks				
A. Banks located in the United States	\$ 12,598,295	7500		
B. Other banks qualified under Regulation 30.7	2,546,794	7520	\$ 15,145,089	7530
Name(s):	7510			
2. Securities				
A. In safekeeping with banks located in the United States	\$ 7540			
B. In safekeeping with other banks qualified under Regulation 30.7				
Name(s):	7550	7560		7570
3. Equities with registered futures commission merchants				
A. Cash	\$ 7580			
B. Securities	7590			
C. Unrealized gain (loss) on open futures contracts	7600			
D. Value of long option contracts	7610			
E. Value of short option contracts	(7615)			7620
4. Amounts held by clearing organizations of foreign boards of trade				
Name(s):	7630			
A. Cash	\$ 7,799,002	7640		
B. Securities	7650			
C. Amount due to (from) clearing organizations - daily variation	17,684	7660		
D. Value of long option contracts	7670			
E. Value of short option contracts	(7675)			7,816,686
5. Amounts held by members of foreign boards of trade				
Name(s):	7690			
A. Cash	\$ 1,012,212	7700		
B. Securities	7710			
C. Unrealized gain (loss) on open futures contracts	362,736	7720		
D. Value of long option contracts	595	7730		
E. Value of short option contracts	(7735)			1,375,543
6. Amounts with other depositories designated by a foreign board of trade				
Name(s):	7750			7760
7. Segregated funds on hand (describe:)				7765
8. Total funds in separate section 30.7 accounts	\$ 24,337,318	7770		
9. Excess (deficiency) set Aside Funds for Secured Amount (subtract Line 7 Secured Statement page T10-3 from Line 8)	\$ 2,573,023	7380		
10. Management Target Amount for Excess funds in separate section 30.7 accounts	\$ 1,000,000	7780		
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target	\$ 1,573,023	7785		

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BROKER OR DEALER
WEBBUSH SECURITIES INC.

as of 07/31/16

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance	
A. Cash	\$ 8500
B. Securities (at market)	_____ 8510
2. Net unrealized profit (loss) in open cleared swaps	_____ 8520
3. Cleared swaps options	
A. Market value of open cleared swaps option contracts purchased	_____ 8530
B. Market value of open cleared swaps option contracts granted (sold)	(_____)8540
4. Net equity (deficit) (add lines 1, 2, and 3)	\$ 8550
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$ 8560
Less: amount offset by customer owned securities	(_____)8570
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)	\$ 8590

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks	
A. Cash	\$ 8600
B. Securities representing investments of cleared swaps customers' funds (at market)	_____ 8610
C. Securities held for particular cleared swaps customers in lieu of cash (at market)	_____ 8620
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts	
A. Cash	_____ 8630
B. Securities representing investments of cleared swaps customers' funds (at market)	_____ 8640
C. Securities held for particular cleared swaps customers in lieu of cash (at market)	_____ 8650
9. Net settlement from (to) derivatives clearing organizations	_____ 8660
10. Cleared swaps options	
A. Value of open cleared swaps long option contracts	_____ 8670
B. Value of open cleared swaps short option contracts	(_____)8680
11. Net equities with other FCMs	
A. Net liquidating equity	_____ 8690
B. Securities representing investments of cleared swaps customers' funds (at market)	_____ 8700
C. Securities held for particular cleared swaps customers in lieu of cash (at market)	_____ 8710
12. Cleared swaps customer funds on hand (describe: _____)	_____ 8715
13. Total amount in cleared swaps customer segregation (add lines 7 through 12)	\$ 8720
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)	\$ 8730
15. Management Target Amount for Excess funds in cleared swaps segregated accounts	\$ 8760
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management Target Excess	\$ 8770

**SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II**

2016-09-15 11:56AM EDT
Status: Amendment - Submitted
Amendment: 1 of 1

BROKER OR DEALER
WEBBUSH SECURITIES INC.

as of 07/31/16

COMPUTATION OF CFTC MINIMUM NET CAPITAL REQUIREMENT

Net Capital required

A. Risk-Based Requirement

i. Amount of Customer Risk

Maintenance Margin requirement 464,402,829 7415

ii. Enter 8% of line A.i

37,152,226 7425

iii. Amount of Non-Customer Risk

Maintenance Margin requirement 173,147 7435

iv. Enter 8% of line A.iii

13,852 7445

v. Add lines A.ii and A.iv.

37,166,078 7455

B. Minimum Dollar Amount Requirement

20,000,000 7465

C. Other NFA Requirement

18,414,761 7475

D. Minimum CFTC Net Capital Requirement.

Enter the greatest of lines A, B or C

37,166,078 7490

Note: If amount on Line D (7490) is greater than minimum net capital requirement computed on Line 3760 (Page 6) then enter this greater amount on Line 3760. The greater of the amount required by SEC or CFTC is the minimum net capital requirement.

CFTC Early Warning Level

40,882,686 7495

Note: If the Minimum Net Capital Requirement computed on Line D (7490) is:

- (1) Risk Based Requirement, enter 110% of Line A (7455), or
- (2) Minimum Dollar Requirement of \$1,000,000 enter 150% of Line B (7465), or
- (3) Minimum Dollar Requirement of \$20,000,000 for FCMs offering or engaging in retail forex transactions or Retail Foreign Exchange Dealers ("RFED"), enter 110% of Line B (7465), or
- (4) Other NFA Requirement of \$20,000,000 plus five percent of the FCM's offering or engaging in retail forex transactions or Retail Foreign Exchange Dealers ("RFED") total retail forex obligations in excess of \$10,000,000 enter 110% of Line C (7475), or
- (5) Other NFA Requirement, enter 150% of Line C (7475).