Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM’s Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: $13,000,000
   b. Minimum percentage of customer segregated funds required: 0%
   c. Dollar amount range between: $0 and $0
   d. Percentage range of customer segregated funds required between: 0% and 0%

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: $1,000,000
   b. Minimum percentage of customer secured funds required: 0%
   c. Dollar amount range between: $0 and $0
   d. Percentage range of customer secured funds required between: 0% and 0%

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: $0
   b. Minimum percentage of cleared swaps customer collateral required: 0%
   c. Dollar amount range between: $0 and $0
   d. Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers</td>
<td>0 [7305]</td>
</tr>
<tr>
<td>A. Cash</td>
<td>14,440,477 [7315]</td>
</tr>
<tr>
<td>B. Securities (at market)</td>
<td>0 [7317]</td>
</tr>
<tr>
<td>Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade</td>
<td>-577,392 [7325]</td>
</tr>
<tr>
<td>Exchange traded options</td>
<td></td>
</tr>
<tr>
<td>a. Market value of open option contracts purchased on a foreign board of trade</td>
<td>162 [7335]</td>
</tr>
<tr>
<td>b. Market value of open contracts granted (sold) on a foreign board of trade</td>
<td>-12,075 [7337]</td>
</tr>
<tr>
<td>Net equity (deficit) (add lines 1. 2. and 3.)</td>
<td>13,851,172 [7345]</td>
</tr>
<tr>
<td>Account liquidating to a deficit and account with a debit balances - gross amount</td>
<td>159,791 [7351]</td>
</tr>
<tr>
<td>Less: amount offset by customer owned securities</td>
<td>0 [7352] 159,791 [7354]</td>
</tr>
<tr>
<td>Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)</td>
<td>14,010,963 [7355]</td>
</tr>
<tr>
<td>Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.</td>
<td>14,010,963 [7360]</td>
</tr>
</tbody>
</table>

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States | 513,032 [7500] |
   - B. Other banks qualified under Regulation 30.7 | 653,349 [7520] 1,166,381 [7530] |

2. **Securities**
   - A. In safekeeping with banks located in the United States | 0 [7540] |
   - B. In safekeeping with other banks qualified under Regulation 30.7 | 0 [7560] 0 [7570] |

3. **Equities with registered futures commission merchants**
   - A. Cash | 5,727,060 [7580] |
   - B. Securities | 0 [7590] |
   - C. Unrealized gain (loss) on open futures contracts | 0 [7600] |
   - D. Value of long option contracts | 0 [7610] |
   - E. Value of short option contracts | 0 [7615] 5,727,060 [7620] |

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash | 6,061,326 [7640] |
   - B. Securities | 0 [7650] |
   - C. Amount due to (from) clearing organization - daily variation | 0 [7660] |
   - D. Value of long option contracts | 0 [7670] |
   - E. Value of short option contracts | 0 [7675] 6,061,326 [7680] |

5. **Amounts held by members of foreign boards of trade**
   - A. Cash | 3,992,922 [7700] |
   - B. Securities | 0 [7710] |
   - C. Unrealized gain (loss) on open futures contracts | 0 [7720] |
   - D. Value of long option contracts | 162 [7730] |
   - E. Value of short option contracts | -12,075 [7735] 3,981,009 [7740] |

6. **Amounts with other depositories designated by a foreign board of trade**
   - 0 [7760] |

7. **Segregated funds on hand**
   - 0 [7765] |

8. **Total funds in separate section 30.7 accounts**
   - 16,935,776 [7770] |

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**
   - 2,924,813 [7780] |

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**
   - 1,000,000 [7780] |

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**
   - 1,924,813 [7785] |
## SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - A. Cash: **1,026,372,403** [7010]
   - B. Securities (at market): **355,546,643** [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - **67,917,214** [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: **482,582,531** [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: **-484,363,507** [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - **1,448,055,284** [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - **1,512,067** [7045]
   - Less: amount offset by customer securities: **-73,570** [7047]
   - **1,438,497** [7050]

6. **Amount required to be segregated (add lines 4 and 5)**
   - **1,449,493,781** [7060]

### FUNDS IN SEGREGATED ACCOUNTS

7. **Deposited in segregated funds bank accounts**
   - A. Cash: **591,648,076** [7070]
   - B. Securities representing investments of customers’ funds (at market): **60,000,000** [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): **32,032,632** [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: **353,322,018** [7100]
   - B. Securities representing investments of customers’ funds (at market): **144,257,058** [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): **321,255,877** [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - **-36,191,462** [7130]

10. **Exchange traded options**
    - A. Value of open long option contracts: **482,582,531** [7132]
    - B. Value of open short option contracts: **-484,363,507** [7133]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: **0** [7140]
    - B. Securities representing investments of customers’ funds (at market): **0** [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): **0** [7170]

12. **Segregated funds on hand**
    - **2,258,134** [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - **1,466,801,357** [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - **17,307,576** [7190]

15. **Management Target Amount for Excess funds in segregation**
    - **13,000,000** [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **4,307,576** [7198]
## Daily Segregation - Swaps Statement

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. **Net ledger balance**
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps** 0 [8520]

3. **Cleared swaps options**
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)** 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   Less: amount offset by customer owned securities 0 [8560]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)** 0 [8570] 0 [8580]

Funds in Cleared Swaps Customer Segregated Accounts

7. **Deposited in cleared swaps customer segregated accounts at banks**
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations** 0 [8660]

10. **Cleared swaps options**
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. **Net equities with other FCMs**
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. **Cleared swaps customer funds on hand**
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)** 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)** 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts** 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management** 0 [8770]