INITIAL
End Date: 3/1/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 3/2/2018
INITIAL
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Daily Segregation - Cover Page

Name of Company
WEDBUSH SECURITIES INC

Contact Name
Kevin Wirth

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FCM’s Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: $13,000,000
b. Minimum percentage of customer segregated funds required: 0%
c. Dollar amount range between: $0 and $0
d. Percentage range of customer segregated funds required between: 0% and 0%

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: $1,000,000
b. Minimum percentage of customer secured funds required: 0%
c. Dollar amount range between: $0 and $0
d. Percentage range of customer secured funds required between: 0% and 0%

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: $0
b. Minimum percentage of cleared swaps customer collateral required: 0%
c. Dollar amount range between: $0 and $0
d. Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents
**INITIAL**

*End Date:* 3/1/2018  
*Firm Name:* WEDBUSH SECURITIES INC  
*Form:* Daily Seg - FOCUS II  
*Submit Date:* 3/2/2018

### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

- Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **A. Cash:** 12,713,298 [7315]  
   - **B. Securities (at market):** 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade:** 1,285,300 [7325]

3. **Exchange traded options**
   - **a. Market value of open option contracts purchased on a foreign board of trade:** 17,110 [7335]
   - **b. Market value of open contracts granted (sold) on a foreign board of trade:** 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.):** 14,015,708 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**
   - **Less: amount offset by customer owned securities:** 0 [7352] 10,057 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5):** 14,025,765 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6: 14,025,765 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. **Cash in banks**
   - **A. Banks located in the United States:** 1,464,022 [7500]
   - **B. Other banks qualified under Regulation 30.7:** 651,058 [7520] 2,115,080 [7530]

2. **Securities**
   - **A. In safekeeping with banks located in the United States:** 0 [7540]
   - **B. In safekeeping with other banks qualified under Regulation 30.7:** 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - **A. Cash:** 5,207,970 [7580]
   - **B. Securities:** 0 [7590]
   - **C. Unrealized gain (loss) on open futures contracts:** 0 [7600]
   - **D. Value of long option contracts:** 0 [7610]
   - **E. Value of short option contracts:** 0 [7615] 5,207,970 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - **A. Cash:** 7,376,046 [7640]
   - **B. Securities:** 0 [7650]
   - **C. Amount due to (from) clearing organization - daily variation:** 0 [7660]
   - **D. Value of long option contracts:** 0 [7670]
   - **E. Value of short option contracts:** 0 [7675] 7,376,046 [7680]

5. **Amounts held by members of foreign boards of trade**
   - **A. Cash:** 3,296,793 [7700]
   - **B. Securities:** 0 [7710]
   - **C. Unrealized gain (loss) on open futures contracts:** 0 [7720]
   - **D. Value of long option contracts:** 17,110 [7730]
   - **E. Value of short option contracts:** 0 [7735] 3,313,903 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**
   - **A. Cash:** 0 [7760]
   - **B. Securities:** 0 [7765]

7. **Segregated funds on hand**
   - **A. Cash:** 18,012,999 [7770]
   - **B. Securities:** 3,987,234 [7780]

8. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**
   - **A. Cash:** 1,000,000 [7780]
   - **B. Securities:** 2,987,234 [7785]
**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - A. Cash 943,515,973 [7010]
   - B. Securities (at market) 357,021,596 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market** 98,857,540 [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market 481,644,973 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market -483,379,042 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)** 1,397,661,040 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount** 6,980,670 [7045]
   - Less: amount offset by customer securities -4,919,939 [7047] 2,060,731 [7050]

6. **Amount required to be segregated (add lines 4 and 5)** 1,399,721,771 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - A. Cash 480,755,186 [7070]
   - B. Securities representing investments of customers' funds (at market) 60,000,000 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market) 26,148,199 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash 384,167,215 [7100]
   - B. Securities representing investments of customers' funds (at market) 144,210,223 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market) 327,501,037 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets** -7,651,218 [7130]

10. **Exchange traded options**
    - A. Value of open long option contracts 481,644,973 [7132]
    - B. Value of open short option contracts -483,379,042 [7133]

11. **Net equities with other FCMs**
    - A. Net liquidating equity 0 [7140]
    - B. Securities representing investments of customers' funds (at market) 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]

12. **Segregated funds on hand** 3,372,360 [7150]

13. **Total amount in segregation (add lines 7 through 12)** 1,416,768,933 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)** 17,047,162 [7190]

15. **Management Target Amount for Excess funds in segregation** 13,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess 4,047,162 [7198]
**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. Cash 0 [8500]
   - B. Securities (at market) 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**
   0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**
   0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   Less: amount offset by customer owned securities 0 [8560]
   0 [8570] 0 [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**
   0 [8590]

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**
   0 [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts 0 [8670]
    - B. Value of open cleared swaps short option contracts 0 [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash 0
    - B. Securities representing investments of cleared swaps customers' funds (at market) 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**
    0 [8715]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**
    0 [8720]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**
    0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**
    0 [8770]