INITIAL
End Date: 3/12/2018
Firm Name: WEKBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 3/13/2018
Name of Company: WEDBUSH SECURITIES INC  
Contact Name: Kevin Wirth  
Contact Phone Number: 312-784-0475  
Contact Email Address: kevin.wirth@wedbush.com

FCM’s Customer Segregated Funds Residual Interest Target (choose one):

- Minimum dollar amount: $13,000,000
- Minimum percentage of customer segregated funds required: 0%
- Dollar amount range between: $0 and $0
- Percentage range of customer segregated funds required between: 0% and 0%

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):

- Minimum dollar amount: $1,000,000
- Minimum percentage of customer secured funds required: 0%
- Dollar amount range between: $0 and $0
- Percentage range of customer secured funds required between: 0% and 0%

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):

- Minimum dollar amount: $0
- Minimum percentage of cleared swaps customer collateral required: 0%
- Dollar amount range between: $0 and $0
- Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents
INITIAL
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Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 14,261,357 [7315]
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade -543,034 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 165 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade -7,835 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 13,710,653 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 2,241 [7351]
   Less: amount offset by customer owned securities 0 [7352] 2,241 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 13,712,894 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 13,712,894 [7360]

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   A. Banks located in the United States 574,826 [7500]
   B. Other banks qualified under Regulation 30.7 652,040 [7520] 1,226,866 [7530]

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash 5,702,763 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 5,702,763 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 6,044,787 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 6,044,787 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash 4,083,196 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 165 [7730]
   E. Value of short option contracts -7,835 [7735] 4,075,526 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 17,049,942 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 3,337,048 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 2,337,048 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEA)**

1. **Net ledger balance**
   - **Cash**: $1,023,924,785 [7010]
   - **Securities (at market)**: $355,892,591 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - $73,309,325 [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**: $489,965,463 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**: -$529,586,726 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - $1,413,505,438 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer securities
   - -$74,126 [7047]
   - **1,418,251** [7050]

6. **Amount required to be segregated (add lines 4 and 5)**
   - $1,414,923,689 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - **A. Cash**: $542,799,129 [7070]
   - **B. Securities representing investments of customers’ funds (at market)**: $60,000,000 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: $26,175,132 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash**: $352,452,376 [7100]
   - **B. Securities representing investments of customers’ funds (at market)**: $144,262,610 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: $327,427,302 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - **16,204,467** [7130]

10. **Exchange traded options**
    - **A. Value of open long option contracts**: $489,965,463 [7132]
    - **B. Value of open short option contracts**: -$529,586,726 [7133]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**: 0 [7140]
    - **B. Securities representing investments of customers’ funds (at market)**: 0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 0 [7170]

12. **Segregated funds on hand**
    - **2,290,157** [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - **1,431,989,910** [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - **17,066,221** [7190]

15. **Management Target Amount for Excess funds in segregation**
    - **13,000,000** [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **4,066,221** [7198]
## Daily Segregation - Swaps Statement

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

**Cleared Swaps Customer Requirements**

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
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</thead>
<tbody>
<tr>
<td>1. Net ledger balance</td>
<td></td>
</tr>
<tr>
<td>A. Cash</td>
<td>0 [8500]</td>
</tr>
<tr>
<td>B. Securities (at market)</td>
<td>0 [8510]</td>
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<tr>
<td>2. Net unrealized profit (loss) in open cleared swaps</td>
<td>0 [8520]</td>
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<tr>
<td>3. Cleared swaps options</td>
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</tr>
<tr>
<td>A. Market value of open cleared swaps option contracts purchased</td>
<td>0 [8530]</td>
</tr>
<tr>
<td>B. Market value of open cleared swaps option contracts granted (sold)</td>
<td>0 [8540]</td>
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<tr>
<td>4. Net Equity (deficit) (add lines 1, 2, and 3)</td>
<td>0 [8550]</td>
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<tr>
<td>5. Accounts liquidating to a deficit and accounts with debit balances - gross amount</td>
<td>0 [8560]</td>
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<tr>
<td>Less: amount offset by customer owned securities</td>
<td>0 [8570]</td>
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<tr>
<td>6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)</td>
<td>0 [8590]</td>
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<tr>
<td><strong>Funds in Cleared Swaps Customer Segregated Accounts</strong></td>
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<tr>
<td>7. Deposited in cleared swaps customer segregated accounts at banks</td>
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</tr>
<tr>
<td>A. Cash</td>
<td>0 [8600]</td>
</tr>
<tr>
<td>B. Securities representing investments of cleared swaps customers’ funds (at market)</td>
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<tr>
<td>C. Securities held for particular cleared swaps customers in lieu of cash (at market)</td>
<td>0 [8620]</td>
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<tr>
<td>8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts</td>
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</tr>
<tr>
<td>A. Cash</td>
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<td>B. Securities representing investments of cleared swaps customers’ funds (at market)</td>
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<td>C. Securities held for particular cleared swaps customers in lieu of cash (at market)</td>
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<tr>
<td>9. Net settlement from (to) derivatives clearing organizations</td>
<td>0 [8660]</td>
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<tr>
<td>10. Cleared swaps options</td>
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</tr>
<tr>
<td>A. Value of open cleared swaps long option contracts</td>
<td>0 [8670]</td>
</tr>
<tr>
<td>B. Value of open cleared swaps short option contracts</td>
<td>0 [8680]</td>
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<tr>
<td>11. Net equities with other FCMs</td>
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<tr>
<td>A. Net liquidating equity</td>
<td>0 [8690]</td>
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<tr>
<td>B. Securities representing investments of cleared swaps customers’ funds (at market)</td>
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<td>C. Securities held for particular cleared swaps customers in lieu of cash (at market)</td>
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<td>12. Cleared swaps customer funds on hand</td>
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<tr>
<td>A. Cash</td>
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<tr>
<td>B. Securities representing investments of cleared swaps customers’ funds (at market)</td>
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<td>C. Securities held for particular cleared swaps customers in lieu of cash (at market)</td>
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<td>13. Total amount in cleared swaps customer segregation (add lines 7 through 12)</td>
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<td>14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)</td>
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<tr>
<td>15. Management Target Amount for Excess funds in cleared swaps segregated accounts</td>
<td>0 [8760]</td>
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<tr>
<td>16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management</td>
<td>0 [8770]</td>
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</tbody>
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