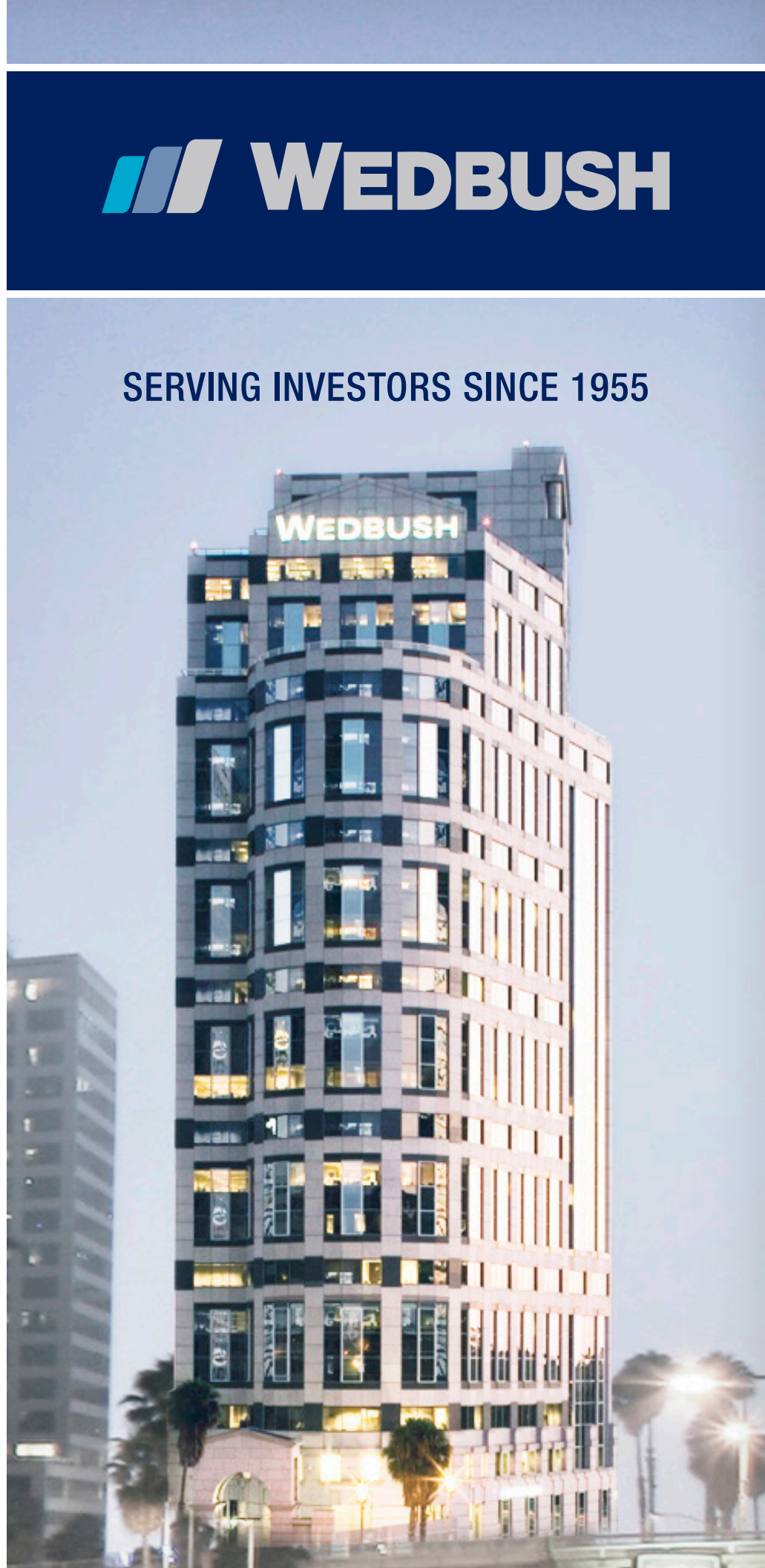


The Wedbush logo consists of a stylized graphic of three slanted parallel bars in blue and grey, followed by the word "WEDBUSH" in a bold, white, sans-serif typeface.

**WEDBUSH**

**SERVING INVESTORS SINCE 1955**

**ADVANCING  
YOUR FUTURE**

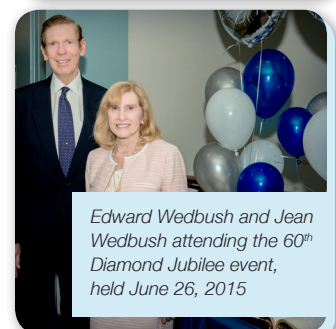
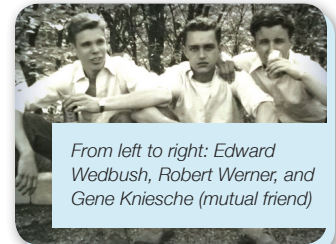




## TIMELINE



- 1955** ..... Wedbush & Company founded by Edward Wedbush and Robert Werner
- 1957** ..... First Wedbush office opened in the Crenshaw district of Los Angeles, CA
- 1969** ..... Acquired Noble, Cooke & Co. and expanded into wholesale business
- 1970** ..... Enrolled in Securities Investor Protection Corporation (SIPC)
- 1971** ..... Became a member of the NYSE
- 1972** ..... Began self-clearing and clearing for other firms
- 1975** ..... Acquired William R. Staats & Co., Inc. and expanded into Capital Markets
- 1980** ..... Formed Wedbush Capital Partners: Public & Private Equity Investments
- 1988** ..... Acquired Morgan, Olmstead, Kennedy & Gardner
- 1995** ..... Launched ClientLink, an online service providing clients with secure access to Wedbush Securities accounts
- 1999** ..... Launched eInvestment Bank, an online service providing investors with direct access to public offerings and private placements underwritten or managed by Wedbush Securities
- 2000** ..... Launched BrokerInsight, a secure platform for order entry, portfolio valuation and account management - developed with the Wedbush Financial Advisor in mind
- 2006** ..... Ranked #1 Liquidity Provider by NASDAQ Listed Securities  
..... Ed Wedbush named Ernst & Young Entrepreneur of the Year – Los Angeles
- 2008** ..... Acquired assets of First Wall Street corporation and Peacock, Hislop, Staley & Given  
..... Rang the NASDAQ opening bell
- 2009** ..... Acquired assets of Pacific Growth Equities  
..... Rang the NYSE Opening Bell
- 2011** ..... Wedbush parent company acquired Lime Brokerage
- 2014** ..... Becomes first US financial institution to accept Bitcoin payments  
..... Expands into the Futures business
- 2015** ..... Wedbush Securities Celebrates its 60<sup>th</sup> Anniversary
- 2016** ..... Wedbush earns *Wealth Management* Social Media Leadership Award two years in a row  
..... Wedbush named Top Hedge Fund Research Provider by *Hedgeweek* two years in a row
- 2017** ..... First Capitol Ag and efutures launch new online platforms





## ABOUT WEDBUSH SECURITIES



### OUR FIRM & HISTORY

Since our founding in 1955, Wedbush Securities has been a leader in the financial industry providing our clients with a wide range of services; including institutional sales, correspondent clearing services, equity research, corporate and municipal finance, equity market making, fixed income trading, prime brokerage, and wealth management.



### FINANCIAL STATEMENTS & EXECUTIVE LEADERSHIP

When it comes to business continuity and financial stability, Wedbush is safe and secure. We've been around since 1955, and we just keep getting stronger. To protect your long-term interests, Wedbush leadership continues to create a positive and secure environment for its clients, investors, and colleagues while placing major emphasis on innovation, creativity, and performance.



### CLIENT COMMITMENT & MEMBERSHIPS AND AFFILIATIONS

At Wedbush, we are in the business to provide value-added services to each of our clients. Wedbush Securities has memberships on all major stock exchanges in the United States, including the New York Stock Exchange (NYSE), NASDAQ, NYSE ARCA, and BATS. Additionally, Wedbush has the capability to execute on most international exchanges.



### AWARDS & ACCOLADES

Wedbush departments and colleagues work extremely hard, and in doing so, our accomplishments have been recognized with some of the industry's highest honors.



### WEDBUSHCARES & WISE

WedbushCares, a corporate giving program, is dedicated to supporting, educating, and sustaining our communities, colleagues, and clients, through charitable activities at all levels of the firm. Wedbush Investment in Student Education (WISE) is designed to educate students of all ages on financial literacy.

### ▶ WEST COAST-BASED WITH WALL STREET PRESENCE

Wedbush Securities is one of the premier securities and investment services firms in the nation, providing financial services to individual, institutional, and issuing clients. With over 100 offices, we strive to deliver exceptional service. Our organization thrives because of the dedication of close to 1,000 colleagues who make Wedbush a unique and successful company. Our associates are motivated by success, guided by ethics, and driven to share in our unyielding devotion to our clients.



## WORKING AT WEDBUSH



At Wedbush, our culture is built on innovation, creativity, and performance, with a steadfast commitment to our colleagues. We believe our partnership with our colleagues grows through experience, development, and a high degree of satisfaction. Opportunities range from entry to senior-management roles.

To review open positions, visit [www.wedbush.com/careers/opportunities](http://www.wedbush.com/careers/opportunities)

### ▶ CAPITAL MARKETS

The Wedbush Capital Markets group offers a wide array of products and services to institutional and corporate clients.

#### Equity Research

Our award-winning Equity Research team provides in-depth analysis that is continually updated, leading to timely investment opportunities. Our analysts' expertise helps identify industry trends and emerging growth companies in the following areas: retail and consumer, financial institutions, healthcare, technology, Internet, and media.

#### Sales and Trading

The Equity Sales and Trading Group utilizes traditional and primary research resources to deliver superior information flow and investment ideas to small, mid, and large-cap clients.

#### Investment Banking

The Wedbush Investment Banking Group is composed of senior, industry-focused professionals with extensive transactional backgrounds, long-standing industry relationships, and are dedicated to playing an active role in every transaction. Our Investment Banking group evaluates and executes complex transactions that create long-term shareholder value in the following areas: retail and consumer, financial institutions, healthcare, technology, Internet, and media.

Wedbush PacGrow specializes in numerous segments of the healthcare industry. We have a full spectrum of capabilities, including public equity, PIPEs, ATMs, private equity, mergers & acquisitions, and strategic advisory.

#### Syndicate

The Wedbush Syndicate Department provides investment opportunities as an intermediary between the investment banking and retail sales channel. We work to actively educate financial advisors about our high quality offerings in new issue equities and secondaries, closed-end funds, fixed-income, and unit investment trusts.

**WEDBUSH**  
CAPITAL MARKETS





## WORKING AT WEDBUSH



### ▶ WEALTH MANAGEMENT

Wealth Management provides personalized investing, advising, and financial services, to both individual and institutional clients. Wedbush Advisors are committed to building long-term relationships rooted in trust, reliability, and expertise.

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### ▶ ASSET MANAGEMENT

Wedbush Asset Management provides a robust state-of-the-art wealth management platform for our Advisors. This platform enables Advisors to customize solutions for clients, while achieving scalable growth. We provide the support clients need to maximize their fee-based asset management structure, in addition to providing the tools to manage their accounts efficiently across multiple disciplines; including access to internal, external, and alternative money managers.

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### ▶ ADVANCED CLEARING SERVICES

The Advanced Clearing Service Division has been a leader in clearing services for over 45 years. We specialize in providing custom execution, clearing, and custody solutions to different types of organizations, including: Retail Broker Dealers, Institutional Firms, Automated Trading Firms, Professional Trading Firms, Hedge Funds, Investment Banks, and Municipal Bond Underwriters.

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### ▶ FUTURES

Wedbush Futures is one of the largest capitalized non-bank Futures Commission Merchants in the United States. Based out of Chicago, our Futures Division specializes in clearing and financing activities for professional traders and strategic correspondents.

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### ▶ PRIME BROKERAGE

Leveraging our industry-leading execution, clearing, and custody solutions, we offer robust prime brokerage services to active hedge funds and professional traders. Our strong financial position, custom technology solutions, and operational excellence, enable clients to conduct business with confidence and ease.

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### ▶ CORPORATE DEPARTMENTS

A significant factor for our success is our talented colleagues, who provide operational and administrative support to our various client facing departments. Our Marketing, Human Resources, Information Technology, Accounting, Business Conduct, and Legal departments offer opportunities to make a difference at our firm.





# LIFE AT WEDBUSH



## ▶ COLLEAGUES AND CULTURE

We promote an entrepreneurial environment where ideas and innovation are welcome, and job satisfaction is as important as job performance. By joining Wedbush, you will become part of a national organization with a best-in-class brand and core values centered around serving our clients, developing our colleagues, and contributing to our community. Our colleagues are invited to participate in corporate events, including, Founders’ Day, Annual Meeting, and Aloha Fridays.

## ▶ INCLUSION AND DIVERSITY

At Wedbush, inclusion and diversity are central to our core principles. Within our distinctive workforce throughout the nation, our professionals exercise business fluency. Be a part of a team where your work experience, unique capabilities, and diverse perspectives are valued and groomed.

## ▶ CORPORATE GIVING

Philanthropic endeavors focused on giving back is a paramount principle of our business and an overarching way of life for our colleagues.

WedbushCares is an internal committee dedicated to helping our company and colleagues give time, money, and support to the community through programs such as, community events, Feldhorn Family Scholarships, organization-specific support, Susan G. Komen, Movember, Toys for Tots, and more.

## ▶ OUR BENEFITS

We are committed to providing a comprehensive benefits plan that is competitive for our colleagues and their eligible spouses, dependents, and domestic partners. The Wedbush Human Resources Department will work with you to coordinate a plan that is right for you. Our benefits include:

- Medical, dental and vision plans
- 401(k) Plans
- Paid Time Off
- Flexible Spending Accounts (FSA)
- Health Savings Accounts (HSA)
- Long-Term Disability (LTD)
- Life Insurance
- Discount Programs
- Commuter Benefits
- Tuition Reimbursement

## THE DOWNTOWN FINANCIAL DISTRICT SCENE

With our headquarters based in Downtown Los Angeles, you can conveniently walk to restaurants, gyms, and shops, around the vibrant city. We are located next to Metro lines and the 110 Freeway, to give you easy access to work and the metropolitan experience of Downtown Los Angeles.



# LAUNCHING YOUR CAREER



## ▶ RECENT GRADUATES

You've worked hard to earn your degree and now it's time to take the next steps toward your future. At Wedbush, we invite you to take the skills and knowledge you gained while in school, and apply them to the next chapter in your journey. As a truly entrepreneurial organization, we employ an innovative body of talented and experienced colleagues. This means you will have continual exposure to the best and brightest in our industry.

- **Professional Development:** As an integral part of our team, we have a keen interest in helping you utilize your learned skills, build your knowledge-base, and transform your career aspirations into reality. You will receive coaching and support from Wedbush leadership to ensure your success while pursuing your goals.
- **Colleagues:** At Wedbush, you will have the opportunity to work side-by-side with exceptionally talented and driven individuals. Our working environment is highly collaborative and intellectually charged. We actively recruit and employ colleagues who are passionate about their work and dedicated to achieving success.
- **Access to Senior Management:** A cornerstone of our culture is our firm-wide open door policy. We believe in our colleagues and want to provide them resources and mentorship necessary to excel in their careers. This philosophy extends throughout all management levels, including support and guidance from Edward Wedbush himself.

## ▶ INTERSHIPS

Do you want to gain valuable experience so that you're in an ideal position to secure the perfect role after graduation? Make an impact on your career - learn and grow with us at Wedbush. Our internship program provides a great opportunity for you to develop yourself professionally. Through our program, you will work alongside our colleagues to make a difference and gain knowledge to help further your success.

To review internships visit, [www.wedbush.com/careers/university-outreach](http://www.wedbush.com/careers/university-outreach)

## UNIVERSITY RELATIONS: WE'RE LOOKING FOR YOU

Wedbush participates in on-campus events and virtual recruiting opportunities hosted by universities nationwide. We are seeking students who have distinguished themselves academically, in their communities, and through extracurricular activities. Opportunities are available for students pursuing undergraduate or post graduate degrees in the following disciplines:

- |              |                          |
|--------------|--------------------------|
| • Business   | • Information Technology |
| • Economics  | • Sciences/Life Sciences |
| • Accounting | • Engineering            |
| • Finance    | • Qualitative Studies    |
| • Marketing  | • Legal                  |



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