

**WINJAMMER FILING**

**INITIAL**

**End Date:1/10/2017**

**Firm Name:WEDBUSH SECURITIES INC**

**Form:Daily Seg - FOCUS II**

**Submit Date:1/11/2017**

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**Daily Segregation - Cover Page**

Name of Company

Contact Name

Contact Phone Number

Contact Email Address

**WEDBUSH SECURITIES INC**

**Patti Kane**

**312-784-0422**

**Patti.kane@wedbush.com**

FCM's Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

**13,000,000**

b. Minimum percentage of customer segregated funds required:% ; or

**0**

c. Dollar amount range between:and; or

**0 0**

d. Percentage range of customer segregated funds required between:% and%.

**0 0**

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

**1,000,000**

b. Minimum percentage of customer secured funds required:% ; or

**0**

c. Dollar amount range between:and; or

**0 0**

d. Percentage range of customer secured funds required between:% and%.

**0 0**

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

**0**

b. Minimum percentage of cleared swaps customer collateral required:% ; or

**0**

c. Dollar amount range between:and; or

**0 0**

d. Percentage range of cleared swaps customer collateral required between:% and%.

**0 0**

Attach supporting documents

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**Daily Segregation - Secured Amounts**

Foreign Futures and Foreign Options Secured Amounts

|                                                                                                                                                               |                                     |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------|
| Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder | <u>0</u> [7305]                     |
| 1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers                                                                            |                                     |
| A. Cash                                                                                                                                                       | <u>18,940,160</u> [7315]            |
| B. Securities (at market)                                                                                                                                     | <u>0</u> [7317]                     |
| 2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade                                                                  | <u>-860,449</u> [7325]              |
| 3. Exchange traded options                                                                                                                                    |                                     |
| a. Market value of open option contracts purchased on a foreign board of trade                                                                                | <u>0</u> [7335]                     |
| b. Market value of open contracts granted (sold) on a foreign board of trade                                                                                  | <u>0</u> [7337]                     |
| 4. Net equity (deficit) (add lines 1. 2. and 3.)                                                                                                              | <u>18,079,711</u> [7345]            |
| 5. Account liquidating to a deficit and account with a debit balances - gross amount                                                                          | <u>2,029</u> [7351]                 |
| Less: amount offset by customer owned securities                                                                                                              | <u>0</u> [7352] <u>2,029</u> [7354] |
| 6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)                                                  | <u>18,081,740</u> [7355]            |
| 7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.                                                             | <u>18,081,740</u> [7360]            |

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

|                                                                                                            |                                                 |
|------------------------------------------------------------------------------------------------------------|-------------------------------------------------|
| 1. Cash in banks                                                                                           |                                                 |
| A. Banks located in the United States                                                                      | <u>6,071,633</u> [7500]                         |
| B. Other banks qualified under Regulation 30.7                                                             | <u>3,298,830</u> [7520] <u>9,370,463</u> [7530] |
| 2. Securities                                                                                              |                                                 |
| A. In safekeeping with banks located in the United States                                                  | <u>0</u> [7540]                                 |
| B. In safekeeping with other banks qualified under Regulation 30.7                                         | <u>0</u> [7560] <u>0</u> [7570]                 |
| 3. Equities with registered futures commission merchants                                                   |                                                 |
| A. Cash                                                                                                    | <u>0</u> [7580]                                 |
| B. Securities                                                                                              | <u>0</u> [7590]                                 |
| C. Unrealized gain (loss) on open futures contracts                                                        | <u>0</u> [7600]                                 |
| D. Value of long option contracts                                                                          | <u>0</u> [7610]                                 |
| E. Value of short option contracts                                                                         | <u>0</u> [7615] <u>0</u> [7620]                 |
| 4. Amounts held by clearing organizations of foreign boards of trade                                       |                                                 |
| A. Cash                                                                                                    | <u>7,990,473</u> [7640]                         |
| B. Securities                                                                                              | <u>0</u> [7650]                                 |
| C. Amount due to (from) clearing organization - daily variation                                            | <u>0</u> [7660]                                 |
| D. Value of long option contracts                                                                          | <u>0</u> [7670]                                 |
| E. Value of short option contracts                                                                         | <u>0</u> [7675] <u>7,990,473</u> [7680]         |
| 5. Amounts held by members of foreign boards of trade                                                      |                                                 |
| A. Cash                                                                                                    | <u>3,326,084</u> [7700]                         |
| B. Securities                                                                                              | <u>0</u> [7710]                                 |
| C. Unrealized gain (loss) on open futures contracts                                                        | <u>0</u> [7720]                                 |
| D. Value of long option contracts                                                                          | <u>0</u> [7730]                                 |
| E. Value of short option contracts                                                                         | <u>0</u> [7735] <u>3,326,084</u> [7740]         |
| 6. Amounts with other depositories designated by a foreign board of trade                                  | <u>0</u> [7760]                                 |
| 7. Segregated funds on hand                                                                                | <u>0</u> [7765]                                 |
| 8. Total funds in separate section 30.7 accounts                                                           | <u>20,687,020</u> [7770]                        |
| 9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) | <u>2,605,280</u> [7380]                         |
| 10. Management Target Amount for Excess funds in separate section 30.7 accounts                            | <u>1,000,000</u> [7780]                         |
| 11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target                     | <u>1,605,280</u> [7785]                         |

**INITIAL****End Date:1/10/2017****Firm Name:WEDBUSH SECURITIES INC****Form:Daily Seg - FOCUS II****Submit Date:1/11/2017****Daily Segregation - Segregation Statement**

## SEGREGATION REQUIREMENTS(Section 4d(2) of the CEAct)

|     |                                                                                             |                                                            |
|-----|---------------------------------------------------------------------------------------------|------------------------------------------------------------|
| 1.  | Net ledger balance                                                                          |                                                            |
|     | A. Cash                                                                                     | <b><u>968,665,219</u></b> [7010]                           |
|     | B. Securities (at market)                                                                   | <b><u>513,208,919</u></b> [7020]                           |
| 2.  | Net unrealized profit (loss) in open futures contracts traded on a contract market          | <b><u>9,551,494</u></b> [7030]                             |
| 3.  | Exchange traded options                                                                     |                                                            |
|     | A. Add market value of open option contracts purchased on a contract market                 | <b><u>339,691,198</u></b> [7032]                           |
|     | B. Deduct market value of open option contracts granted (sold) on a contract market         | <b><u>-388,828,647</u></b> [7033]                          |
| 4.  | Net equity (deficit) (add lines 1, 2 and 3)                                                 | <b><u>1,442,288,183</u></b> [7040]                         |
| 5.  | Accounts liquidating to a deficit and accounts with debit balances - gross amount           | <b><u>1,700,740</u></b> [7045]                             |
|     | Less: amount offset by customer securities                                                  | <b><u>-974,810</u></b> [7047] <b><u>725,930</u></b> [7050] |
| 6.  | Amount required to be segregated (add lines 4 and 5)                                        | <b><u>1,443,014,113</u></b> [7060]                         |
|     | FUNDS IN SEGREGATED ACCOUNTS                                                                |                                                            |
| 7.  | Deposited in segregated funds bank accounts                                                 |                                                            |
|     | A. Cash                                                                                     | <b><u>488,646,675</u></b> [7070]                           |
|     | B. Securities representing investments of customers' funds (at market)                      | <b><u>65,000,000</u></b> [7080]                            |
|     | C. Securities held for particular customers or option customers in lieu of cash (at market) | <b><u>538,473</u></b> [7090]                               |
| 8.  | Margins on deposit with derivatives clearing organizations of contract markets              |                                                            |
|     | A. Cash                                                                                     | <b><u>258,026,338</u></b> [7100]                           |
|     | B. Securities representing investments of customers' funds (at market)                      | <b><u>194,927,850</u></b> [7110]                           |
|     | C. Securities held for particular customers or option customers in lieu of cash (at market) | <b><u>510,250,224</u></b> [7120]                           |
| 9.  | Net settlement from (to) derivatives clearing organizations of contract markets             | <b><u>-10,405,317</u></b> [7130]                           |
| 10. | Exchange traded options                                                                     |                                                            |
|     | A. Value of open long option contracts                                                      | <b><u>339,691,198</u></b> [7132]                           |
|     | B. Value of open short option contracts                                                     | <b><u>-388,828,647</u></b> [7133]                          |
| 11. | Net equities with other FCMs                                                                |                                                            |
|     | A. Net liquidating equity                                                                   | <b><u>0</u></b> [7140]                                     |
|     | B. Securities representing investments of customers' funds (at market)                      | <b><u>0</u></b> [7160]                                     |
|     | C. Securities held for particular customers or option customers in lieu of cash (at market) | <b><u>0</u></b> [7170]                                     |
| 12. | Segregated funds on hand                                                                    | <b><u>2,420,222</u></b> [7150]                             |
| 13. | Total amount in segregation (add lines 7 through 12)                                        | <b><u>1,460,267,016</u></b> [7180]                         |
| 14. | Excess (deficiency) funds in segregation (subtract line 6 from line 13)                     | <b><u>17,252,903</u></b> [7190]                            |
| 15. | Management Target Amount for Excess funds in segregation                                    | <b><u>13,000,000</u></b> [7194]                            |
| 16. | Excess (deficiency) funds in segregation over (under) Management Target Amount              | <b><u>4,252,903</u></b> [7198]                             |
|     | Excess                                                                                      |                                                            |

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**Daily Segregation - Swaps Statement**

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS  
AND  
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

|                                     |                                                                                                                                                   |
|-------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------|
| Cleared Swaps Customer Requirements |                                                                                                                                                   |
| 1.                                  | Net ledger balance                                                                                                                                |
|                                     | A. Cash <span style="float:right"><u>0</u> [8500]</span>                                                                                          |
|                                     | B. Securities (at market) <span style="float:right"><u>0</u> [8510]</span>                                                                        |
| 2.                                  | Net unrealized profit (loss) in open cleared swaps <span style="float:right"><u>0</u> [8520]</span>                                               |
| 3.                                  | Cleared swaps options                                                                                                                             |
|                                     | A. Market value of open cleared swaps option contracts purchased <span style="float:right"><u>0</u> [8530]</span>                                 |
|                                     | B. Market value of open cleared swaps option contracts granted (sold) <span style="float:right"><u>0</u> [8540]</span>                            |
| 4.                                  | Net Equity (deficit) (add lines 1, 2, and 3) <span style="float:right"><u>0</u> [8550]</span>                                                     |
| 5.                                  | Accounts liquidating to a deficit and accounts with debit balances - gross amount <span style="float:right"><u>0</u> [8560]</span>                |
|                                     | Less: amount offset by customer owned securities <span style="float:right"><u>0</u> [8570] <u>0</u> [8580]</span>                                 |
| 6.                                  | Amount required to be segregated for cleared swaps customers (add lines 4 and 5) <span style="float:right"><u>0</u> [8590]</span>                 |
|                                     | Funds in Cleared Swaps Customer Segregated Accounts                                                                                               |
| 7.                                  | Deposited in cleared swaps customer segregated accounts at banks                                                                                  |
|                                     | A. Cash <span style="float:right"><u>0</u> [8600]</span>                                                                                          |
|                                     | B. Securities representing investments of cleared swaps customers' funds (at market) <span style="float:right"><u>0</u> [8610]</span>             |
|                                     | C. Securities held for particular cleared swaps customers in lieu of cash (at market) <span style="float:right"><u>0</u> [8620]</span>            |
| 8.                                  | Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts                                          |
|                                     | A. Cash <span style="float:right"><u>0</u> [8630]</span>                                                                                          |
|                                     | B. Securities representing investments of cleared swaps customers' funds (at market) <span style="float:right"><u>0</u> [8640]</span>             |
|                                     | C. Securities held for particular cleared swaps customers in lieu of cash (at market) <span style="float:right"><u>0</u> [8650]</span>            |
| 9.                                  | Net settlement from (to) derivatives clearing organizations <span style="float:right"><u>0</u> [8660]</span>                                      |
| 10.                                 | Cleared swaps options                                                                                                                             |
|                                     | A. Value of open cleared swaps long option contracts <span style="float:right"><u>0</u> [8670]</span>                                             |
|                                     | B. Value of open cleared swaps short option contracts <span style="float:right"><u>0</u> [8680]</span>                                            |
| 11.                                 | Net equities with other FCMs                                                                                                                      |
|                                     | A. Net liquidating equity <span style="float:right"><u>0</u> [8690]</span>                                                                        |
|                                     | B. Securities representing investments of cleared swaps customers' funds (at market) <span style="float:right"><u>0</u> [8700]</span>             |
|                                     | C. Securities held for particular cleared swaps customers in lieu of cash (at market) <span style="float:right"><u>0</u> [8710]</span>            |
| 12.                                 | Cleared swaps customer funds on hand                                                                                                              |
|                                     | A. Cash <span style="float:right"><u>0</u></span>                                                                                                 |
|                                     | B. Securities representing investments of cleared swaps customers' funds (at market) <span style="float:right"><u>0</u></span>                    |
|                                     | C. Securities held for particular cleared swaps customers in lieu of cash (at market) <span style="float:right"><u>0</u> <u>0</u> [8715]</span>   |
| 13.                                 | Total amount in cleared swaps customer segregation (add lines 7 through 12) <span style="float:right"><u>0</u> [8720]</span>                      |
| 14.                                 | Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) <span style="float:right"><u>0</u> [8730]</span>   |
| 15.                                 | Management Target Amount for Excess funds in cleared swaps segregated accounts <span style="float:right"><u>0</u> [8760]</span>                   |
| 16.                                 | Excess (deficiency) funds in cleared swaps customer segregation accounts over (under) Management <span style="float:right"><u>0</u> [8770]</span> |