

FORM
X-17A-5

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION

FOCUS REPORT

(FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT)

PART II 11

(Please read instructions before preparing Form)

This report is being filed pursuant to (Check Applicable Block(s)):

1) Rule 17a-5(a) 16

2) Rule 17a-5(b) 17

3) Rule 17a-11 18

4) Special request by designated examining authority

19

5) Other 26

NAME OF BROKER-DEALER

WEBBUSH SECURITIES INC.

13

ADDRESS OF PRINCIPAL PLACE OF BUSINESS (Do not use P.O. Box No.)

1000 WILSHIRE BLVD. SUITE 900, ATTN: BUSINESS CONDUCT

20

(No. and Street)

LOS ANGELES

21

CA

22

90017-2457

23

(City)

(State)

(Zip Code)

SEC. FILE NO.

8-12987

14

FIRM ID NO.

877

15

FOR PERIOD BEGINNING (MM/DD/YY)

02/01/14

24

AND ENDING (MM/DD/YY)

02/28/14

25

NAME AND TELEPHONE NUMBER OF PERSON TO CONTACT IN REGARD TO THIS REPORT (Area code) - Telephone No.

SHAWN KEAGY - CFO / EVP

30

(213) 688-4516

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NAME(S) OF SUBSIDIARIES OR AFFILIATES CONSOLIDATED IN THIS REPORT

OFFICIAL USE

32

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DOES RESPONDENT CARRY ITS OWN CUSTOMER ACCOUNTS ? YES 40 NO 41

CHECK HERE IF RESPONDENT IS FILING AN AUDITED REPORT 42

EXECUTION:

The registrant/broker or dealer submitting this Form and its attachments and the person(s) by whom it is executed represent hereby that all information contained therein is true, correct and complete. It is understood that all required items, statements, and schedules are considered integral parts of this Form and that the submission of any amendment represents that all unamended items, statements and schedules remain true, correct and complete as previously submitted.

Dated the 25th day of March 20 14

Manual Signatures of:

- 1) Edward Wedbush
Principal Executive Officer or Managing Partner - Edward Wedbush
- 2) Shawn Keagy
Principal Financial Officer or Partner - Shawn Keagy
- 3) Shawn Keagy
Principal Operations Officer or Partner - Shawn Keagy

ATTENTION - Intentional misstatements or omissions of facts constitute Federal
Criminal Violations. (See 18 U.S.C. 1001 and 15 U.S.C. 78f (a))

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**FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II**

BROKER OR DEALER

WEBBUSH SECURITIES INC.

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STATEMENT OF FINANCIAL CONDITION

as of (MM/DD/YY) 02/28/14 99

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Consolidated 198 199

Unconsolidated X 199

ASSETS

Allowable

Nonallowable

Total

1. Cash	\$ <u>14,764,549</u>	200		\$ <u>14,764,549</u>	750
2. Cash segregated in compliance with federal and other regulations	<u>91,021,345</u>	210		<u>91,021,345</u>	760
3. Receivable from brokers or dealers and clearing organizations:					
A. Failed to deliver:					
1. Includable in "Formula for Reserve Requirements"	<u>5,929,979</u>	220			
2. Other	<u>16,000,416</u>	230		<u>21,930,395</u>	770
B. Securities borrowed:					
1. Includable in "Formula for Reserve Requirements"	<u>226,330,909</u>	240			
2. Other	<u>754,753,786</u>	250		<u>981,084,695</u>	780
C. Omnibus accounts:					
1. Includable in "Formula for Reserve Requirements"	<u>0</u>	260			
2. Other	<u>0</u>	270		<u>0</u>	790
D. Clearing Organizations:					
1. Includable in "Formula for Reserve Requirements"	<u>8,590,722</u>	280			
2. Other	<u>52,610,528</u>	290		<u>61,201,250</u>	800
E. Other	<u>375,122</u>	300	\$ <u>104,361</u>	550	<u>479,483</u> 810
4. Receivables from customers:					
A. Securities accounts:					
1. Cash and fully secured accounts	<u>247,322,815</u>	310			
2. Partly secured accounts	<u>2,867,968</u>	320	<u>991,980</u>	560	
3. Unsecured Accounts			<u>6,466,331</u>	570	
B. Commodity accounts		330		580	
C. Allowance for doubtful accounts	(<u>6,154,395</u>)	335	(<u>7,458,311</u>)	590	<u>244,036,388</u> 820
5. Receivables from non-customers:					
A. Cash and fully secured accounts	<u>164,425,367</u>	340			
B. Partly secured and unsecured accounts	<u>0</u>	350	<u>600</u>		<u>164,425,367</u> 830
6. Securities purchased under agreements to resell	<u>1,960,397,250</u>	360		<u>605</u>	<u>1,960,397,250</u> 840
7. Securities and spot commodities owned, at market value:					
A. Banker's acceptances, certificates of deposit and commercial paper	<u>100,000,000</u>	370			
B. U.S. and Canadian government obligations	<u>111,878,152</u>	380			
C. State and municipal government obligations	<u>45,849,519</u>	390			
D. Corporate obligations	<u>37,973,292</u>	400			

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT

PART II

BROKER OR DEALER

WEBBUSH SECURITIES INC.

as of 02/28/14

STATEMENT OF FINANCIAL CONDITION

ASSETS (continued)AllowableNonallowableTotal

E. Stocks and warrants	\$ 171,477,033	410		
F. Options	11,232,790	420		
G. Arbitrage		422		
H. Other securities		424		
I. Spot Commodities		430		
J. Total inventory - includes encumbered securities of . . .	\$ 120		\$ 478,410,786	850
8. Securities owned not readily marketable:				
A. At Cost	\$ 130	440	\$ 610	860
9. Other investments not readily marketable:				
. . . \$ 140				
B. At estimated fair value		450	620	870
10. Securities borrowed under subordination agreements and partners' individual and capital securities accounts, at market value:				
A. Exempted securities	\$ 150			
B. Other	\$ 160	460	630	880
11. Secured demand notes- market value of collateral:				
A. Exempted securities	\$ 170			
B. Other	\$ 180	470	640	890
12. Memberships in exchanges:				
A. Owned, at market value	\$ 190			
B. Owned at cost			650	
C. Contributed for use of company, at market value			660	900
13. Investment in and receivables from affiliates, subsidiaries and associated partnerships		480	21,525,507	670 910
14. Property, furniture, equipment, leasehold improvements and rights under lease agreements:				
At cost (net of accumulated depreciation and amortization)		490	680	920
15. Other Assets:				
A. Dividends and interest receivable	3,508,103	500	15,874	690
B. Free shipments		510		700
C. Loans and advances		520		710
D. Miscellaneous	5,852,045	530	32,216,074	720
E. Collateral accepted under SFAS 140		536		
F. SPE Assets		537		
16. TOTAL ASSETS	\$ 4,027,007,295	540	\$ 53,861,816	740 \$ 4,080,869,111
				940

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FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

BROKER OR DEALER

WEBUSH SECURITIES INC.

as of 02/28/14

STATEMENT OF FINANCIAL CONDITION
LIABILITIES AND OWNERSHIP EQUITY

<u>Liabilities</u>	<u>A.I. Liabilities</u> *	<u>Non-A.I. Liabilities</u> *	<u>Total</u>
17. Bank loans payable:			
A. Includable in "Formula for Reserve Requirements"	\$ <u>1030</u>	\$ <u>1240</u>	\$ <u>0</u> <u>1460</u>
B. Other	<u>1040</u>	<u>1250</u>	<u>134,000,000</u> <u>1470</u>
18. Securities sold under repurchase agreements.			
		<u>1260</u>	<u>96,777,333</u> <u>1480</u>
19. Payable to brokers or dealers and clearing organizations:			
A. Failed to receive:			
1. Includable in "Formula for Reserve Requirements"	<u>1050</u>	<u>1270</u>	<u>1,963,025</u> <u>1490</u>
2. Other	<u>1060</u>	<u>1280</u>	<u>13,624,218</u> <u>1500</u>
B. Securities loaned:			
1. Includable in "Formula for Reserve Requirements"	<u>1070</u>		<u>41,471,287</u> <u>1510</u>
2. Other	<u>1080</u>	<u>1290</u>	<u>577,138,060</u> <u>1520</u>
C. Omnibus accounts:			
1. Includable in "Formula for Reserve Requirements"	<u>1090</u>		<u>0</u> <u>1530</u>
2. Other	<u>1095</u>	<u>1300</u>	<u>0</u> <u>1540</u>
D. Clearing organizations:			
1. Includable in "Formula for Reserve Requirements"	<u>1100</u>		<u>1,721,354</u> <u>1550</u>
2. Other	<u>1105</u>	<u>1310</u>	<u>1,019,827</u> <u>1560</u>
E. Other	<u>1110</u>	<u>1320</u>	<u>142,462</u> <u>1570</u>
20. Payable to customers:			
A. Securities accounts - including free credits of	\$ <u>1,649,028,215</u> <u>950</u>	<u>1120</u>	<u>2,164,811,359</u> <u>1580</u>
B. Commodities accounts		<u>1130</u>	<u>0</u> <u>1590</u>
21. Payable to non customers:			
A. Securities accounts		<u>1140</u>	<u>1340</u> <u>1600</u>
B. Commodities accounts		<u>1150</u>	<u>1350</u> <u>1610</u>
22. Securities sold not yet purchased at market value - including arbitrage			
of	\$ <u>960</u>		<u>1360</u> <u>386,549,479</u> <u>1620</u>
23. Accounts payable and accrued liabilities and expenses:			
A. Drafts payable		<u>1160</u>	<u>19,825,828</u> <u>1630</u>
B. Accounts payable		<u>1170</u>	<u>11,445,060</u> <u>1640</u>
C. Income taxes payable		<u>1180</u>	<u>16,955</u> <u>1650</u>
D. Deferred income taxes		<u>1370</u>	<u>0</u> <u>1660</u>
E. Accrued expenses and other liabilities		<u>1190</u>	<u>48,621,391</u> <u>1670</u>
F. Other		<u>1200</u>	<u>1380</u> <u>1680</u>
G. Obligation to return securities			<u>1386</u> <u>1686</u>
H. SPE Liabilities			<u>1387</u> <u>1687</u>

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*Brokers or Dealers electing the alternative net capital requirement method need not complete these columns.

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT

PART II

BROKER OR DEALER

WEBUSH SECURITIES INC.

as of 02/28/14STATEMENT OF FINANCIAL CONDITION
LIABILITIES AND OWNERSHIP EQUITY (continued)

	<u>Liabilities</u>	<u>A.I. Liabilities</u>	<u>Non-A.I. Liabilities</u>	<u>Total</u>
24. Notes and mortgages payable:				
A. Unsecured	\$ 1210		\$ 1690	
B. Secured	\$ 1211	\$ 1390		\$ 1700
25. Liabilities subordinated to claims of general creditors:				
A. Cash borrowings:			\$ 1400	\$ 1710
1. from outsiders \$ 0970				
2. Includes equity subordination(15c3-1(d)) of	\$ 0980			
B. Securities borrowings, at market value:			\$ 1410	\$ 1720
from outsiders \$ 0990				
C. Pursuant to secured demand note collateral agreements:			\$ 1420	\$ 1730
1. from outsiders \$ 1000				
2. Includes equity subordination(15c3-1(d)) of	\$ 1010			
D. Exchange memberships contributed for use of company, at market value			\$ 1430	\$ 1740
E. Accounts and other borrowings not qualified for net capital purposes		\$ 1220	\$ 1440	\$ 1750
26. TOTAL LIABILITIES	\$ 1230	\$ 1450	\$ 3,820,998,351	\$ 1760

Ownership Equity

27. Sole proprietorship		\$ 1770
28. Partnership - limited partners	\$ 1020	\$ 1780
29. Corporation:		
A. Preferred stock		0 1791
B. Common stock		700,000 1792
C. Additional paid- in capital		11,035,661 1793
D. Retained Earnings		249,857,361 1794
E. Total		261,593,022 1795
F. Less capital stock in treasury		(1,722,262) 1796
30. TOTAL OWNERSHIP EQUITY		\$ 259,870,760 1800
31. TOTAL LIABILITIES AND OWNERSHIP EQUITY		\$ 4,080,869,111 1810

OMIT PENNIES

* Brokers or Dealers electing the alternative net capital requirement method need not complete these columns.

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT

PART II

BROKER OR DEALER

WEBBUSH SECURITIES INC.

as of 02/28/14

COMPUTATION OF NET CAPITAL

1. Total ownership equity (from Statement of Financial Condition - Item 1800)	\$ 259,870,760	3480
2. Deduct: Ownership equity not allowable for Net Capital	()	3490
3. Total ownership equity qualified for Net Capital	259,870,760	3500
4. Add:		
A. Liabilities subordinated to claims of general creditors allowable in computation of net capital		3520
B. Other (deductions) or allowable credits (List)	6,154,395	3525
5. Total capital and allowable subordinated liabilities	\$ 266,025,155	3530
6. Deductions and/or charges:		
A. Total non-allowable assets from		
Statement of Financial Condition (Notes B and C)	\$ 53,861,816	3540
1. Additional charges for customers' and non-customers' security accounts	50,178,224	3550
2. Additional charges for customers' and non-customers' commodity accounts		3560
B. Aged fail-to-deliver:	457,583	3570
1. number of items	202	3450
C. Aged short security differences-less reserve of	\$ 3460	3580
number of items	3470	
D. Secured demand note deficiency		3590
E. Commodity futures contracts and spot commodities - proprietary capital charges		3600
F. Other deductions and/or charges	210,494	3610
G. Deductions for accounts carried under Rule 15c3-1(a)(6), (a)(7) and (c)(2)(x)		3615
H. Total deductions and/or charges	(104,708,117)	3620
7. Other additions and/or allowable credits (List)		3630
8. Net Capital before haircuts on securities positions	\$ 161,317,038	3640
9. Haircuts on securities: (computed, where applicable, pursuant to 15c3-1(f)):		
A. Contractual securities commitments	\$ 0	3660
B. Subordinated securities borrowings	0	3670
C. Trading and investment securities:		
1. Bankers' acceptances, certificates of deposit and commercial paper	500,000	3680
2. U.S. and Canadian government obligations	2,610,139	3690
3. State and municipal government obligations	3,769,709	3700
4. Corporate obligations	3,626,941	3710
5. Stocks and warrants	39,937,698	3720
6. Options	0	3730
7. Arbitrage		3732
8. Other securities		3734
D. Undue concentration	862,995	3650
E. Other (List)	3736 (51,307,482)	3740
10. Net Capital	\$ 110,009,556	3750

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FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

BROKER OR DEALER

WEBBUSH SECURITIES INC.

as of 02/28/14

COMPUTATION OF BASIC NET CAPITAL REQUIREMENT

Part A

11. Minimal net capital required (6-2/3% of line 19)	\$	3756
12. Minimum dollar net capital requirement of reporting broker or dealer and minimum net capital requirement of subsidiaries computed in accordance with Note (A)	\$	3758
13. Net capital requirement (greater of line 11 or 12)	\$	3760
14. Excess net capital (line 10 less 13)	\$	3770
15. Net capital less greater of 10% of line 19 or 120% of line 12	\$	3780

COMPUTATION OF AGGREGATE INDEBTEDNESS

16. Total A.I. liabilities from Statement of Financial Condition	\$	3790
17. Add:		
A. Drafts for immediate credit	\$	3800
B. Market value of securities borrowed for which no equivalent value is paid or credited	\$	3810
C. Other unrecorded amounts (List)	\$	3820
18. Deduct: Adjustment based on deposits in Special Reserve Bank Accounts(15c3-1(c)(1)(vi))	\$	3838
19. Total aggregate indebtedness	\$	3840
20. Percentage of aggregate indebtedness to net capital (line 19 divided by line 10)	%	3850
21. Percentage of aggregate indebtedness to net capital <u>after</u> anticipated capital withdrawals (line 19 divided by line 10 less item 4880 page 12)	%	3853

COMPUTATION OF ALTERNATE NET CAPITAL REQUIREMENT

Part B

22. 2% of combined aggregate debit items as shown in Formula for Reserve Requirements pursuant to Rule 15c3-3 prepared as of the date of net capital computation including both brokers or dealers and consolidated subsidiaries' debits	\$	9,380,088	3870
23. Minimum dollar net capital requirement of reporting broker or dealer and minimum net capital requirement of subsidiaries computed in accordance with Note(A)	\$	1,000,000	3880
24. Net capital requirement (greater of line 22 or 23)	\$	9,380,088	3760
25. Excess net capital (line 10 less 24)	\$	100,629,468	3910
26. Percentage of Net Capital to Aggregate Debits (line 10 divided by line 18 page 8)	%	23.46	3851
27. Percentage of Net Capital, <u>after</u> anticipated capital withdrawals, to Aggregate Debits item 10 less Item 4880 page 12 divided by line 17 page 8)	%	23.46	3854
28. Net capital in excess of the greater of: 5% of combined aggregate debit items or 120% of minimum net capital requirement	\$	86,559,336	3920

OTHER RATIOS

Part C

29. Percentage of debt to debt-equity total computed in accordance with Rule 15c3-1 (d)	%	0.00	3860
30. Options deductions/Net Capital ratio (1000% test) total deductions exclusive of liquidating equity under Rule 15c3-1(a)(6), (a)(7) and (c)(2)(x) divided by Net Capital	%		3852

NOTES:

(A) The minimum net capital requirement should be computed by adding the minimum dollar net capital requirement of the reporting broker dealer and, for each subsidiary to be consolidated, the greater of:

1. Minimum dollar net capital requirement, or
2. 6-2/3% of aggregate indebtedness or 2% of aggregate debits if alternate method is used.

(B) Do not deduct the value of securities borrowed under subordination agreements or secured demand notes covered by subordination agreements not in satisfactory form and the market values of memberships in exchanges contributed for use of company (contra to item 1740) and partners' securities which were included in non-allowable assets.

(C) For reports filed pursuant to paragraph (d) of Rule 17a-5, respondent should provide a list of material non-allowable assets.

**SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II**

BROKER OR DEALER
WEBBUSH SECURITIES INC.

as of 02/28/14

**STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION
FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES**

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEA)

1. Net ledger balance		
A. Cash	\$	<u>7010</u>
B. Securities (at market)		<u>7020</u>
2. Net unrealized profit (loss) in open futures contracts traded on a contract market		<u>7030</u>
3. Exchange traded options		
A. Add market value of open option contracts purchased on a contract market		<u>7032</u>
B. Deduct market value of open option contracts granted (sold) on a contract market	(<u>7033</u>)
4. Net equity (deficit) (add lines 1, 2, and 3)		<u>7040</u>
5. Accounts liquidating to a deficit and accounts with debit balances		
- gross amount		<u>7045</u>
Less: amount offset by customer owned securities	(<u>7047</u>)
6. Amount required to be segregated (add lines 4 and 5)	\$	<u>7060</u>

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts		
A. Cash		<u>7070</u>
B. Securities representing investments of customers' funds (at market)		<u>7080</u>
C. Securities held for particular customers or option customers in lieu of cash (at market)		<u>7090</u>
8. Margins on deposit with derivatives clearing organizations of contract markets		
A. Cash	\$	<u>7100</u>
B. Securities representing investments of customers' funds (at market)		<u>7110</u>
C. Securities held for particular customers or option customers in lieu of cash (at market)		<u>7120</u>
9. Net settlement from (to) derivatives clearing organizations of contract markets		<u>7130</u>
10. Exchange traded options		
A. Value of open long option contracts		<u>7132</u>
B. Value of open short option contracts	(<u>7133</u>)
11. Net equities with other FCMs		
A. Net liquidating equity		<u>7140</u>
B. Securities representing investments of customers' funds (at market)		<u>7160</u>
C. Securities held for particular customers or option customers in lieu of cash (at market)		<u>7170</u>
12. Segregated funds on hand (describe: _____))	<u>7150</u>
13. Total amount in segregation (add lines 7 through 12)		<u>7180</u>
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)	\$	<u>7190</u>
15. Management Target Amount for Excess funds in segregation	\$	<u>7194</u>
16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess	\$	<u>7198</u>

**SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II**

BROKER OR DEALER

WEBBUSH SECURITIES INC.

as of 02/28/14

**STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION
FOR CUSTOMERS' DEALER OPTIONS ACCOUNTS**

1. Amount required to be segregated in accordance with Commission regulation 32.6	\$ <u>7200</u>
2. Funds in segregated accounts	
A. Cash	\$ <u>7210</u>
B. Securities (at market)	_____ <u>7220</u>
C. Total	_____ <u>7230</u>
3. Excess (deficiency) funds in segregation (subtract line 2.C from line 1)	\$ <u>7240</u>

**SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II**

BROKER OR DEALER
WEBBUSH SECURITIES INC.

as of 02/28/14

**STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS
PURSUANT TO COMMISSION REGULATION 30.7**

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government
or a rule of a self-regulatory organization authorized thereunder

\$ _____ **7305**

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers

A. Cash

B. Securities (at market)

\$ _____ **7315**

_____ **7317**

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade

_____ **7325**

3. Exchange traded options

A. Market value of open option contracts purchased on a foreign board of trade

_____ **7335**

B. Market value of open contracts granted (sold) on a foreign board of trade

_____ **7337**

4. Net equity (deficit) (add lines 1. 2. and 3.)

\$ _____ **7345**

5. Accounts liquidating to a deficit and accounts with

debit balances - gross amount

\$ _____ **7351**

Less: amount offset by customer owned securities

(_____) **7352**

_____ **7354**

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)

\$ _____ **7355**

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.

\$ _____ **7360**

**SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II**

BROKER OR DEALER

WEBBUSH SECURITIES INC.

as of 02/28/14

**STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS
PURSUANT TO COMMISSION REGULATION 30.7**

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks

A. Banks located in the United States \$ 7500

B. Other banks qualified under Regulation 30.7

Name(s): 7510 7520 \$ 7530

2. Securities

A. In safekeeping with banks located in the United States \$ 7540

B. In safekeeping with other banks qualified under Regulation 30.7

Name(s): 7550 7560 7570

3. Equities with registered futures commission merchants

A. Cash \$ 7580

B. Securities

C. Unrealized gain (loss) on open futures contracts

D. Value of long option contracts

E. Value of short option contracts (7615) 7620

4. Amounts held by clearing organizations of foreign boards of trade

Name(s): 7630 \$ 7640

A. Cash \$ 7650

B. Securities

C. Amount due to (from) clearing organizations - daily variation

D. Value of long option contracts

E. Value of short option contracts (7675) 7680

5. Amounts held by members of foreign boards of trade

Name(s): 7690 \$ 7700

A. Cash \$ 7710

B. Securities

C. Unrealized gain (loss) on open futures contracts

D. Value of long option contracts

E. Value of short option contracts (7735) 7740

6. Amounts with other depositories designated by a foreign board of trade

Name(s): 7750 \$ 7760

7. Segregated funds on hand (describe:)

\$ 7765

8. Total funds in separate section 30.7 accounts

\$ 7770

9. Excess (deficiency) set Aside Funds for Secured Amount (subtract Line 7 Secured

Statement page T10-3 from Line 8) \$ 7380

10. Management Target Amount for Excess funds in separate section 30.7 accounts

\$ 7780

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target

\$ 7785