INITIAL
End Date: 3/13/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 3/14/2018
Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
- Minimum dollar amount: 13,000,000
- Minimum percentage of customer segregated funds required: 0
- Dollar amount range between: 0
- Percentage range of customer segregated funds required between: 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
- Minimum dollar amount: 1,000,000
- Minimum percentage of customer secured funds required: 0
- Dollar amount range between: 0
- Percentage range of customer secured funds required between: 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
- Minimum dollar amount: 0
- Minimum percentage of cleared swaps customer collateral required: 0
- Dollar amount range between: 0
- Percentage range of cleared swaps customer collateral required between: 0

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash
   
   **14,592,771** [7315]  
   
   B. Securities (at market)
   
   **0** [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**

   **-543,041** [7325]

3. **Exchange traded options**

   a. Market value of open option contracts purchased on a foreign board of trade
   
   **164** [7335]

   b. Market value of open contracts granted (sold) on a foreign board of trade
   
   **-9,975** [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**

   **14,039,919** [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**

   **3,366** [7351]

   Less: amount offset by customer owned securities

   **0** [7352] **3,366** [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**

   **14,043,285** [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**

   **14,043,285** [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**

   A. Banks located in the United States

   **473,298** [7500]

   B. Other banks qualified under Regulation 30.7

   **654,514** [7520] **1,127,812** [7530]

2. **Securities**

   A. In safekeeping with banks located in the United States

   **0** [7540]

   B. In safekeeping with other banks qualified under Regulation 30.7

   **0** [7560] **0** [7570]

3. **Equities with registered futures commission merchants**

   A. Cash

   **6,150,887** [7580]

   B. Securities

   **0** [7590]

   C. Unrealized gain (loss) on open futures contracts

   **0** [7600]

   D. Value of long option contracts

   **0** [7610]

   E. Value of short option contracts

   **0** [7615] **6,150,887** [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**

   A. Cash

   **6,033,060** [7640]

   B. Securities

   **0** [7650]

   C. Amount due to (from) clearing organization - daily variation

   **0** [7660]

   D. Value of long option contracts

   **0** [7670]

   E. Value of short option contracts

   **0** [7675] **6,033,060** [7680]

5. **Amounts held by members of foreign boards of trade**

   A. Cash

   **4,212,485** [7700]

   B. Securities

   **0** [7710]

   C. Unrealized gain (loss) on open futures contracts

   **0** [7720]

   D. Value of long option contracts

   **164** [7730]

   E. Value of short option contracts

   **-9,975** [7735] **4,202,674** [7740]

6. **Amounts with other depositories designated by a foreign board of trade**

   **0** [7760]

7. **Segregated funds on hand**

   **0** [7765]

8. **Total funds in separate section 30.7 accounts**

   **17,514,433** [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**

   **3,471,148** [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**

    **1,000,000** [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**

    **2,471,148** [7785]
<table>
<thead>
<tr>
<th>Requirement</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Net Ledger Balance</strong></td>
<td></td>
</tr>
<tr>
<td>A. Cash</td>
<td>1,045,678,424</td>
</tr>
<tr>
<td>B. Securities (at market)</td>
<td>355,635,682</td>
</tr>
<tr>
<td><strong>Net Unrealized Profit (Loss) in Open Futures Contracts Traded on a Contract Market</strong></td>
<td>78,949,468</td>
</tr>
<tr>
<td><strong>Exchange Traded Options</strong></td>
<td></td>
</tr>
<tr>
<td>A. Add market value of open option contracts purchased on a contract market</td>
<td>481,548,968 [7032]</td>
</tr>
<tr>
<td>B. Deduct market value of open option contracts granted (sold) on a contract market</td>
<td>-530,533,348 [7033]</td>
</tr>
<tr>
<td><strong>Net Equity (Deficit) (Add Lines 1, 2 and 3)</strong></td>
<td>1,431,279,194</td>
</tr>
<tr>
<td><strong>Accounts Liquidating to a Deficit and Accounts with Debit Balances – Gross Amount</strong></td>
<td>1,489,052</td>
</tr>
<tr>
<td>Less: Amount Offset by Customer Securities</td>
<td>-73,685 [7047]</td>
</tr>
<tr>
<td><strong>Amount Required to be Segregated (Add Lines 4 and 5)</strong></td>
<td>1,415,367 [7050]</td>
</tr>
<tr>
<td><strong>Funds in Segregated Accounts</strong></td>
<td></td>
</tr>
<tr>
<td>A. Cash</td>
<td>587,279,840 [7070]</td>
</tr>
<tr>
<td>B. Securities Representing Investments of Customers’ Funds (At Market)</td>
<td>60,000,000 [7080]</td>
</tr>
<tr>
<td>C. Securities Held for Particular Customers or Option Customers in Lieu of Cash (At Market)</td>
<td>27,077,632 [7090]</td>
</tr>
<tr>
<td><strong>Margins on Deposit with Derivatives Clearing Organizations of Contract Markets</strong></td>
<td></td>
</tr>
<tr>
<td>A. Cash</td>
<td>346,764,487 [7100]</td>
</tr>
<tr>
<td>B. Securities Representing Investments of Customers’ Funds (At Market)</td>
<td>144,266,069 [7110]</td>
</tr>
<tr>
<td>C. Securities Held for Particular Customers or Option Customers in Lieu of Cash (At Market)</td>
<td>326,255,877 [7120]</td>
</tr>
<tr>
<td><strong>Net Settlement from (To) Derivatives Clearing Organizations of Contract Markets</strong></td>
<td>4,342,811 [7130]</td>
</tr>
<tr>
<td><strong>Exchange Traded Options</strong></td>
<td></td>
</tr>
<tr>
<td>A. Value of Open Long Option Contracts</td>
<td>481,548,968 [7132]</td>
</tr>
<tr>
<td>B. Value of Open Short Option Contracts</td>
<td>-530,533,348 [7133]</td>
</tr>
<tr>
<td><strong>Net Equities with Other FCMs</strong></td>
<td></td>
</tr>
<tr>
<td>A. Net Liquidating Equity</td>
<td>0 [7140]</td>
</tr>
<tr>
<td>B. Securities Representing Investments of Customers’ Funds (At Market)</td>
<td>0 [7160]</td>
</tr>
<tr>
<td>C. Securities Held for Particular Customers or Option Customers in Lieu of Cash (At Market)</td>
<td>0 [7170]</td>
</tr>
<tr>
<td><strong>Segregated Funds on Hand</strong></td>
<td>2,302,173 [7150]</td>
</tr>
<tr>
<td><strong>Total Amount in Segregation (Add Lines 7 Through 12)</strong></td>
<td>1,449,304,509 [7180]</td>
</tr>
<tr>
<td><strong>Excess (Deficiency) Funds in Segregation (Subtract Line 6 from Line 13)</strong></td>
<td>16,609,948 [7190]</td>
</tr>
<tr>
<td><strong>Management Target Amount for Excess Funds in Segregation</strong></td>
<td>13,000,000 [7194]</td>
</tr>
<tr>
<td><strong>Excess (Deficiency) Funds in Segregation Over (Under) Management Target Amount</strong></td>
<td>3,609,948 [7198]</td>
</tr>
</tbody>
</table>
### Daily Segregation - Swaps Statement

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

**Cleared Swaps Customer Requirements**

1. **Net ledger balance**
   - **A. Cash**
   - **B. Securities (at market)**

2. **Net unrealized profit (loss) in open cleared swaps**

3. **Cleared swaps options**
   - **A. Market value of open cleared swaps option contracts purchased**
   - **B. Market value of open cleared swaps option contracts granted (sold)**

4. **Net Equity (deficit) (add lines 1, 2, and 3)**

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - **A. Cash**
   - **B. Securities representing investments of cleared swaps customers' funds (at market)**
   - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)**

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - **A. Cash**
   - **B. Securities representing investments of cleared swaps customers' funds (at market)**
   - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)**

9. **Net settlement from (to) derivatives clearing organizations**

10. **Cleared swaps options**
    - **A. Value of open cleared swaps long option contracts**
    - **B. Value of open cleared swaps short option contracts**

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**
    - **B. Securities representing investments of cleared swaps customers' funds (at market)**
    - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)**

12. **Cleared swaps customer funds on hand**
    - **A. Cash**
    - **B. Securities representing investments of cleared swaps customers' funds (at market)**
    - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)**

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**