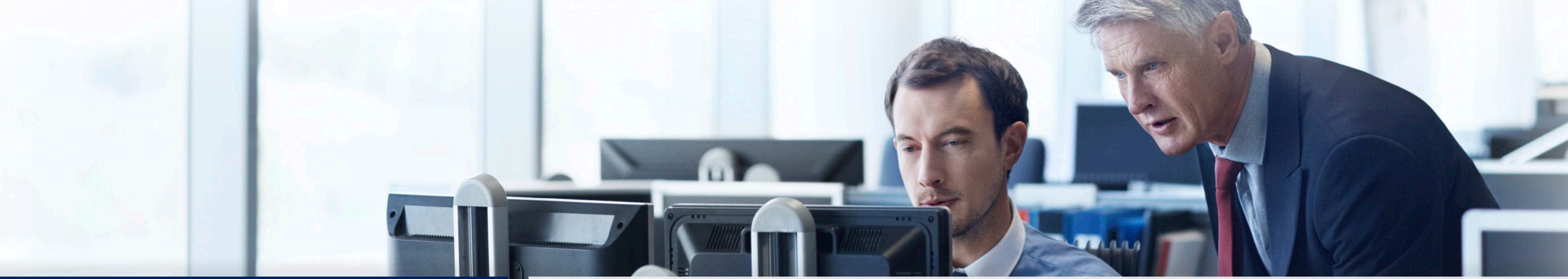

WEALTH MANAGEMENT DIVISION

TECHNOLOGY PLATFORM

About Wedbush Wealth Management Division

Wedbush's Wealth Management Division encompasses Wedbush Financial Advisors and Independent Advisors, along with Office Managers, Division Managers, Staff, and Corporate colleagues, who work together to build a successful financial practice through innovation, insight, and expertise.

The firm's Wealth Management Division provides personalized investing, advising, guidance, and planning services to both individual and institutional clients. Wedbush's entrepreneurial environment has led to the growth of over 100 offices across the country. Our advisors are committed to building long-term relationships rooted in trust, reliability,

BROKER INSIGHT

Broker Insight empowers **Wedbush Financial Advisors** by putting the essential tools of portfolio management in one dynamic and flexible environment that is easy to navigate.

Broker Insight – Tailored Advisor Technology

Developed with the Wedbush Financial Advisor in mind, the Wedbush Securities Broker Insight workstation is a highly reliable and secure platform for order entry, portfolio valuation, and account management. Broker Insight improves Advisors' productivity and provides the flexibility and customization needed for Advisors to grow their business.

Developed with an easy-to-use format that utilizes point-and-click interfaces and drop-down list functionality, the Broker Insight workstation offers seamless movement between accounts and a two-click Order Entry System designed to promote efficiency for the Wedbush Financial Advisor. Dynamic alerts on order executions and changes in market value, as well as intraday portfolio pricing, give Wedbush Financial Advisors a significant advantage over their competitors. Professional reports and client query tools built into the workstation are designed to add value to their client relationships.

Broker Insight provides prepared reports and research that can be customized for streamlined delivery across multiple platforms that enable Wedbush Financial Advisors to effectively communicate with their clients.

Broker Insight Featured Tools:

- Portfolio Performance Reporting
- Sales & Marketing Alerts
- Portfolio Alerts
- Portfolio History
- Portfolio Valuation Reports
- Real-Time Market Data
- Order Status
- Income Projection
- Multiple Order Entry
- Asset Management Order Entry
- View Household Accounts
- Risk Analysis Tools
- Manage New Accounts
- Account Document Management
- Forms Library
- Report Writer
- Real-Time Risk Management
- Real-Time Stock Record

Bond Insight

Bond Insight is a trading platform that allows Advisors to electronically place fixed income trades on behalf of their clients, choosing from over 20,000 securities from more than 70 financial services organizations.

Our Advisors have deep inventories and competitive pricing without a middle man, along with detailed trade and securities information.

Wedbush also supplements municipal offerings with new and secondary unit investment trust offerings.

WEDBUSH

WEALTH MANAGEMENT DIVISION
TECHNOLOGY PLATFORM

About Wedbush Securities

Since our founding in 1955, Wedbush has been a leader in the financial industry providing our clients with a wide range of services; including institutional sales, correspondent clearing services, equity research, corporate and municipal finance, equity market making, fixed income trading, prime brokerage, and wealth management.

Headquartered in Los Angeles, with nearly 100 registered offices, the firm focuses on dedicated service, client financial safety, continuity, and advanced technology. Wedbush Securities is the largest subsidiary of holding company WEDBUSH, Inc., which also includes affiliated firms.

www.wedbush.com

www.wedbush.com/clientlink

CLIENTLINK™

ClientLink's modern data security standards ensure that our clients are the only ones accessing their account.

ClientLink™ – Tailored Client Technology

ClientLink is a digital portal offering clients direct online access to their accounts, positions updated with intraday pricing, balances, unrealized and realized gains and losses, research reports, monthly statements, and trade confirmations. In addition, clients have the option to view household account information.

ClientLink provides convenient online access to Wedbush accounts, 24 hours a day, 7 days a week. Save time and stay informed with access to:

- Accounts Summaries
- Account Balances
- Account Positions
- Account Activity
- CreditPlus® Activity
- Unrealized/Realized
- Gains & Losses
- Account Statements
- Trade Confirmations
- Tax Statements
- Quotes
- Research Reports
- Open Order Confirmations

CreditPlus®

CreditPlus is a cash management account that provides Wedbush clients the convenience of combining your securities, checking, and VISA™ Debit Card transactions into one easy-to-manage account. CreditPlus is specially designed to save clients time and money by offering:

- Immediate Access to Funds
- VISA™ Debit Card
- Unlimited Check Writing
- Attractive Interest Rates
- Convenient Record Keeping & 24-Hour Online Access
- Direct Deposit & Easy Transfer Option