

# WINJAMMER FILING

INITIAL

End Date:9/8/2017

Firm Name:WEDBUSH SECURITIES INC

Form:Daily Seg - FOCUS II

Submit Date:9/11/2017

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**Daily Segregation - Cover Page**

Name of Company

Contact Name

Contact Phone Number

Contact Email Address

**WEDBUSH SECURITIES INC**

**Kevin Wirth**

**312-784-0475**

**kevin.wirth@wedbush.com**

FCM's Customer Segregated Funds Residual Interest Target (choose one):

- a. Minimum dollar amount: ; or **13,000,000**
- b. Minimum percentage of customer segregated funds required:% ; or **0**
- c. Dollar amount range between:and; or **0 0**
- d. Percentage range of customer segregated funds required between:% and%. **0 0**

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

- a. Minimum dollar amount: ; or **1,000,000**
- b. Minimum percentage of customer secured funds required:% ; or **0**
- c. Dollar amount range between:and; or **0 0**
- d. Percentage range of customer secured funds required between:% and%. **0 0**

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

- a. Minimum dollar amount: ; or **0**
- b. Minimum percentage of cleared swaps customer collateral required:% ; or **0**
- c. Dollar amount range between:and; or **0 0**
- d. Percentage range of cleared swaps customer collateral required between:% and%. **0 0**

Attach supporting documents

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**Firm Name:WEDBUSH SECURITIES INC****Form:Daily Seg - FOCUS II****Submit Date:9/11/2017****Daily Segregation - Secured Amounts**

Foreign Futures and Foreign Options Secured Amounts	
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder	<b>0</b> [7305]
1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers	<b>14,552,307</b> [7315]
A. Cash	<b>0</b> [7317]
B. Securities (at market)	<b>-1,245,854</b> [7325]
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade	<b>0</b> [7335]
3. Exchange traded options	<b>0</b> [7337]
a. Market value of open option contracts purchased on a foreign board of trade	<b>13,306,453</b> [7345]
b. Market value of open contracts granted (sold) on a foreign board of trade	<b>2,876</b> [7351]
4. Net equity (deficit) (add lines 1. 2. and 3.)	<b>0</b> [7352] <b>2,876</b> [7354]
5. Account liquidating to a deficit and account with a debit balances - gross amount	<b>13,309,329</b> [7355]
Less: amount offset by customer owned securities	
6. Amount required to be set aside as the secured amount - Net Liquidating Equity	<b>13,309,329</b> [7360]
Method (add lines 4 and 5)	
7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.	<b>13,309,329</b> [7360]
FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS	
1. Cash in banks	<b>1,402,186</b> [7500]
A. Banks located in the United States	<b>942,949</b> [7520] <b>2,345,135</b> [7530]
B. Other banks qualified under Regulation 30.7	
2. Securities	<b>0</b> [7540]
A. In safekeeping with banks located in the United States	<b>0</b> [7560] <b>0</b> [7570]
B. In safekeeping with other banks qualified under Regulation 30.7	
3. Equities with registered futures commission merchants	<b>7,718,771</b> [7580]
A. Cash	<b>0</b> [7590]
B. Securities	<b>0</b> [7600]
C. Unrealized gain (loss) on open futures contracts	<b>0</b> [7610]
D. Value of long option contracts	<b>0</b> [7615] <b>7,718,771</b> [7620]
E. Value of short option contracts	
4. Amounts held by clearing organizations of foreign boards of trade	<b>6,285,198</b> [7640]
A. Cash	<b>0</b> [7650]
B. Securities	<b>0</b> [7660]
C. Amount due to (from) clearing organization - daily variation	<b>0</b> [7670]
D. Value of long option contracts	<b>0</b> [7675] <b>6,285,198</b> [7680]
E. Value of short option contracts	
5. Amounts held by members of foreign boards of trade	<b>1,860,410</b> [7700]
A. Cash	<b>0</b> [7710]
B. Securities	<b>0</b> [7720]
C. Unrealized gain (loss) on open futures contracts	<b>0</b> [7730]
D. Value of long option contracts	<b>0</b> [7735] <b>1,860,410</b> [7740]
E. Value of short option contracts	
6. Amounts with other depositories designated by a foreign board of trade	<b>0</b> [7760]
7. Segregated funds on hand	<b>0</b> [7765]
8. Total funds in separate section 30.7 accounts	<b>18,209,514</b> [7770]
9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)	<b>4,900,185</b> [7380]
10. Management Target Amount for Excess funds in separate section 30.7 accounts	<b>1,000,000</b> [7780]
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target	<b>3,900,185</b> [7785]

**INITIAL****End Date:9/8/2017****Firm Name:WEDBUSH SECURITIES INC****Form:Daily Seg - FOCUS II****Submit Date:9/11/2017****Daily Segregation - Segregation Statement**

## SEGREGATION REQUIREMENTS(Section 4d(2) of the CEAct)

1.	Net ledger balance	
	A. Cash	<u>1,170,778,930</u> [7010]
	B. Securities (at market)	<u>302,307,999</u> [7020]
2.	Net unrealized profit (loss) in open futures contracts traded on a contract market	<u>19,546,869</u> [7030]
3.	Exchange traded options	
	A. Add market value of open option contracts purchased on a contract market	<u>234,359,778</u> [7032]
	B. Deduct market value of open option contracts granted (sold) on a contract market	<u>-210,226,764</u> [7033]
4.	Net equity (deficit) (add lines 1, 2 and 3)	<u>1,516,766,812</u> [7040]
5.	Accounts liquidating to a deficit and accounts with	
	debit balances - gross amount	<u>611,934</u> [7045]
	Less: amount offset by customer securities	<u>-121,191</u> [7047] <u>490,743</u> [7050]
6.	Amount required to be segregated (add lines 4 and 5)	<u>1,517,257,555</u> [7060]
	FUNDS IN SEGREGATED ACCOUNTS	
7.	Deposited in segregated funds bank accounts	
	A. Cash	<u>600,099,849</u> [7070]
	B. Securities representing investments of customers' funds (at market)	<u>20,000,000</u> [7080]
	C. Securities held for particular customers or option customers in lieu of cash (at market)	<u>892,288</u> [7090]
8.	Margins on deposit with derivatives clearing organizations of contract markets	
	A. Cash	<u>465,635,256</u> [7100]
	B. Securities representing investments of customers' funds (at market)	<u>145,020,718</u> [7110]
	C. Securities held for particular customers or option customers in lieu of cash (at market)	<u>297,857,160</u> [7120]
9.	Net settlement from (to) derivatives clearing organizations of contract markets	<u>-23,022,459</u> [7130]
10.	Exchange traded options	
	A. Value of open long option contracts	<u>234,359,778</u> [7132]
	B. Value of open short option contracts	<u>-210,226,764</u> [7133]
11.	Net equities with other FCMs	
	A. Net liquidating equity	<u>0</u> [7140]
	B. Securities representing investments of customers' funds (at market)	<u>0</u> [7160]
	C. Securities held for particular customers or option customers in lieu of cash (at market)	<u>0</u> [7170]
12.	Segregated funds on hand	<u>3,558,551</u> [7150]
13.	Total amount in segregation (add lines 7 through 12)	<u>1,534,174,377</u> [7180]
14.	Excess (deficiency) funds in segregation (subtract line 6 from line 13)	<u>16,916,822</u> [7190]
15.	Management Target Amount for Excess funds in segregation	<u>13,000,000</u> [7194]
16.	Excess (deficiency) funds in segregation over (under) Management Target Amount	<u>3,916,822</u> [7198]
	Excess	

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STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS  
AND  
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

## Cleared Swaps Customer Requirements

1.	Net ledger balance	<b>0 [8500]</b>
	A. Cash	<b>0 [8510]</b>
	B. Securities (at market)	<b>0 [8520]</b>
2.	Net unrealized profit (loss) in open cleared swaps	<b>0 [8530]</b>
3.	Cleared swaps options	<b>0 [8540]</b>
	A. Market value of open cleared swaps option contracts purchased	<b>0 [8550]</b>
	B. Market value of open cleared swaps option contracts granted (sold)	<b>0 [8560]</b>
4.	Net Equity (deficit) (add lines 1, 2, and 3)	<b>0 [8570] 0 [8580]</b>
5.	Accounts liquidating to a deficit and accounts with debit balances - gross amount	<b>0 [8590]</b>
	Less: amount offset by customer owned securities	
6.	Amount required to be segregated for cleared swaps customers (add lines 4 and 5)	<b>0 [8600]</b>
	Funds in Cleared Swaps Customer Segregated Accounts	
7.	Deposited in cleared swaps customer segregated accounts at banks	<b>0 [8610]</b>
	A. Cash	<b>0 [8620]</b>
	B. Securities representing investments of cleared swaps customers' funds (at market)	<b>0 [8630]</b>
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<b>0 [8640]</b>
8.	Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts	<b>0 [8650]</b>
	A. Cash	<b>0 [8660]</b>
	B. Securities representing investments of cleared swaps customers' funds (at market)	<b>0 [8670]</b>
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<b>0 [8680]</b>
9.	Net settlement from (to) derivatives clearing organizations	<b>0 [8690]</b>
10.	Cleared swaps options	<b>0 [8700]</b>
	A. Value of open cleared swaps long option contracts	<b>0 [8710]</b>
	B. Value of open cleared swaps short option contracts	<b>0 [8720]</b>
11.	Net equities with other FCMs	<b>0 [8730]</b>
	A. Net liquidating equity	<b>0 [8740]</b>
	B. Securities representing investments of cleared swaps customers' funds (at market)	<b>0 [8750]</b>
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<b>0 [8760]</b>
12.	Cleared swaps customer funds on hand	<b>0 [8770]</b>
	A. Cash	<b>0 [8780]</b>
	B. Securities representing investments of cleared swaps customers' funds (at market)	<b>0 [8790]</b>
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<b>0 [8800]</b>
13.	Total amount in cleared swaps customer segregation (add lines 7 through 12)	<b>0 [8810]</b>
14.	Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)	<b>0 [8820]</b>
15.	Management Target Amount for Excess funds in cleared swaps segregated accounts	<b>0 [8830]</b>
16.	Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management	<b>0 [8840]</b>