INITIAL
End Date: 3/20/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 3/21/2018
FCM's Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or \hspace{1cm} 13,000,000
   b. Minimum percentage of customer segregated funds required: % ; or \hspace{1cm} 0
   c. Dollar amount range between: and; or \hspace{1cm} 0 0
   d. Percentage range of customer segregated funds required between: % and%. \hspace{1cm} 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or \hspace{1cm} 1,000,000
   b. Minimum percentage of customer secured funds required: % ; or \hspace{1cm} 0
   c. Dollar amount range between: and; or \hspace{1cm} 0 0
   d. Percentage range of customer secured funds required between: % and%. \hspace{1cm} 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: ; or \hspace{1cm} 0
   b. Minimum percentage of cleared swaps customer collateral required: % ; or \hspace{1cm} 0
   c. Dollar amount range between: and; or \hspace{1cm} 0 0
   d. Percentage range of cleared swaps customer collateral required between: % and%. \hspace{1cm} 0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash: 14,315,954 [7315]
   - B. Securities (at market): 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**
   - -723,411 [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade: 0 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**
   - 13,592,543 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**
   - 3,067 [7351]
   - Less: amount offset by customer owned securities: 0 [7352] 3,067 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**
   - 13,595,610 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**
   - 13,595,610 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States: 430,941 [7500]
   - B. Other banks qualified under Regulation 30.7: 655,540 [7520] 1,086,481 [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States: 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash: 5,693,478 [7580]
   - B. Securities: 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   - D. Value of long option contracts: 0 [7610]
   - E. Value of short option contracts: 0 [7615] 5,693,478 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash: 6,002,109 [7640]
   - B. Securities: 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   - D. Value of long option contracts: 0 [7670]
   - E. Value of short option contracts: 0 [7675] 6,002,109 [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: 3,992,254 [7700]
   - B. Securities: 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   - D. Value of long option contracts: 0 [7730]
   - E. Value of short option contracts: 0 [7735] 3,992,254 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**
   - 0 [7760]

7. **Segregated funds on hand**
   - 0 [7765]

8. **Total funds in separate section 30.7 accounts**
   - 16,774,322 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**
   - 3,178,712 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**
    - 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**
    - 2,178,712 [7785]
### Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEA)*

**1. Net ledger balance**
- **A. Cash**: $864,162,240 [7010]
- **B. Securities (at market)**: $354,157,553 [7020]

**2. Net unrealized profit (loss) in open futures contracts traded on a contract market**
- **90,039,853** [7030]

**3. Exchange traded options**
- **A. Add market value of open option contracts purchased on a contract market**: $471,416,270 [7032]
- **B. Deduct market value of open option contracts granted (sold) on a contract market**: $448,856,951 [7033]

**4. Net equity (deficit) (add lines 1, 2 and 3)**
- **1,330,918,965** [7040]

**5. Accounts liquidating to a deficit and accounts with debit balances - gross amount**
- **1,499,295** [7045]

Less: amount offset by customer securities: $76,803 [7047] **1,422,492** [7050]

**6. Amount required to be segregated (add lines 4 and 5)**
- **1,332,341,457** [7060]

**Funds in segregated accounts**

**7. Deposited in segregated funds bank accounts**
- **A. Cash**: $491,397,416 [7070]
- **B. Securities representing investments of customers' funds (at market)**: $30,000,000 [7080]
- **C. Securities held for particular customers or option customers in lieu of cash (at market)**: $31,872,632 [7090]

**8. Margins on deposit with derivatives clearing organizations of contract markets**
- **A. Cash**: $305,228,769 [7100]
- **B. Securities representing investments of customers' funds (at market)**: $144,289,113 [7110]
- **C. Securities held for particular customers or option customers in lieu of cash (at market)**: $320,035,106 [7120]

**9. Net settlement from (to) derivatives clearing organizations of contract markets**
- **2,008,322** [7130]

**10. Exchange traded options**
- **A. Value of open long option contracts**: $471,416,270 [7132]
- **B. Value of open short option contracts**: $448,856,951 [7133]

**11. Net equities with other FCMs**
- **A. Net liquidating equity**: 0 [7140]
- **B. Securities representing investments of customers' funds (at market)**: 0 [7160]
- **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 0 [7170]

**12. Segregated funds on hand**
- **2,249,815** [7150]

**13. Total amount in segregation (add lines 7 through 12)**
- **1,349,640,492** [7180]

**14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
- **17,299,035** [7190]

**15. Management Target Amount for Excess funds in segregation**
- **13,000,000** [7194]

**16. Excess (deficiency) funds in segregation over (under) Management Target Amount**
- **4,299,035** [7198]
### Daily Segregation - Swaps Statement

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

**Cleared Swaps Customer Requirements**

1. **Net ledger balance**
   - A. Cash 0 [8500]  
   - B. Securities (at market) 0 [8510]  
2. **Net unrealized profit (loss) in open cleared swaps** 0 [8520]  
3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased 0 [8530]  
   - B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]  
4. **Net Equity (deficit) (add lines 1, 2, and 3)** 0 [8550]  
5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities 0 [8560]  
6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)** 0 [8570] 0 [8580]  
7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash 0 [8600]  
   - B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]  
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]  
8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash 0 [8630]  
   - B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]  
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]  
9. **Net settlement from (to) derivatives clearing organizations** 0 [8660]  
10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts 0 [8670]  
    - B. Value of open cleared swaps short option contracts 0 [8680]  
11. **Net equities with other FCMs**
    - A. Net liquidating equity 0 [8690]  
    - B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]  
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]  
12. **Cleared swaps customer funds on hand**
    - A. Cash 0  
    - B. Securities representing investments of cleared swaps customers' funds (at market) 0  
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]  
13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)** 0 [8720]  
14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)** 0 [8730]  
15. **Management Target Amount for Excess funds in cleared swaps segregated accounts** 0 [8760]  
16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management** 0 [8770]  