INITIAL
End Date: 3/14/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 3/15/2018
Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 13,000,000
   b. Minimum percentage of customer segregated funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 1,000,000
   b. Minimum percentage of customer secured funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or 0
   b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
**INITIAL**

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**Daily Segregation - Secured Amounts**

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Foreign Futures and Foreign Options Secured Amounts</td>
<td>0 [7305]</td>
</tr>
<tr>
<td>Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder</td>
<td></td>
</tr>
</tbody>
</table>

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**  
   A. Cash                                                                                       | 14,561,074 [7315] |
   B. Securities (at market)                                                                     | 0 [7317] |

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade** | -543,399 [7325] |

3. **Exchange traded options**  
   a. Market value of open option contracts purchased on a foreign board of trade               | 164 [7335] |
   b. Market value of open contracts granted (sold) on a foreign board of trade                 | -12,204 [7337] |

4. **Net equity (deficit) (add lines 1. 2. and 3.)**                                           | 14,005,635 [7345] |

5. **Account liquidating to a deficit and account with a debit balances - gross amount**       | 3,228 [7351] |
   Less: amount offset by customer owned securities                                              | 0 [7352] 3,228 [7354] |

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)** | 14,008,863 [7355] |

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. | 14,008,863 [7360] |

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. Cash in banks  
   A. Banks located in the United States                                                        | 634,871 [7500] |
   B. Other banks qualified under Regulation 30.7                                               | 661,473 [7520] 1,296,344 [7530] |

2. Securities  
   A. In safekeeping with banks located in the United States                                    | 0 [7540] |
   B. In safekeeping with other banks qualified under Regulation 30.7                           | 0 [7560] 0 [7570] |

3. Equities with registered futures commission merchants  
   A. Cash                                                                                       | 6,080,608 [7580] |
   B. Securities                                                                                 | 0 [7590] |
   C. Unrealized gain (loss) on open futures contracts                                           | 0 [7600] |
   D. Value of long option contracts                                                             | 0 [7610] |
   E. Value of short option contracts                                                            | 0 [7615] 6,080,608 [7620] |

4. Amounts held by clearing organizations of foreign boards of trade  
   A. Cash                                                                                       | 6,080,223 [7640] |
   B. Securities                                                                                 | 0 [7650] |
   C. Amount due to (from) clearing organization - daily variation                               | 0 [7660] |
   D. Value of long option contracts                                                             | 0 [7670] |
   E. Value of short option contracts                                                            | 0 [7675] 6,080,223 [7680] |

5. Amounts held by members of foreign boards of trade  
   A. Cash                                                                                       | 3,542,927 [7700] |
   B. Securities                                                                                 | 0 [7710] |
   C. Unrealized gain (loss) on open futures contracts                                           | 0 [7720] |
   D. Value of long option contracts                                                             | 164 [7730] |
   E. Value of short option contracts                                                            | -12,204 [7735] 3,530,887 [7740] |

6. Amounts with other depositories designated by a foreign board of trade                       | 0 [7760] |

7. Segregated funds on hand                                                                      | 0 [7765] |

8. Total funds in separate section 30.7 accounts                                                 | 16,988,062 [7770] |

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)** | 2,979,199 [7380] |

10. Management Target Amount for Excess funds in separate section 30.7 accounts                 | 1,000,000 [7780] |

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**      | 1,979,199 [7785] |
**Daily Segregation - Segregation Statement**

SEgregation REQUIREMENTS(Section 4d(2) of the CEAct)

1. Net ledger balance
   
   **A. Cash**
   1,032,523,333 [7010]
   
   **B. Securities (at market)**
   355,580,238 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market
   96,342,178 [7030]

3. Exchange traded options
   
   **A. Add market value of open option contracts purchased on a contract market**
   474,025,383 [7032]
   
   **B. Deduct market value of open option contracts granted (sold) on a contract market**
   -510,897,492 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3)
   1,447,573,640 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   1,490,906 [7045]
   
   Less: amount offset by customer securities
   -75,619 [7047] 1,415,287 [7050]

6. Amount required to be segregated (add lines 4 and 5)
   1,448,988,927 [7060]

Funds IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   
   **A. Cash**
   593,438,547 [7070]
   
   **B. Securities representing investments of customers’ funds (at market)**
   60,000,000 [7080]
   
   **C. Securities held for particular customers or option customers in lieu of cash (at market)**
   26,032,632 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   
   **A. Cash**
   343,585,662 [7100]
   
   **B. Securities representing investments of customers’ funds (at market)**
   144,255,694 [7110]
   
   **C. Securities held for particular customers or option customers in lieu of cash (at market)**
   327,255,877 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets
   5,773,556 [7130]

10. Exchange traded options
    
    **A. Value of open long option contracts**
    474,025,383 [7132]
    
    **B. Value of open short option contracts**
    -510,897,492 [7133]

11. Net equities with other FCMs
    
    **A. Net liquidating equity**
    3 [7140]
    
    **B. Securities representing investments of customers’ funds (at market)**
    0 [7160]
    
    **C. Securities held for particular customers or option customers in lieu of cash (at market)**
    0 [7170]

12. Segregated funds on hand
    2,291,729 [7150]

13. Total amount in segregation (add lines 7 through 12)
    1,465,761,591 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)
    16,772,664 [7190]

15. Management Target Amount for Excess funds in segregation
    13,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    Excess
    3,772,664 [7198]
**Daily Segregation - Swaps Statement**

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. Net ledger balance
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]
2. Net unrealized profit (loss) in open cleared swaps: 0 [8520]
3. Cleared swaps options
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]
4. Net Equity (deficit) (add lines 1, 2, and 3): 0 [8550]
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount: 0 [8560]
   - Less: amount offset by customer owned securities: 0 [8570] 0 [8580]
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5): 0 [8590]
   Funds in Cleared Swaps Customer Segregated Accounts
7. Deposited in cleared swaps customer segregated accounts at banks
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]
9. Net settlement from (to) derivatives clearing organizations: 0 [8660]
10. Cleared swaps options
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]
11. Net equities with other FCMs
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]
12. Cleared swaps customer funds on hand
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 0 [8715]
13. Total amount in cleared swaps customer segregation (add lines 7 through 12): 0 [8720]
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13): 0 [8730]
15. Management Target Amount for Excess funds in cleared swaps segregated accounts: 0 [8760]
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management: 0 [8770]