INITIAL
End Date: 3/15/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 3/16/2018
Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM’s Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: $13,000,000
   b. Minimum percentage of customer segregated funds required: 0%
   c. Dollar amount range between: 0 and 0
   d. Percentage range of customer segregated funds required between: 0% and 0%

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: $1,000,000
   b. Minimum percentage of customer secured funds required: 0%
   c. Dollar amount range between: 0 and 0
   d. Percentage range of customer secured funds required between: 0% and 0%

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: $0
   b. Minimum percentage of cleared swaps customer collateral required: 0%
   c. Dollar amount range between: 0 and 0
   d. Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: \( 0 \) [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **Cash**: \( 14,404,818 \) [7315]
   - **Securities**: \( 0 \) [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: \( -456,157 \) [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade: \( 163 \) [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: \( -12,109 \) [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: \( 13,936,715 \) [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: \( 7,100 \) [7351]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**
   - \( 13,943,815 \) [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**
   - \( 13,943,815 \) [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States: \( 508,797 \) [7500]
   - B. Other banks qualified under Regulation 30.7: \( 657,043 \) [7520] \( 1,165,840 \) [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States: \( 0 \) [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: \( 0 \) [7560] \( 0 \) [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash: \( 6,084,564 \) [7580]
   - B. Securities: \( 0 \) [7590]
   - C. Unrealized gain (loss) on open futures contracts: \( 0 \) [7600]
   - D. Value of long option contracts: \( 0 \) [7610]
   - E. Value of short option contracts: \( 0 \) [7615] \( 6,084,564 \) [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash: \( 6,119,622 \) [7640]
   - B. Securities: \( 0 \) [7650]
   - C. Amount due to (from) clearing organization - daily variation: \( 0 \) [7660]
   - D. Value of long option contracts: \( 0 \) [7670]
   - E. Value of short option contracts: \( 0 \) [7675] \( 6,119,622 \) [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: \( 3,661,437 \) [7700]
   - B. Securities: \( 0 \) [7710]
   - C. Unrealized gain (loss) on open futures contracts: \( 0 \) [7720]
   - D. Value of long option contracts: \( 163 \) [7730]
   - E. Value of short option contracts: \( -12,109 \) [7735] \( 3,649,491 \) [7740]

6. **Amounts with other depositaries designated by a foreign board of trade**
   - \( 0 \) [7760]

7. **Segregated funds on hand**
   - \( 0 \) [7765]

8. **Total funds in separate section 30.7 accounts**
   - \( 17,019,517 \) [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**
   - \( 3,075,702 \) [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**
    - \( 1,000,000 \) [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**
    - \( 2,075,702 \) [7785]
**Daily Segregation - Segregation Statement**

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. Net ledger balance
   - **Cash** 1,036,374,988 [7010]
   - **Securities (at market)** 355,565,000 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market 96,562,530 [7030]

3. Exchange traded options
   - **A. Add market value of open option contracts purchased on a contract market** 475,357,858 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market** -517,052,913 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) 1,446,807,463 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 1,582,390 [7045]
   - **Less: amount offset by customer securities** -75,286 [7047] 1,507,104 [7050]

6. Amount required to be segregated (add lines 4 and 5) 1,448,314,567 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. Deposited in segregated funds bank accounts
   - **Cash** 584,205,056 [7070]
   - **Securities representing investments of customers’ funds (at market)** 60,000,000 [7080]
   - **Securities held for particular customers or option customers in lieu of cash (at market)** 26,032,632 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   - **A. Cash** 348,076,340 [7100]
   - **B. Securities representing investments of customers’ funds (at market)** 144,254,115 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 327,255,877 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets 14,780,081 [7130]

10. Exchange traded options
    - **A. Value of open long option contracts** 475,357,858 [7132]
    - **B. Value of open short option contracts** -517,052,913 [7133]

11. Net equities with other FCMs
    - **A. Net liquidating equity** 0 [7140]
    - **B. Securities representing investments of customers’ funds (at market)** 0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 0 [7170]

12. Segregated funds on hand 2,276,491 [7150]

13. Total amount in segregation (add lines 7 through 12) 1,465,185,537 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 16,870,970 [7190]

15. Management Target Amount for Excess funds in segregation 13,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount 3,870,970 [7198]

**INITIAL**

End Date: 3/15/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
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### Daily Segregation - Swaps Statement

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

<table>
<thead>
<tr>
<th>Requirement</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Net ledger balance</td>
<td>0</td>
</tr>
<tr>
<td>A. Cash</td>
<td>0</td>
</tr>
<tr>
<td>B. Securities (at market)</td>
<td>0</td>
</tr>
<tr>
<td>2. Net unrealized profit (loss) in open cleared swaps</td>
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</tr>
<tr>
<td>3. Cleared swaps options</td>
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</tr>
<tr>
<td>A. Market value of open cleared swaps option contracts purchased</td>
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</tr>
<tr>
<td>B. Market value of open cleared swaps option contracts granted</td>
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</tr>
<tr>
<td>4. Net Equity (deficit) (add lines 1, 2, and 3)</td>
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<tr>
<td>5. Accounts liquidating to a deficit and accounts with</td>
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<tr>
<td>debit balances - gross amount</td>
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<tr>
<td>Less: amount offset by customer owned securities</td>
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</tr>
<tr>
<td>6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)</td>
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</tr>
<tr>
<td>Funds in Cleared Swaps Customer Segregated Accounts</td>
<td></td>
</tr>
<tr>
<td>A. Cash</td>
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</tr>
<tr>
<td>B. Securities representing investments of cleared swaps customers' funds (at market)</td>
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</tr>
<tr>
<td>C. Securities held for particular cleared swaps customers in lieu of cash (at market)</td>
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</tr>
<tr>
<td>7. Deposited in cleared swaps customer segregated accounts at banks</td>
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</tr>
<tr>
<td>A. Cash</td>
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<td>B. Securities representing investments of cleared swaps customers' funds (at market)</td>
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<td>8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts</td>
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<td>C. Securities held for particular cleared swaps customers in lieu of cash (at market)</td>
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<td>9. Net settlement from (to) derivatives clearing organizations</td>
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<tr>
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<tr>
<td>A. Value of open cleared swaps long option contracts</td>
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<tr>
<td>B. Value of open cleared swaps short option contracts</td>
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<td>C. Securities held for particular cleared swaps customers in lieu of cash (at market)</td>
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<td>12. Cleared swaps customer funds on hand</td>
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<tr>
<td>A. Cash</td>
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<td>B. Securities representing investments of cleared swaps customers' funds (at market)</td>
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<td>13. Total amount in cleared swaps customer segregation (add lines 7 through 12)</td>
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<td>14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)</td>
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<tr>
<td>15. Management Target Amount for Excess funds in cleared swaps segregated accounts</td>
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<td>16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management</td>
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</tbody>
</table>