

UNITED STATES
SECURITIES AND EXCHANGE COMMISSIONFOCUS REPORT
(FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT)
PART II 11

(Please read instructions before preparing Form)

This report is being filed pursuant to (Check Applicable Block(s)):

1) Rule 17a-5(a) 162) Rule 17a-5(b) 173) Rule 17a-11 184) Special request by designated examining authority 195) Other 26

NAME OF BROKER-DEALER

WEBBUSH SECURITIES INC.

SEC. FILE NO.

8-12987 14

ADDRESS OF PRINCIPAL PLACE OF BUSINESS (Do not use P.O. Box No.)

1000 WILSHIRE BLVD. SUITE 900, ATTN: BUSINESS CONDUCT
(No. and Street)

FIRM ID NO.

877 15LOS ANGELES
(City)

[21] CA [22]

90017-2457 [23]

FOR PERIOD BEGINNING (MM/DD/YY)

07/01/13 24

AND ENDING (MM/DD/YY)

09/30/13 25

NAME AND TELEPHONE NUMBER OF PERSON TO CONTACT IN REGARD TO THIS REPORT (Area code) - Telephone No.

Shawn Keagy - EVP/CFO 30(213) 688-4516 31

NAME(S) OF SUBSIDIARIES OR AFFILIATES CONSOLIDATED IN THIS REPORT

OFFICIAL USE

[32]

[33]

[34]

[35]

[36]

[37]

[38]

[39]

DOES RESPONDENT CARRY ITS OWN CUSTOMER ACCOUNTS ? YES 40 NO 41CHECK HERE IF RESPONDENT IS FILING AN AUDITED REPORT 42

EXECUTION:

The registrant/broker or dealer submitting this Form and its attachments and the person(s) by whom it is executed represent hereby that all information contained therein is true, correct and complete. It is understood that all required items, statements, and schedules are considered integral parts of this Form and that the submission of any amendment represents that all unamended items, statements and schedules remain true, correct and complete as previously submitted.

Dated the 23rd day of October 20 13

Manual Signatures of:

1) Edward Wedbush
Principal Executive Officer or Managing Partner - Edward Wedbush2) Shawn Keagy
Principal Financial Officer or Partner - Shawn Keagy3) Shawn Keagy
Principal Operations Officer or Partner - Shawn KeagyATTENTION - Intentional misstatements or omissions of facts constitute Federal
Criminal Violations. (See 18 U.S.C. 1001 and 15 U.S.C. 78f(a))

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**FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II**

BROKER OR DEALER

WEBBUSH SECURITIES INC.

N 2

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STATEMENT OF FINANCIAL CONDITION

as of (MM/DD/YY) 09/30/13

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SEC FILE NO. 8-12987

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Consolidated

198

Unconsolidated

X

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ASSETS

Allowable

Nonallowable

Total

1. Cash	\$ 54,931,709	200		\$ 54,931,709	750
2. Cash segregated in compliance with federal and other regulations	104,266,872	210		104,266,872	760
3. Receivable from brokers or dealers and clearing organizations:					
A. Failed to deliver:					
1. Includable in "Formula for Reserve Requirements"	1,575,984	220			
2. Other	10,852,642	230		12,428,626	770
B. Securities borrowed:					
1. Includable in "Formula for Reserve Requirements"	209,958,057	240			
2. Other	818,773,882	250		1,028,731,939	780
C. Omnibus accounts:					
1. Includable in "Formula for Reserve Requirements"	0	260			
2. Other	0	270		0	790
D. Clearing Organizations:					
1. Includable in "Formula for Reserve Requirements"	8,351,614	280			
2. Other	25,672,124	290		34,023,738	800
E. Other	473,392	300	\$ 132,119	550	605,511
4. Receivables from customers:					
A. Securities accounts:					
1. Cash and fully secured accounts	235,058,021	310			
2. Partly secured accounts	2,271,772	320	986,957	560	
3. Unsecured Accounts	0	330	6,438,091	570	
B. Commodity accounts	0	330		580	
C. Allowance for doubtful accounts	(6,120,569)	335	(7,425,048)	590	231,209,224
5. Receivables from non-customers:					
A. Cash and fully secured accounts	242,600,562	340			
B. Partly secured and unsecured accounts	350		600		242,600,562
6. Securities purchased under agreements to resell	1,756,424,156	360		605	1,756,424,156
7. Securities and spot commodities owned, at market value:					
A. Banker's acceptances, certificates of deposit and commercial paper	100,000,000	370			
B. U.S. and Canadian government obligations	118,127,091	380			
C. State and municipal government obligations	14,741,483	390			
D. Corporate obligations	42,490,568	400			

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT

PART II

BROKER OR DEALER

WEBBUSH SECURITIES INC.

as of 09/30/13

STATEMENT OF FINANCIAL CONDITION

ASSETS (continued)

<u>Allowable</u>	<u>Nonallowable</u>	<u>Total</u>
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E. Stocks and warrants	\$ 137,348,944	410	
F. Options	10,884,667	420	
G. Arbitrage	0	422	
H. Other securities	0	424	
I. Spot Commodities	0	430	
J. Total inventory - includes encumbered securities of . . .	\$ 120		\$ 423,592,753
8. Securities owned not readily marketable:			850
A. At Cost	\$ 130	440	\$ 610
9. Other investments not readily marketable:			860
. \$ 140			
B. At estimated fair value	450		620
10. Securities borrowed under subordination agreements and partners' individual and capital securities accounts, at market value:			870
A. Exempted securities	\$ 150		
B. Other	\$ 160	460	630
11. Secured demand notes- market value of collateral:			880
A. Exempted securities	\$ 170		
B. Other	\$ 180	470	640
12. Memberships in exchanges:			890
A. Owned, at market value	\$ 190		
B. Owned at cost			650
C. Contributed for use of company, at market value			660
13. Investment in and receivables from affiliates, subsidiaries and associated partnerships	480	17,773,385	670
14. Property, furniture, equipment, leasehold improvements and rights under lease agreements:			900
At cost (net of accumulated depreciation and amortization)	490		680
15. Other Assets:			920
A. Dividends and interest receivable	3,281,640	500	10,661
B. Free shipments	510		690
C. Loans and advances	520		700
D. Miscellaneous	23,052,579	530	31,117,106
E. Collateral accepted under SFAS 140	536		720
F. SPE Assets	537		
16. TOTAL ASSETS	\$ 3,915,017,190	540	\$ 49,033,271
			\$ 740
			\$ 3,964,050,461
			940

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FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

BROKER OR DEALER

WEBUSH SECURITIES INC.

as of 09/30/13

STATEMENT OF FINANCIAL CONDITION
LIABILITIES AND OWNERSHIP EQUITY

<u>Liabilities</u>	<u>A.I. Liabilities</u> *	<u>Non-A.I. Liabilities</u> *	<u>Total</u>
17. Bank loans payable:			
A. Includable in "Formula for Reserve Requirements"	\$ <u>1030</u>	\$ <u>1240</u>	\$ <u>0</u> <u>1460</u>
B. Other	<u>1040</u>	<u>1250</u>	<u>0</u> <u>1470</u>
18. Securities sold under repurchase agreements.		<u>1260</u>	<u>113,114,051</u> <u>1480</u>
19. Payable to brokers or dealers and clearing organizations:			
A. Failed to receive:			
1. Includable in "Formula for Reserve Requirements"	<u>1050</u>	<u>1270</u>	<u>8,398,835</u> <u>1490</u>
2. Other	<u>1060</u>	<u>1280</u>	<u>8,414,159</u> <u>1500</u>
B. Securities loaned:			
1. Includable in "Formula for Reserve Requirements"	<u>1070</u>		<u>32,706,809</u> <u>1510</u>
2. Other	<u>1080</u>	<u>1290</u>	<u>712,157,574</u> <u>1520</u>
C. Omnibus accounts:			
1. Includable in "Formula for Reserve Requirements"	<u>1090</u>		<u>0</u> <u>1530</u>
2. Other	<u>1095</u>	<u>1300</u>	<u>0</u> <u>1540</u>
D. Clearing organizations:			
1. Includable in "Formula for Reserve Requirements"	<u>1100</u>		<u>3,165,894</u> <u>1550</u>
2. Other	<u>1105</u>	<u>1310</u>	<u>3,504,868</u> <u>1560</u>
E. Other	<u>1110</u>	<u>1320</u>	<u>129,495</u> <u>1570</u>
20. Payable to customers:			
A. Securities accounts - including free credits of	\$ <u>1,609,878,992</u> <u>950</u>	<u>1120</u>	<u>2,107,478,663</u> <u>1580</u>
B. Commodities accounts		<u>1130</u>	<u>0</u> <u>1590</u>
21. Payable to non customers:			
A. Securities accounts		<u>1140</u>	<u>354,713,288</u> <u>1600</u>
B. Commodities accounts		<u>1150</u>	<u>0</u> <u>1610</u>
22. Securities sold not yet purchased at market value - including arbitrage of	<u>960</u>		<u>1360</u> <u>295,485,991</u> <u>1620</u>
23. Accounts payable and accrued liabilities and expenses:			
A. Drafts payable		<u>1160</u>	<u>15,890,531</u> <u>1630</u>
B. Accounts payable		<u>1170</u>	<u>13,650,723</u> <u>1640</u>
C. Income taxes payable		<u>1180</u>	<u>18,289</u> <u>1650</u>
D. Deferred income taxes		<u>1370</u>	<u>0</u> <u>1660</u>
E. Accrued expenses and other liabilities		<u>1190</u>	<u>34,662,431</u> <u>1670</u>
F. Other		<u>1200</u>	<u>0</u> <u>1680</u>
G. Obligation to return securities		<u>1386</u>	<u>0</u> <u>1686</u>
H. SPE Liabilities		<u>1387</u>	<u>0</u> <u>1687</u>

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*Brokers or Dealers electing the alternative net capital requirement method need not complete these columns.

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT

PART II

BROKER OR DEALER

WEBUSH SECURITIES INC.

as of 09/30/13STATEMENT OF FINANCIAL CONDITION
LIABILITIES AND OWNERSHIP EQUITY (continued)

	<u>Liabilities</u>	<u>A.I. Liabilities</u>	<u>Non-A.I. Liabilities</u>	<u>Total</u>
24. Notes and mortgages payable:				
A. Unsecured	\$ <u>1210</u>		\$ <u>1690</u>	
B. Secured	\$ <u>1211</u>	\$ <u>1390</u>		\$ <u>1700</u>
25. Liabilities subordinated to claims of general creditors:				
A. Cash borrowings:		\$ <u>1400</u>		\$ <u>1710</u>
1. from outsiders \$ <u>0970</u>				
2. Includes equity subordination(15c3-1(d)) of	\$ <u>0980</u>			
B. Securities borrowings, at market value:		\$ <u>1410</u>		\$ <u>1720</u>
from outsiders \$ <u>0990</u>				
C. Pursuant to secured demand note collateral agreements:		\$ <u>1420</u>		\$ <u>1730</u>
1. from outsiders \$ <u>1000</u>				
2. Includes equity subordination(15c3-1(d)) of	\$ <u>1010</u>			
D. Exchange memberships contributed for use of company, at market value		\$ <u>1430</u>		\$ <u>1740</u>
E. Accounts and other borrowings not qualified for net capital purposes		\$ <u>1220</u>	\$ <u>1440</u>	\$ <u>1750</u>
26. TOTAL LIABILITIES	\$ <u>1230</u>	\$ <u>1450</u>	\$ <u>3,703,491,601</u>	\$ <u>1760</u>

	<u>Ownership Equity</u>
27. Sole proprietorship	\$ <u>1770</u>
28. Partnership - limited partners	\$ <u>1020</u>
29. Corporation:	
A. Preferred stock	0 <u>1791</u>
B. Common stock	700,000 <u>1792</u>
C. Additional paid- in capital	10,906,735 <u>1793</u>
D. Retained Earnings	250,674,387 <u>1794</u>
E. Total	262,281,122 <u>1795</u>
F. Less capital stock in treasury	(1,722,262) <u>1796</u>
30. TOTAL OWNERSHIP EQUITY	\$ <u>260,558,860</u>
31. TOTAL LIABILITIES AND OWNERSHIP EQUITY	\$ <u>3,964,050,461</u>

OMIT PENNIES

* Brokers or Dealers electing the alternative net capital requirement method need not complete these columns.

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT

PART II

BROKER OR DEALER

WEBBUSH SECURITIES INC.

as of 09/30/13

COMPUTATION OF NET CAPITAL

1. Total ownership equity (from Statement of Financial Condition - Item 1800)	\$ 260,558,860	3480
2. Deduct: Ownership equity not allowable for Net Capital	()	3490
3. Total ownership equity qualified for Net Capital	260,558,860	3500
4. Add:		
A. Liabilities subordinated to claims of general creditors allowable in computation of net capital		3520
B. Other (deductions) or allowable credits (List)	6,120,569	3525
5. Total capital and allowable subordinated liabilities	\$ 266,679,429	3530
6. Deductions and/or charges:		
A. Total non-allowable assets from		
Statement of Financial Condition (Notes B and C)	\$ 49,033,271	3540
1. Additional charges for customers' and non-customers' security accounts	47,537,645	3550
2. Additional charges for customers' and non-customers' commodity accounts	0	3560
B. Aged fail-to-deliver:	205,736	3570
1. number of items	102	3450
C. Aged short security differences-less reserve of	\$ 0	3580
number of items	3470	
D. Secured demand note deficiency	0	3590
E. Commodity futures contracts and spot commodities - proprietary capital charges	0	3600
F. Other deductions and/or charges	585,352	3610
G. Deductions for accounts carried under Rule 15c3-1(a)(6), (a)(7) and (c)(2)(x)		3615
H. Total deductions and/or charges	(97,362,004)	3620
7. Other additions and/or allowable credits (List)		3630
8. Net Capital before haircuts on securities positions	\$ 169,317,425	3640
9. Haircuts on securities: (computed, where applicable, pursuant to 15c3-1(f)):		
A. Contractual securities commitments	\$ 3660	
B. Subordinated securities borrowings		3670
C. Trading and investment securities:		
1. Bankers' acceptances, certificates of deposit and commercial paper	1,500,000	3680
2. U.S. and Canadian government obligations	6,367,278	3690
3. State and municipal government obligations	2,451,933	3700
4. Corporate obligations	3,875,505	3710
5. Stocks and warrants	40,492,644	3720
6. Options	0	3730
7. Arbitrage	0	3732
8. Other securities	0	3734
D. Undue concentration	0	3650
E. Other (List)	0	3736
10. Net Capital	\$ 114,630,065	3750

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FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

BROKER OR DEALER

WEBBUSH SECURITIES INC.

as of 09/30/13

COMPUTATION OF BASIC NET CAPITAL REQUIREMENT

Part A

11. Minimal net capital required (6-2/3% of line 19)	\$	3756
12. Minimum dollar net capital requirement of reporting broker or dealer and minimum net capital requirement of subsidiaries computed in accordance with Note (A)	\$	3758
13. Net capital requirement (greater of line 11 or 12)	\$	3760
14. Excess net capital (line 10 less 13)	\$	3770
15. Net capital less greater of 10% of line 19 or 120% of line 12	\$	3780

COMPUTATION OF AGGREGATE INDEBTEDNESS

16. Total A.I. liabilities from Statement of Financial Condition	\$	3790
17. Add:		
A. Drafts for immediate credit	\$	3800
B. Market value of securities borrowed for which no equivalent value is paid or credited	\$	3810
C. Other unrecorded amounts (List)	\$	3820
18. Deduct: Adjustment based on deposits in Special Reserve Bank Accounts(15c3-1(c)(1)(vi))	\$	3838
19. Total aggregate indebtedness	\$	3840
20. Percentage of aggregate indebtedness to net capital (line 19 divided by line 10)	%	3850
21. Percentage of aggregate indebtedness to net capital <u>after</u> anticipated capital withdrawals (line 19 divided by line 10 less item 4880 page 12)	%	3853

COMPUTATION OF ALTERNATE NET CAPITAL REQUIREMENT

Part B

22. 2% of combined aggregate debit items as shown in Formula for Reserve Requirements pursuant to Rule 15c3-3 prepared as of the date of net capital computation including both brokers or dealers and consolidated subsidiaries' debits	\$	8,795,700	3870
23. Minimum dollar net capital requirement of reporting broker or dealer and minimum net capital requirement of subsidiaries computed in accordance with Note(A)	\$	1,000,000	3880
24. Net capital requirement (greater of line 22 or 23)	\$	8,795,700	3760
25. Excess net capital (line 10 less 24)	\$	105,834,365	3910
26. Percentage of Net Capital to Aggregate Debits (line 10 divided by line 18 page 8)	%	26.07	3851
27. Percentage of Net Capital, <u>after</u> anticipated capital withdrawals, to Aggregate Debits item 10 less Item 4880 page 12 divided by line 17 page 8)	%	26.07	3854
28. Net capital in excess of the greater of: 5% of combined aggregate debit items or 120% of minimum net capital requirement	\$	92,640,816	3920

OTHER RATIOS

Part C

29. Percentage of debt to debt-equity total computed in accordance with Rule 15c3-1 (d)	%	0.00	3860
30. Options deductions/Net Capital ratio (1000% test) total deductions exclusive of liquidating equity under Rule 15c3-1(a)(6), (a)(7) and (c)(2)(x) divided by Net Capital	%		3852

NOTES:

(A) The minimum net capital requirement should be computed by adding the minimum dollar net capital requirement of the reporting broker dealer and, for each subsidiary to be consolidated, the greater of:

1. Minimum dollar net capital requirement, or
2. 6-2/3% of aggregate indebtedness or 2% of aggregate debits if alternate method is used.

(B) Do not deduct the value of securities borrowed under subordination agreements or secured demand notes covered by subordination agreements not in satisfactory form and the market values of memberships in exchanges contributed for use of company (contra to item 1740) and partners' securities which were included in non-allowable assets.

(C) For reports filed pursuant to paragraph (d) of Rule 17a-5, respondent should provide a list of material non-allowable assets.

**SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II**

BROKER OR DEALER
WEBBUSH SECURITIES INC.

as of 09/30/13

**STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION
FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES**

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEA)

1. Net ledger balance		
A. Cash	\$	<u>7010</u>
B. Securities (at market)		<u>7020</u>
2. Net unrealized profit (loss) in open futures contracts traded on a contract market		<u>7030</u>
3. Exchange traded options		
A. Add market value of open option contracts purchased on a contract market		<u>7032</u>
B. Deduct market value of open option contracts granted (sold) on a contract market	(<u>7033</u>)
4. Net equity (deficit) (add lines 1, 2, and 3)		<u>7040</u>
5. Accounts liquidating to a deficit and accounts with debit balances		
- gross amount		<u>7045</u>
Less: amount offset by customer owned securities	(<u>7047</u>)
6. Amount required to be segregated (add lines 4 and 5)	\$	<u>7060</u>

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts		
A. Cash		<u>7070</u>
B. Securities representing investments of customers' funds (at market)		<u>7080</u>
C. Securities held for particular customers or option customers in lieu of cash (at market)		<u>7090</u>
8. Margins on deposit with derivatives clearing organizations of contract markets		
A. Cash	\$	<u>7100</u>
B. Securities representing investments of customers' funds (at market)		<u>7110</u>
C. Securities held for particular customers or option customers in lieu of cash (at market)		<u>7120</u>
9. Net settlement from (to) derivatives clearing organizations of contract markets		<u>7130</u>
10. Exchange traded options		
A. Value of open long option contracts		<u>7132</u>
B. Value of open short option contracts	(<u>7133</u>)
11. Net equities with other FCMs		
A. Net liquidating equity		<u>7140</u>
B. Securities representing investments of customers' funds (at market)		<u>7160</u>
C. Securities held for particular customers or option customers in lieu of cash (at market)		<u>7170</u>
12. Segregated funds on hand (describe: _____))	<u>7150</u>
13. Total amount in segregation (add lines 7 through 12)		<u>7180</u>
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)	\$	<u>7190</u>

**SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II**

BROKER OR DEALER

as of 09/30/13

WEBBUSH SECURITIES INC.

**STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION
FOR CUSTOMERS' DEALER OPTIONS ACCOUNTS**

1. Amount required to be segregated in accordance with Commission regulation 32.6	\$ <u>7200</u>
2. Funds in segregated accounts	
A. Cash	\$ <u>7210</u>
B. Securities (at market)	_____ <u>7220</u>
C. Total	_____ <u>7230</u>
3. Excess (deficiency) funds in segregation (subtract line 2.C from line 1)	\$ <u>7240</u>

**SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II**

BROKER OR DEALER WEBUSH SECURITIES INC.	as of 09/30/13
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**STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS
FOR FOREIGN FUTURES AND FOREIGN OPTIONS CUSTOMERS
PURSUANT TO COMMISSION REGULATION 30.7**

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS - SUMMARY

I. Check the appropriate box to identify the amount shown on line 1. below.

7300 Secured amounts in only U.S. - domiciled customers' accounts
 7310 Secured amounts in U.S. and foreign - domiciled customers' accounts
 7320 Net liquidating equities in all accounts of customers
trading on foreign boards of trade
 7330 Amount required to be set aside pursuant to law, rule
or regulation of a foreign government or a rule of a
self-regulatory organization authorized thereunder.

II. Has the FCM changed the method of calculating the amount to be set aside in separate
accounts since the last financial report it filed ?

Yes **7340** If yes, explain the change below
 No **7350**

1. Amount to be set aside in separate section 30.7 accounts	\$ <input type="text"/> 7360
2. Total funds in separate section 30.7 accounts (page T10-4, line 8)	<input type="text"/> 7370
3. Excess (deficiency) - (subtract line 1 from line 2)	\$ <input type="text"/> 7380

**SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II**

BROKER OR DEALER

WEBBUSH SECURITIES INC.

as of 09/30/13

**STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS
FOR FOREIGN FUTURES AND FOREIGN OPTIONS CUSTOMERS
PURSUANT TO COMMISSION REGULATION 30.7**

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks

A. Banks located in the United States	\$ <u>7500</u>
B. Other banks designated by the Commission Name(s): <u>7510</u>	\$ <u>7520</u> \$ <u>7530</u>

2. Securities

A. In safekeeping with banks located in the United States	\$ <u>7540</u>
B. In safekeeping with other banks designated by the Commission Name(s): <u>7550</u>	\$ <u>7560</u> <u>7570</u>

3. Equities with registered futures commission merchants

A. Cash	\$ <u>7580</u>
B. Securities	\$ <u>7590</u>
C. Unrealized gain (loss) on open futures contracts	\$ <u>7600</u>
D. Value of long option contracts	\$ <u>7610</u>
E. Value of short option contracts	(<u>7615</u>) <u>7620</u>

4. Amounts held by clearing organizations of foreign boards of trade

Name(s): <u>7630</u>	
A. Cash	\$ <u>7640</u>
B. Securities	\$ <u>7650</u>
C. Amount due to (from) clearing organizations - daily variation	\$ <u>7660</u>
D. Value of long option contracts	\$ <u>7670</u>
E. Value of short option contracts	(<u>7675</u>) <u>7680</u>

5. Amounts held by members of foreign boards of trade

Name(s): <u>7690</u>	
A. Cash	\$ <u>7700</u>
B. Securities	\$ <u>7710</u>
C. Unrealized gain (loss) on open futures contracts	\$ <u>7720</u>
D. Value of long option contracts	\$ <u>7730</u>
E. Value of short option contracts	(<u>7735</u>) <u>7740</u>

6. Amounts with other depositories designated by a foreign board of trade

Name(s): <u>7750</u>	\$ <u>7760</u>
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7. Segregated funds on hand (describe: _____)

8. Total funds in separate section 30.7 accounts (to page T10-3 line 2)	\$ <u>7770</u>
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A. If any securities shown are other than the types of securities referred to in CFTC Regulation 1.25, attach a separate schedule detailing the obligations shown on each such line.