

**WINJAMMER FILING**

**INITIAL**

**End Date:6/15/2018**

**Firm Name:WEDBUSH SECURITIES INC**

**Form:Daily Seg - FOCUS II**

**Submit Date:6/18/2018**

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**Daily Segregation - Cover Page**

Name of Company

**WEDBUSH SECURITIES INC**

Contact Name

**Kevin Wirth**

Contact Phone Number

**312-784-0475**

Contact Email Address

**kevin.wirth@wedbush.com**

FCM's Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

**13,000,000**

b. Minimum percentage of customer segregated funds required:% ; or

**0**

c. Dollar amount range between:and; or

**0 0**

d. Percentage range of customer segregated funds required between:% and%.

**0 0**

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

**1,000,000**

b. Minimum percentage of customer secured funds required:% ; or

**0**

c. Dollar amount range between:and; or

**0 0**

d. Percentage range of customer secured funds required between:% and%.

**0 0**

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

**0**

b. Minimum percentage of cleared swaps customer collateral required:% ; or

**0**

c. Dollar amount range between:and; or

**0 0**

d. Percentage range of cleared swaps customer collateral required between:% and%.

**0 0**

Attach supporting documents

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**Daily Segregation - Secured Amounts**

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder

- 1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
  - A. Cash **12,096,096** [7315]
  - B. Securities (at market) **0** [7317]
- 2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade **-584,864** [7325]
- 3. Exchange traded options
  - a. Market value of open option contracts purchased on a foreign board of trade **853** [7335]
  - b. Market value of open contracts granted (sold) on a foreign board of trade **0** [7337]
- 4. Net equity (deficit) (add lines 1. 2. and 3.) **11,512,085** [7345]
- 5. Account liquidating to a deficit and account with a debit balances - gross amount **1,587** [7351]  
Less: amount offset by customer owned securities **0** [7352] **1,587** [7354]
- 6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) **11,513,672** [7355]
- 7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. **11,513,672** [7360]

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

- 1. Cash in banks
  - A. Banks located in the United States **726,237** [7500]
  - B. Other banks qualified under Regulation 30.7 **662,170** [7520] **1,388,407** [7530]
- 2. Securities
  - A. In safekeeping with banks located in the United States **0** [7540]
  - B. In safekeeping with other banks qualified under Regulation 30.7 **0** [7560] **0** [7570]
- 3. Equities with registered futures commission merchants
  - A. Cash **5,890,990** [7580]
  - B. Securities **0** [7590]
  - C. Unrealized gain (loss) on open futures contracts **0** [7600]
  - D. Value of long option contracts **0** [7610]
  - E. Value of short option contracts **0** [7615] **5,890,990** [7620]
- 4. Amounts held by clearing organizations of foreign boards of trade
  - A. Cash **6,869,751** [7640]
  - B. Securities **0** [7650]
  - C. Amount due to (from) clearing organization - daily variation **0** [7660]
  - D. Value of long option contracts **0** [7670]
  - E. Value of short option contracts **0** [7675] **6,869,751** [7680]
- 5. Amounts held by members of foreign boards of trade
  - A. Cash **1,239,692** [7700]
  - B. Securities **0** [7710]
  - C. Unrealized gain (loss) on open futures contracts **0** [7720]
  - D. Value of long option contracts **853** [7730]
  - E. Value of short option contracts **0** [7735] **1,240,545** [7740]
- 6. Amounts with other depositories designated by a foreign board of trade **0** [7760]
- 7. Segregated funds on hand **0** [7765]
- 8. Total funds in separate section 30.7 accounts **15,389,693** [7770]
- 9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) **3,876,021** [7380]
- 10. Management Target Amount for Excess funds in separate section 30.7 accounts **1,000,000** [7780]
- 11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target **2,876,021** [7785]

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**Daily Segregation - Segregation Statement**

## SEGREGATION REQUIREMENTS(Section 4d(2) of the CEAct)

|     |   |  |
|-----|---|--|
| 1.  | Net ledger balance  |  |
|     | A. Cash   | <b><u>960,861,540</u></b> [7010]                             |
|     | B. Securities (at market)   | <b><u>236,858,491</u></b> [7020]                             |
| 2.  | Net unrealized profit (loss) in open futures contracts traded on a contract market          | <b><u>52,543,934</u></b> [7030]                              |
| 3.  | Exchange traded options   |  |
|     | A. Add market value of open option contracts purchased on a contract market                 | <b><u>606,524,841</u></b> [7032]                             |
|     | B. Deduct market value of open option contracts granted (sold) on a contract market         | <b><u>-641,839,430</u></b> [7033]                            |
| 4.  | Net equity (deficit) (add lines 1, 2 and 3)   | <b><u>1,214,949,376</u></b> [7040]                           |
| 5.  | Accounts liquidating to a deficit and accounts with debit balances - gross amount           | <b><u>1,622,893</u></b> [7045]                               |
|     | Less: amount offset by customer securities  | <b><u>-306,656</u></b> [7047] <b><u>1,316,237</u></b> [7050] |
| 6.  | Amount required to be segregated (add lines 4 and 5)  | <b><u>1,216,265,613</u></b> [7060]                           |
|     | FUNDS IN SEGREGATED ACCOUNTS  |  |
| 7.  | Deposited in segregated funds bank accounts   |  |
|     | A. Cash   | <b><u>481,214,997</u></b> [7070]                             |
|     | B. Securities representing investments of customers' funds (at market)                      | <b><u>30,000,000</u></b> [7080]                              |
|     | C. Securities held for particular customers or option customers in lieu of cash (at market) | <b><u>4,181,510</u></b> [7090]                               |
| 8.  | Margins on deposit with derivatives clearing organizations of contract markets              |  |
|     | A. Cash   | <b><u>409,378,627</u></b> [7100]                             |
|     | B. Securities representing investments of customers' funds (at market)                      | <b><u>144,650,695</u></b> [7110]                             |
|     | C. Securities held for particular customers or option customers in lieu of cash (at market) | <b><u>230,279,317</u></b> [7120]                             |
| 9.  | Net settlement from (to) derivatives clearing organizations of contract markets             | <b><u>-32,705,410</u></b> [7130]                             |
| 10. | Exchange traded options   |  |
|     | A. Value of open long option contracts  | <b><u>606,524,841</u></b> [7132]                             |
|     | B. Value of open short option contracts   | <b><u>-641,839,430</u></b> [7133]                            |
| 11. | Net equities with other FCMs  |  |
|     | A. Net liquidating equity   | <b><u>0</u></b> [7140]                                       |
|     | B. Securities representing investments of customers' funds (at market)                      | <b><u>0</u></b> [7160]                                       |
|     | C. Securities held for particular customers or option customers in lieu of cash (at market) | <b><u>0</u></b> [7170]                                       |
| 12. | Segregated funds on hand  | <b><u>2,397,664</u></b> [7150]                               |
| 13. | Total amount in segregation (add lines 7 through 12)  | <b><u>1,234,082,811</u></b> [7180]                           |
| 14. | Excess (deficiency) funds in segregation (subtract line 6 from line 13)                     | <b><u>17,817,198</u></b> [7190]                              |
| 15. | Management Target Amount for Excess funds in segregation                                    | <b><u>13,000,000</u></b> [7194]                              |
| 16. | Excess (deficiency) funds in segregation over (under) Management Target Amount              | <b><u>4,817,198</u></b> [7198]                               |
|     | Excess  |  |

**INITIAL****End Date:6/15/2018****Firm Name:WEDBUSH SECURITIES INC****Form:Daily Seg - FOCUS II****Submit Date:6/18/2018****Daily Segregation - Swaps Statement**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS  
AND  
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

| Cleared Swaps Customer Requirements |  |
|-------------------------------------|--|
| 1.                                  | Net ledger balance   |
|                                     | A. Cash <u>0</u> [8500]  |
|                                     | B. Securities (at market) <u>0</u> [8510]  |
| 2.                                  | Net unrealized profit (loss) in open cleared swaps <u>0</u> [8520]   |
| 3.                                  | Cleared swaps options  |
|                                     | A. Market value of open cleared swaps option contracts purchased <u>0</u> [8530]                                 |
|                                     | B. Market value of open cleared swaps option contracts granted (sold) <u>0</u> [8540]                            |
| 4.                                  | Net Equity (deficit) (add lines 1, 2, and 3) <u>0</u> [8550]   |
| 5.                                  | Accounts liquidating to a deficit and accounts with debit balances - gross amount <u>0</u> [8560]                |
|                                     | Less: amount offset by customer owned securities <u>0</u> [8570] <u>0</u> [8580]                                 |
| 6.                                  | Amount required to be segregated for cleared swaps customers (add lines 4 and 5) <u>0</u> [8590]                 |
|                                     | Funds in Cleared Swaps Customer Segregated Accounts  |
| 7.                                  | Deposited in cleared swaps customer segregated accounts at banks   |
|                                     | A. Cash <u>0</u> [8600]  |
|                                     | B. Securities representing investments of cleared swaps customers' funds (at market) <u>0</u> [8610]             |
|                                     | C. Securities held for particular cleared swaps customers in lieu of cash (at market) <u>0</u> [8620]            |
| 8.                                  | Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts         |
|                                     | A. Cash <u>0</u> [8630]  |
|                                     | B. Securities representing investments of cleared swaps customers' funds (at market) <u>0</u> [8640]             |
|                                     | C. Securities held for particular cleared swaps customers in lieu of cash (at market) <u>0</u> [8650]            |
| 9.                                  | Net settlement from (to) derivatives clearing organizations <u>0</u> [8660]                                      |
| 10.                                 | Cleared swaps options  |
|                                     | A. Value of open cleared swaps long option contracts <u>0</u> [8670]   |
|                                     | B. Value of open cleared swaps short option contracts <u>0</u> [8680]  |
| 11.                                 | Net equities with other FCMs   |
|                                     | A. Net liquidating equity <u>0</u> [8690]  |
|                                     | B. Securities representing investments of cleared swaps customers' funds (at market) <u>0</u> [8700]             |
|                                     | C. Securities held for particular cleared swaps customers in lieu of cash (at market) <u>0</u> [8710]            |
| 12.                                 | Cleared swaps customer funds on hand   |
|                                     | A. Cash <u>0</u>   |
|                                     | B. Securities representing investments of cleared swaps customers' funds (at market) <u>0</u>                    |
|                                     | C. Securities held for particular cleared swaps customers in lieu of cash (at market) <u>0</u> [8715]            |
| 13.                                 | Total amount in cleared swaps customer segregation (add lines 7 through 12) <u>0</u> [8720]                      |
| 14.                                 | Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) <u>0</u> [8730]   |
| 15.                                 | Management Target Amount for Excess funds in cleared swaps segregated accounts <u>0</u> [8760]                   |
| 16.                                 | Excess (deficiency) funds in cleared swaps customer segregation accounts over (under) Management <u>0</u> [8770] |