INITIAL
End Date: 3/2/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 3/5/2018
Name of Company
Contact Name
Contact Phone Number
Contact Email Address

FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount:  ; or 13,000,000
  b. Minimum percentage of customer segregated funds required:% ; or 0
  c. Dollar amount range between:and; or 0 0
  d. Percentage range of customer segregated funds required between:% and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount:  ; or 1,000,000
  b. Minimum percentage of customer secured funds required:% ; or 0
  c. Dollar amount range between:and; or 0 0
  d. Percentage range of customer secured funds required between:% and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount:  ; or 0
  b. Minimum percentage of cleared swaps customer collateral required:% ; or 0
  c. Dollar amount range between:and; or 0 0
  d. Percentage range of cleared swaps customer collateral required between:% and%. 0 0

Attach supporting documents
Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign
government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 13,081,701 [7315]
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade -61,860 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 17,043 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 13,036,884 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 1,459 [7351]
Less: amount offset by customer owned securities 0 [7352] 1,459 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 13,038,343 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 13,038,343 [7360]

Funds Deposited in Separate Regulation 30.7 Accounts

1. Cash in banks
   A. Banks located in the United States 1,445,456 [7500]
   B. Other banks qualified under Regulation 30.7 653,458 [7520] 2,098,914 [7530]

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash 5,110,767 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 5,110,767 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 6,371,959 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 6,371,959 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash 3,481,536 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 17,043 [7730]
   E. Value of short option contracts 0 [7735] 3,498,579 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 17,080,219 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement
Page 1 from Line 8) 4,041,876 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 3,041,876 [7785]
## Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - **Cash**: $945,597,852 [7010]
   - **Securities (at market)**: $357,035,470 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: $115,516,059 [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**: $482,252,220 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**: $-485,949,559 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**: $1,414,452,042 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: $6,113,811 [7045]
   - Less: amount offset by customer securities: $-75,949 [7047]
   - **Net amount required to be segregated (add lines 4 and 5)**: $1,420,489,904 [7060]

### FUNDS IN SEGREGATED ACCOUNTS

6. **Deposited in segregated funds bank accounts**
   - **A. Cash**: $495,574,085 [7070]
   - **B. Securities representing investments of customers’ funds (at market)**: $60,000,000 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: $26,148,199 [7090]

7. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash**: $381,211,718 [7100]
   - **B. Securities representing investments of customers’ funds (at market)**: $144,204,590 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: $327,501,037 [7120]

8. **Net settlement from (to) derivatives clearing organizations of contract markets**: $300,516 [7130]

9. **Exchange traded options**
   - **A. Value of open long option contracts**: $482,252,220 [7132]
   - **B. Value of open short option contracts**: $-485,949,559 [7133]

10. **Net equities with other FCMs**
    - **A. Net liquidating equity**: $0 [7140]
    - **B. Securities representing investments of customers’ funds (at market)**: $0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: $0 [7170]

11. **Segregated funds on hand**: $3,386,234 [7150]

12. **Total amount in segregation (add lines 7 through 12)**: $1,434,629,040 [7180]

13. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: $14,139,136 [7190]

14. **Management Target Amount for Excess funds in segregation**: $13,000,000 [7194]

15. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess**: $1,139,136 [7198]
## Daily Segregation - Swaps Statement

### STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. Cash
   - B. Securities (at market)

2. **Net unrealized profit (loss) in open cleared swaps**

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased
   - B. Market value of open cleared swaps option contracts granted (sold)

4. **Net Equity (deficit) (add lines 1, 2, and 3)**

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**

    Funds in Cleared Swaps Customer Segregated Accounts

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash
   - B. Securities representing investments of cleared swaps customers' funds (at market)
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market)

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash
   - B. Securities representing investments of cleared swaps customers' funds (at market)
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market)

9. **Net settlement from (to) derivatives clearing organizations**

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts
    - B. Value of open cleared swaps short option contracts

11. **Net equities with other FCMs**
    - A. Net liquidating equity
    - B. Securities representing investments of cleared swaps customers' funds (at market)
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market)

12. **Cleared swaps customer funds on hand**
    - A. Cash
    - B. Securities representing investments of cleared swaps customers' funds (at market)
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market)

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under)**

Management