

FOCUS REPORT  
(FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT)  
PART II 11

(Please read instructions before preparing Form)

This report is being filed pursuant to (Check Applicable Block(s)):

1) Rule 17a-5(a) 

16

2) Rule 17a-5(b) 

17

3) Rule 17a-11 

18

4) Special request by designated examining authority 

19

5) Other 

26

## NAME OF BROKER-DEALER

## SEC. FILE NO.

WEBBUSH SECURITIES INC. 

13

8-12987

14

ADDRESS OF PRINCIPAL PLACE OF BUSINESS (Do not use P.O. Box No.) 

FIRM ID NO.

1000 WILSHIRE BLVD. SUITE 900, ATTN: BUSINESS CONDUCT  
(No. and Street) 

20

877

15

FOR PERIOD BEGINNING (MM/DD/YY)

LOS ANGELES 

21

CA 

22

90017-2457 

23

04/01/16

24

AND ENDING (MM/DD/YY)

06/30/16

25

## NAME AND TELEPHONE NUMBER OF PERSON TO CONTACT IN REGARD TO THIS REPORT (Area code) - Telephone No.

DANIEL BILLINGS, CONTROLLER 

30

(213) 688-8000

31

NAME(S) OF SUBSIDIARIES OR AFFILIATES CONSOLIDATED IN THIS REPORT 

OFFICIAL USE

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DOES RESPONDENT CARRY ITS OWN CUSTOMER ACCOUNTS ? YES  NO  40CHECK HERE IF RESPONDENT IS FILING AN AUDITED REPORT  42

## EXECUTION:

The registrant/broker or dealer submitting this Form and its attachments and the person(s) by whom it is executed represent hereby that all information contained therein is true, correct and complete. It is understood that all required items, statements, and schedules are considered integral parts of this Form and that the submission of any amendment represents that all unamended items, statements and schedules remain true, correct and complete as previously submitted.

Dated the 7th day of September 20 16

Manual Signatures of:

1)

Principal Executive Officer or Managing Partner - Edward Wedbush

2)

Principal Financial Officer or Partner - Daniel Billings

3)

Principal Operations Officer or Partner

ATTENTION - Intentional misstatements or omissions of facts constitute Federal  
Criminal Violations. (See 18 U.S.C. 1001 and 15 U.S.C. 78:f (a) )

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FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II

2016-09-07 07:12PM EDT  
Status: Amendment - Submitted  
Amendment: 1 of 1

BROKER OR DEALER

WEBBUSH SECURITIES INC.

N 2

100

STATEMENT OF FINANCIAL CONDITION

as of (MM/DD/YY) 06/30/16 99  
SEC FILE NO. 8-12987 98  
Consolidated  198  
Unconsolidated  X 199

ASSETS

Allowable

Nonallowable

Total

1. Cash .....	\$ 13,645,210	200		\$ 13,645,210	750
2. Cash segregated in compliance with federal and other regulations .....	693,750,735	210		693,750,735	760
3. Receivable from brokers or dealers and clearing organizations:					
A. Failed to deliver:					
1. Includable in "Formula for Reserve Requirements" .....	1,900,672	220			
2. Other .....	16,538,558	230		18,439,230	770
B. Securities borrowed:					
1. Includable in "Formula for Reserve Requirements" .....	345,320,837	240			
2. Other .....	2,436,609,450	250		2,781,930,287	780
C. Omnibus accounts:					
1. Includable in "Formula for Reserve Requirements" .....		260			
2. Other .....	3,115,396	270		3,115,396	790
D. Clearing Organizations:					
1. Includable in "Formula for Reserve Requirements" .....	3,057,559	280			
2. Other .....	363,968,838	290		367,026,397	800
E. Other .....	300	\$ 73,938	550	73,938	810
4. Receivables from customers:					
A. Securities accounts:					
1. Cash and fully secured accounts .....	462,055,007	310			
2. Partly secured accounts .....	3,155,899	320	985,353	560	
3. Unsecured Accounts			6,530,698	570	
B. Commodity accounts .....	37,431	330	899,365	580	
C. Allowance for doubtful accounts .....	( 5,418,260 )	335	( 7,516,051 )	590	460,729,442 820
5. Receivables from non-customers:					
A. Cash and fully secured accounts .....	122,665,389	340			
B. Partly secured and unsecured accounts .....		350	600	122,665,389	830
6. Securities purchased under agreements to resell .....	1,635,007,012	360	605	1,635,007,012	840
7. Securities and spot commodities owned, at market value:					
A. Banker's acceptances, certificates of deposit and commercial paper .....		370			
B. U.S. and Canadian government obligations .....	228,445,945	380			
C. State and municipal government obligations .....	47,041,155	390			
D. Corporate obligations .....	22,831	400			

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WEBBUSH SECURITIES INC.

as of 06/30/16

STATEMENT OF FINANCIAL CONDITION

ASSETS (continued)

	<u>Allowable</u>	<u>Nonallowable</u>	<u>Total</u>
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E. Stocks and warrants .....	\$ 11,180,318	[410]	
F. Options .....	270,318	[420]	
G. Arbitrage .....		[422]	
H. Other securities .....	115,978,610	[424]	
I. Spot Commodities .....		[430]	
J. Total inventory - includes encumbered securities of . . .	\$ 48,539,660	[120]	\$ 402,939,177 [850]
8. Securities owned not readily marketable:			
A. At Cost .....	\$ [130]	[440]	\$ 8,893,812 [610] 8,893,812 [860]
9. Other investments not readily marketable:			
..... \$ [140]			
B. At estimated fair value .....		[450]	89,982 [620] 89,982 [870]
10. Securities borrowed under subordination agreements and partners' individual and capital securities accounts, at market value:			
A. Exempted securities .....	\$ [150]		
B. Other .....	\$ [160]	[460]	[630] [880]
11. Secured demand notes- market value of collateral:			
A. Exempted securities .....	\$ [170]		
B. Other .....	\$ [180]	[470]	[640] [890]
12. Memberships in exchanges:			
A. Owned, at market value .....	\$ [190]		
B. Owned at cost .....			2,906,775 [650]
C. Contributed for use of company, at market value .....			[660] 2,906,775 [900]
13. Investment in and receivables from affiliates, subsidiaries and associated partnerships .....		[480]	7,309,319 [670] 7,309,319 [910]
14. Property, furniture, equipment, leasehold improvements and rights under lease agreements:			
At cost (net of accumulated depreciation and amortization) .....		[490]	764,385 [680] 764,385 [920]
15. Other Assets:			
A. Dividends and interest receivable .....	10,113,124	[500]	220,353 [690]
B. Free shipments .....		[510]	[700]
C. Loans and advances .....		[520]	[710]
D. Miscellaneous .....	10,331,214	[530]	35,427,308 [720]
E. Collateral accepted under SFAS 140 .....		[536]	
F. SPE Assets .....		[537]	56,091,999 [930]
16. TOTAL ASSETS .....	\$ 6,518,793,248	[540]	\$ 56,585,237 [740] \$ 6,575,378,485 [940]

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BROKER OR DEALER  
WEBBUSH SECURITIES INC.

as of 06/30/16

STATEMENT OF FINANCIAL CONDITION  
LIABILITIES AND OWNERSHIP EQUITY

Liabilities	A.I. Liabilities *	Non-A.I. Liabilities *	Total
17. Bank loans payable:			
A. Includable in "Formula for Reserve Requirements" .....	1030	1240	64,000,000 1460
B. Other .....	1040	1250	59,000,000 1470
18. Securities sold under repurchase agreements.		1260	108,275,750 1480
19. Payable to brokers or dealers and clearing organizations:			
A. Failed to receive:			
1. Includable in "Formula for Reserve Requirements" .....	1050	1270	5,823,591 1490
2. Other .....	1060	1280	10,350,667 1500
B. Securities loaned:			
1. Includable in "Formula for Reserve Requirements" .....	1070		57,288,127 1510
2. Other .....	1080	1290	2,419,328,375 1520
C. Omnibus accounts:			
1. Includable in "Formula for Reserve Requirements" .....	1090		1530
2. Other .....	1095	1300	1540
D. Clearing organizations:			
1. Includable in "Formula for Reserve Requirements" .....	1100		5,491,252 1550
2. Other .....	1105	1310	971,878 1560
E. Other .....	1110	1320	2,445,481 1570
20. Payable to customers:			
A. Securities accounts - including free credits of .....	1,635,906,007 950	1120	2,474,242,620 1580
B. Commodities accounts .....		1130	832,352,528 1590
21. Payable to non customers: .....			
A. Securities accounts .....	1140	1340	184,789,689 1600
B. Commodities accounts .....	1150	1350	3,623,685 1610
22. Securities sold not yet purchased at market value - including arbitrage of .....	960	1360	11,212,154 1620
23. Accounts payable and accrued liabilities and expenses: .....			
A. Drafts payable .....	1160		11,146,222 1630
B. Accounts payable .....	1170		22,324,895 1640
C. Income taxes payable .....	1180		0 1650
D. Deferred income taxes .....		1370	1660
E. Accrued expenses and other liabilities .....	1190		51,524,670 1670
F. Other .....	1200	1380	1680
G. Obligation to return securities .....		1386	1686
H. SPE Liabilities .....		1387	1687

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\*Brokers or Dealers electing the alternative net capital requirement method need not complete these columns.

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WEBBUSH SECURITIES INC.

as of 06/30/16

STATEMENT OF FINANCIAL CONDITION  
LIABILITIES AND OWNERSHIP EQUITY (continued)

	<u>Liabilities</u>	<u>A.I.</u> <u>Liabilities</u> *	<u>Non-A.I.</u> <u>Liabilities</u> *	<u>Total</u>
24. Notes and mortgages payable:				
A. Unsecured .....	\$ <span style="border: 1px solid black; padding: 2px;">1210</span>		\$ <span style="border: 1px solid black; padding: 2px;">1690</span>	<span style="border: 1px solid black; padding: 2px;">1690</span>
B. Secured .....	\$ <span style="border: 1px solid black; padding: 2px;">1211</span>	\$ <span style="border: 1px solid black; padding: 2px;">1390</span>		<span style="border: 1px solid black; padding: 2px;">1700</span>
25. Liabilities subordinated to claims of general creditors:				
A. Cash borrowings: .....			\$ <span style="border: 1px solid black; padding: 2px;">1400</span>	<span style="border: 1px solid black; padding: 2px;">1710</span>
1. from outsiders \$ <span style="border: 1px solid black; padding: 2px;">0970</span>				
2. Includes equity subordination(15c3-1(d)) of .....	\$ <span style="border: 1px solid black; padding: 2px;">0980</span>			
B. Securities borrowings, at market value: .....		\$ <span style="border: 1px solid black; padding: 2px;">1410</span>		<span style="border: 1px solid black; padding: 2px;">1720</span>
from outsiders \$ <span style="border: 1px solid black; padding: 2px;">0990</span>				
C. Pursuant to secured demand note collateral agreements: .....			\$ <span style="border: 1px solid black; padding: 2px;">1420</span>	<span style="border: 1px solid black; padding: 2px;">1730</span>
1. from outsiders \$ <span style="border: 1px solid black; padding: 2px;">1000</span>				
2. Includes equity subordination(15c3-1(d)) of .....	\$ <span style="border: 1px solid black; padding: 2px;">1010</span>			
D. Exchange memberships contributed for use of company, at market value .....			\$ <span style="border: 1px solid black; padding: 2px;">1430</span>	<span style="border: 1px solid black; padding: 2px;">1740</span>
E. Accounts and other borrowings not qualified for net capital purposes .....		\$ <span style="border: 1px solid black; padding: 2px;">1220</span>	\$ <span style="border: 1px solid black; padding: 2px;">1440</span>	<span style="border: 1px solid black; padding: 2px;">1750</span>
26. TOTAL LIABILITIES .....	\$ <span style="border: 1px solid black; padding: 2px;">1230</span>	\$ <span style="border: 1px solid black; padding: 2px;">1450</span>	\$ <span style="border: 1px solid black; padding: 2px;">6,324,191,584</span>	<span style="border: 1px solid black; padding: 2px;">1760</span>

Ownership Equity

27. Sole proprietorship .....		\$ <span style="border: 1px solid black; padding: 2px;">1770</span>
28. Partnership - limited partners .....	\$ <span style="border: 1px solid black; padding: 2px;">1020</span>	<span style="border: 1px solid black; padding: 2px;">1780</span>
29. Corporation:		
A. Preferred stock .....		<span style="border: 1px solid black; padding: 2px;">1791</span>
B. Common stock .....		<span style="border: 1px solid black; padding: 2px;">700,000</span>
C. Additional paid- in capital .....		<span style="border: 1px solid black; padding: 2px;">12,040,602</span>
D. Retained Earnings .....		<span style="border: 1px solid black; padding: 2px;">240,331,161</span>
E. Total .....		<span style="border: 1px solid black; padding: 2px;">253,071,763</span>
F. Less capital stock in treasury .....	( \$ <span style="border: 1px solid black; padding: 2px;">1,884,862</span> )	<span style="border: 1px solid black; padding: 2px;">1796</span>
30. TOTAL OWNERSHIP EQUITY .....	\$ <span style="border: 1px solid black; padding: 2px;">251,186,901</span>	<span style="border: 1px solid black; padding: 2px;">1800</span>
31. TOTAL LIABILITIES AND OWNERSHIP EQUITY .....	\$ <span style="border: 1px solid black; padding: 2px;">6,575,378,485</span>	<span style="border: 1px solid black; padding: 2px;">1810</span>

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BROKER OR DEALER

WEBBUSH SECURITIES INC.

as of 06/30/16

## COMPUTATION OF NET CAPITAL

1. Total ownership equity (from Statement of Financial Condition - Item 1800) .....	\$ 251,186,901	3480
2. Deduct: Ownership equity not allowable for Net Capital .....	( )	3490
3. Total ownership equity qualified for Net Capital .....	<u>251,186,901</u>	<u>3500</u>
4. Add:		
A. Liabilities subordinated to claims of general creditors allowable in computation of net capital .....		3520
B. Other (deductions) or allowable credits (List) .....		3525
5. Total capital and allowable subordinated liabilities .....	\$ 256,605,161	3530
6. Deductions and/or charges:		
A. Total non-allowable assets from		
Statement of Financial Condition (Notes B and C) .....	\$ 56,585,237	3540
1. Additional charges for customers' and non-customers' security accounts .....	<u>25,113,694</u>	<u>3550</u>
2. Additional charges for customers' and non-customers' commodity accounts .....		3560
B. Aged fail-to-deliver: .....	<u>352,448</u>	<u>3570</u>
C. Aged short security differences-less		
reserve of .....	\$ <u>3460</u>	<u>3580</u>
number of items .....	<u>3470</u>	
D. Secured demand note deficiency .....		3590
E. Commodity futures contracts and spot commodities - proprietary capital charges .....		3600
F. Other deductions and/or charges .....	<u>5,888,984</u>	<u>3610</u>
G. Deductions for accounts carried under Rule 15c3-1(a)(6), (a)(7) and (c)(2)(x) .....		3615
H. Total deductions and/or charges .....	( <u>87,940,363</u> )	<u>3620</u>
7. Other additions and/or allowable credits (List) .....		3630
8. Net Capital before haircuts on securities positions .....	\$ <u>168,664,798</u>	<u>3640</u>
9. Haircuts on securities: (computed, where applicable, pursuant to 15c3-1(f)):		
A. Contractual securities commitments .....	\$ <u>3660</u>	
B. Subordinated securities borrowings .....		3670
C. Trading and investment securities:		
1. Bankers' acceptances, certificates of deposit and commercial paper .....	0	3680
2. U.S. and Canadian government obligations .....	<u>5,421,337</u>	<u>3690</u>
3. State and municipal government obligations .....	<u>3,352,403</u>	<u>3700</u>
4. Corporate obligations .....	<u>2,055</u>	<u>3710</u>
5. Stocks and warrants .....	<u>1,949,308</u>	<u>3720</u>
6. Options .....	<u>133,350</u>	<u>3730</u>
7. Arbitrage .....		3732
8. Other securities .....	<u>2,319,572</u>	<u>3734</u>
D. Undue concentration .....		3650
E. Other (List) .....	( <u>3736</u> )	( <u>13,178,025</u> ) <u>3740</u>
10. Net Capital .....	\$ <u>155,486,773</u>	<u>3750</u>

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WEBUSH SECURITIES INC.

as of 06/30/16

COMPUTATION OF BASIC NET CAPITAL REQUIREMENT

Part A

11. Minimal net capital required (6-2/3% of line 19) .....	\$	3756
12. Minimum dollar net capital requirement of reporting broker or dealer and minimum net capital requirement of subsidiaries computed in accordance with Note (A) .....	\$	3758
13. Net capital requirement (greater of line 11 or 12) .....	\$	3760
14. Excess net capital (line 10 less 13) .....	\$	3770
15. Net capital less greater of 10% of line 19 or 120% of line 12 .....	\$	3780

COMPUTATION OF AGGREGATE INDEBTEDNESS

16. Total A.I. liabilities from Statement of Financial Condition .....	\$	3790
17. Add:		
A. Drafts for immediate credit .....	\$	3800
B. Market value of securities borrowed for which no equivalent value is paid or credited .....	\$	3810
C. Other unrecorded amounts (List) .....	\$	3820
18. Deduct: Adjustment based on deposits in Special Reserve Bank Accounts(15c3-1(c)(1)(vii)) .....	\$	3838
19. Total aggregate indebtedness .....	\$	3840
20. Percentage of aggregate indebtedness to net capital (line 19 divided by line 10) .....	%	3850
21. Percentage of aggregate indebtedness to net capital <u>after</u> anticipated capital withdrawals (line 19 divided by line 10 less item 4880 page 12) .....	%	3853

COMPUTATION OF ALTERNATE NET CAPITAL REQUIREMENT

Part B

22. 2% of combined aggregate debit items as shown in Formula for Reserve Requirements pursuant to Rule 15c3-3 prepared as of the date of net capital computation including both brokers or dealers and consolidated subsidiaries' debits .....	\$	52,154,619	3870
23. Minimum dollar net capital requirement of reporting broker or dealer and minimum net capital requirement of subsidiaries computed in accordance with Note(A) .....	\$	20,000,000	3880
24. Net capital requirement (greater of line 22 or 23) .....	\$	52,154,619	3760
25. Excess net capital (line 10 less 24) .....	\$	103,332,154	3910
26. Percentage of Net Capital to Aggregate Debits (line 10 divided by line 18 page 8) .....	%	18.47	3851
27. Percentage of Net Capital, <u>after</u> anticipated capital withdrawals, to Aggregate Debits item 10 less Item 4880 page 12 divided by line 17 page 8) .....	%	18.47	3854
28. Net capital in excess of the greater of: 5% of combined aggregate debit items or 120% of minimum net capital requirement .....	\$	98,116,692	3920

OTHER RATIOS

Part C

29. Percentage of debt to debt-equity total computed in accordance with Rule 15c3-1 (d) .....	%	0.00	3860
30. Options deductions/Net Capital ratio (1000% test) total deductions exclusive of liquidating equity under Rule 15c3-1(a)(6), (a)(7) and (c)(2)(x) divided by Net Capital .....	%		3852

NOTES:

(A) The minimum net capital requirement should be computed by adding the minimum dollar net capital requirement of the reporting broker dealer and, for each subsidiary to be consolidated, the greater of:

1. Minimum dollar net capital requirement,
2. 6-2/3% of aggregate indebtedness or 2% of aggregate debits if alternate method is used.

(B) Do not deduct the value of securities borrowed under subordination agreements or secured demand notes covered by subordination agreements not in satisfactory form and the market values of memberships in exchanges contributed for use of company (contra to item 1740) and partners' securities which were included in non-allowable assets.

(C) For reports filed pursuant to paragraph (d) of Rule 17a-5, respondent should provide a list of material non-allowable assets.

**SUPPLEMENT TO**  
**FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT**  
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**BROKER OR DEALER**  
 WEBBUSH SECURITIES INC.

as of 06/30/16

**STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION  
 FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES**

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. Net ledger balance			
A. Cash	\$ 777,645,649	7010	
B. Securities (at market)	383,967,628	7020	
2. Net unrealized profit (loss) in open futures contracts traded on a contract market	16,522,453	7030	
3. Exchange traded options			
A. Add market value of open option contracts purchased on a contract market	374,283,540	7032	
B. Deduct market value of open option contracts granted (sold) on a contract market	( 390,508,168)	7033	
4. Net equity (deficit) (add lines 1, 2, and 3)	1,161,911,102	7040	
5. Accounts liquidating to a deficit and accounts with debit balances			
- gross amount	951,652	7045	
Less: amount offset by customer owned securities	( 951,652)	7047	951,652 7050
6. Amount required to be segregated (add lines 4 and 5)	\$ 1,162,862,754	7060	

**FUNDS IN SEGREGATED ACCOUNTS**

7. Deposited in segregated funds bank accounts			
A. Cash	363,256,604	7070	
B. Securities representing investments of customers' funds (at market)		7080	
C. Securities held for particular customers or option customers in lieu of cash (at market)	397,595	7090	
8. Margins on deposit with derivatives clearing organizations of contract markets			
A. Cash	\$ 259,283,992	7100	
B. Securities representing investments of customers' funds (at market)	191,336,723	7110	
C. Securities held for particular customers or option customers in lieu of cash (at market)	379,792,345	7120	
9. Net settlement from (to) derivatives clearing organizations of contract markets	1,884,845	7130	
10. Exchange traded options			
A. Value of open long option contracts	374,283,540	7132	
B. Value of open short option contracts	( 390,508,168)	7133	
11. Net equities with other FCMs			
A. Net liquidating equity		7140	
B. Securities representing investments of customers' funds (at market)		7160	
C. Securities held for particular customers or option customers in lieu of cash (at market)		7170	
12. Segregated funds on hand (describe: )	3,777,688	7150	
13. Total amount in segregation (add lines 7 through 12)	1,183,505,164	7180	
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)	\$ 20,642,410	7190	
15. Management Target Amount for Excess funds in segregation	\$ 13,000,000	7194	
16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess	\$ 7,642,410	7198	

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WEBBUSH SECURITIES INC.

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STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION  
FOR CUSTOMERS' DEALER OPTIONS ACCOUNTS

1. Amount required to be segregated in accordance  
with Commission regulation 32.6 \$ 7200

2. Funds in segregated accounts  
A. Cash \$ 7210  
B. Securities (at market) \_\_\_\_\_  
7220  
C. Total \_\_\_\_\_  
7230

3. Excess (deficiency) funds in segregation  
(subtract line 2.C from line 1) \$ 7240

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WEBBUSH SECURITIES INC.

as of 06/30/16

**STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7**

**FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government  
or a rule of a self-regulatory organization authorized thereunder

\$ \_\_\_\_\_ **7305**

**1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**

A. Cash \$ \_\_\_\_\_ **38,059,148** **7315**  
B. Securities (at market) \_\_\_\_\_ **7317**

**2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**

\_\_\_\_\_ **(1,076,900)** **7325**

**3. Exchange traded options**

A. Market value of open option contracts purchased on a foreign board of trade \_\_\_\_\_ **7335**  
B. Market value of open contracts granted (sold) on a foreign board of trade \_\_\_\_\_ **7337**

**4. Net equity (deficit) (add lines 1. 2. and 3.)**

\$ \_\_\_\_\_ **36,982,248** **7345**

**5. Accounts liquidating to a deficit and accounts with**

debit balances - gross amount \$ \_\_\_\_\_ **4,440** **7351**  
Less: amount offset by customer owned securities ( \_\_\_\_\_ ) **7352** \_\_\_\_\_ **4,440** **7354**

**6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)** \$ \_\_\_\_\_ **36,986,688** **7355**

**7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.** \$ \_\_\_\_\_ **36,986,688** **7360**

**SUPPLEMENT TO  
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PART II**

2016-09-07 07:12PM EDT  
Status: Amendment - Submitted  
Amendment: 1 of 1

BROKER OR DEALER

WEBBUSH SECURITIES INC.

as of 06/30/16

**STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7**

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. Cash in banks

A. Banks located in the United States \$ 26,814,339 **7500**

B. Other banks qualified under Regulation 30.7

Name(s): 7510 2,568,599 **7520** \$ 29,382,938 **7530**

2. Securities

A. In safekeeping with banks located in the United States \$ 7540

B. In safekeeping with other banks qualified under Regulation 30.7

Name(s): 7550 7560 7570

3. Equities with registered futures commission merchants

A. Cash \$ 7580

B. Securities 7590

C. Unrealized gain (loss) on open futures contracts 7600

D. Value of long option contracts 7610

E. Value of short option contracts (7615) 7620

4. Amounts held by clearing organizations of foreign boards of trade

Name(s): 7630 \$ 7,491,267 **7640**

A. Cash 7650

B. Securities 7660

C. Amount due to (from) clearing organizations - daily variation 37,478 **7670**

D. Value of long option contracts 7675

E. Value of short option contracts (7675) 7,528,745 **7680**

5. Amounts held by members of foreign boards of trade

Name(s): 7690 \$ 2,510,250 **7700**

A. Cash 7710

B. Securities 305,037 **7720**

C. Unrealized gain (loss) on open futures contracts 7730

D. Value of long option contracts (7735)

E. Value of short option contracts 2,815,287 **7740**

6. Amounts with other depositories designated by a foreign board of trade

Name(s): 7750

7. Segregated funds on hand (describe: )

8. Total funds in separate section 30.7 accounts

\$ 39,726,970 **7770**

9. Excess (deficiency) set Aside Funds for Secured Amount (subtract Line 7 Secured

Statement page T10-3 from Line 8)

\$ 2,740,282 **7380**

10. Management Target Amount for Excess funds in separate section 30.7 accounts

\$ 1,000,000 **7780**

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target

\$ 1,740,282 **7785**

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as of 06/30/16

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND  
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. Net ledger balance	
A. Cash	\$ <span style="border: 1px solid black; padding: 2px;">8500</span>
B. Securities (at market)	<span style="border: 1px solid black; padding: 2px;">8510</span>
2. Net unrealized profit (loss) in open cleared swaps	<span style="border: 1px solid black; padding: 2px;">8520</span>
3. Cleared swaps options	
A. Market value of open cleared swaps option contracts purchased	<span style="border: 1px solid black; padding: 2px;">8530</span>
B. Market value of open cleared swaps option contracts granted (sold)	( <span style="border: 1px solid black; padding: 2px;">8540</span> )
4. Net equity (deficit) (add lines 1, 2, and 3)	\$ <span style="border: 1px solid black; padding: 2px;">8550</span>
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$ <span style="border: 1px solid black; padding: 2px;">8560</span>
Less: amount offset by customer owned securities	( <span style="border: 1px solid black; padding: 2px;">8570</span> )
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)	\$ <span style="border: 1px solid black; padding: 2px;">8590</span>

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks	
A. Cash	\$ <span style="border: 1px solid black; padding: 2px;">8600</span>
B. Securities representing investments of cleared swaps customers' funds (at market)	<span style="border: 1px solid black; padding: 2px;">8610</span>
C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<span style="border: 1px solid black; padding: 2px;">8620</span>
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts	
A. Cash	<span style="border: 1px solid black; padding: 2px;">8630</span>
B. Securities representing investments of cleared swaps customers' funds (at market)	<span style="border: 1px solid black; padding: 2px;">8640</span>
C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<span style="border: 1px solid black; padding: 2px;">8650</span>
9. Net settlement from (to) derivatives clearing organizations	<span style="border: 1px solid black; padding: 2px;">8660</span>
10. Cleared swaps options	
A. Value of open cleared swaps long option contracts	<span style="border: 1px solid black; padding: 2px;">8670</span>
B. Value of open cleared swaps short option contracts	( <span style="border: 1px solid black; padding: 2px;">8680</span> )
11. Net equities with other FCMs	
A. Net liquidating equity	<span style="border: 1px solid black; padding: 2px;">8690</span>
B. Securities representing investments of cleared swaps customers' funds (at market)	<span style="border: 1px solid black; padding: 2px;">8700</span>
C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<span style="border: 1px solid black; padding: 2px;">8710</span>
12. Cleared swaps customer funds on hand (describe: _____)	<span style="border: 1px solid black; padding: 2px;">8715</span>
13. Total amount in cleared swaps customer segregation (add lines 7 through 12)	\$ <span style="border: 1px solid black; padding: 2px;">8720</span>
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)	\$ <span style="border: 1px solid black; padding: 2px;">8730</span>
15. Management Target Amount for Excess funds in cleared swaps segregated accounts	\$ <span style="border: 1px solid black; padding: 2px;">8760</span>
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management Target Excess	\$ <span style="border: 1px solid black; padding: 2px;">8770</span>

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**COMPUTATION OF CFTC MINIMUM NET CAPITAL REQUIREMENT**

Net Capital required

**A. Risk-Based Requirement**

i. Amount of Customer Risk

Maintenance Margin requirement 651,740,437 7415

ii. Enter 8% of line A.i

52,139,235 7425

iii. Amount of Non-Customer Risk

Maintenance Margin requirement 192,301 7435

iv. Enter 8% of line A.iii

15,384 7445

v. Add lines A.ii and A.iv.

52,154,619 7455

**B. Minimum Dollar Amount Requirement**

20,000,000 7465

**C. Other NFA Requirement**

7475

**D. Minimum CFTC Net Capital Requirement.**

Enter the greatest of lines A, B or C

52,154,619 7490

Note: If amount on Line D (7490) is greater than minimum net capital requirement computed on Line 3760 (Page 6) then enter this greater amount on Line 3760. The greater of the amount required by SEC or CFTC is the minimum net capital requirement.

**CFTC Early Warning Level**

57,370,081 7495

Note: If the Minimum Net Capital Requirement computed on Line D (7490) is:

- (1) Risk Based Requirement, enter 110% of Line A (7455), or
- (2) Minimum Dollar Requirement of \$1,000,000 enter 150% of Line B (7465), or
- (3) Minimum Dollar Requirement of \$20,000,000 for FCMs offering or engaging in retail forex transactions or Retail Foreign Exchange Dealers ("RFED"), enter 110% of Line B (7465), or
- (4) Other NFA Requirement of \$20,000,000 plus five percent of the FCM's offering or engaging in retail forex transactions or Retail Foreign Exchange Dealers ("RFED") total retail forex obligations in excess of \$10,000,000 enter 110% of Line C (7475), or
- (5) Other NFA Requirement, enter 150% of Line C (7475).