

WEDBUSH

PRIVACY STATEMENT

At Wedbush Securities, protection of your privacy has been a long-standing policy. We do not sell our current or former customer's personal information to third parties. We collect certain "nonpublic personal information" about you from:

- Information we receive from you on applications or other forms;
- Information about your transactions; and
- Information we receive from non-affiliated third parties, including consumer and industry reporting agencies.

Wedbush Securities does not disclose your nonpublic personal information to anyone except as described as follows. On a confidential basis, we may share the information we collect with companies that perform services to maintain your account information on our behalf. For example, we share information with third parties that assist us with data processing, preparing monthly statements, or companies that help us service our products. With your approval, we may share information within the affiliated Wedbush companies to improve your experience with us and better serve your financial needs.

Wedbush Securities restricts access to your personal and account information to those employees who need to know that information to provide services to you. We maintain physical and electronic safeguards to protect your nonpublic personal information.

SECURITIES INVESTOR PROTECTION CORPORATION (SIPC)

For information about SIPC, including a brochure, please contact SIPC at 202-371-8300, or visit www.SIPC.org.

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