INITIAL
End Date: 3/28/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 3/29/2018
Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: $13,000,000
   b. Minimum percentage of customer segregated funds required: 0%
   c. Dollar amount range between: 0 and 0
   d. Percentage range of customer segregated funds required between: 0% and 0%

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: $1,000,000
   b. Minimum percentage of customer secured funds required: 0%
   c. Dollar amount range between: 0 and 0
   d. Percentage range of customer secured funds required between: 0% and 0%

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: $0
   b. Minimum percentage of cleared swaps customer collateral required: 0%
   c. Dollar amount range between: 0 and 0
   d. Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents
INITIAL
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Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 14,817,818 [7315]
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade -467,819 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 0 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 14,349,999 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 1,654 [7351]
   Less: amount offset by customer owned securities 0 [7352] 1,654 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 14,351,653 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6 14,351,653 [7360]

Funds Deposited in Separate Regulation 30.7 Accounts

1. Cash in banks
   A. Banks located in the United States 883,144 [7500]
   B. Other banks qualified under Regulation 30.7 658,094 [7520] 1,541,238 [7530]

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash 5,973,481 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 5,973,481 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 6,246,338 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 6,246,338 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash 3,473,953 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 0 [7730]
   E. Value of short option contracts 0 [7735] 3,473,953 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 17,235,010 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 2,883,357 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 1,883,357 [7785]
Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEA):

1. Net ledger balance
   A. Cash: 867,193,928 [7010]
   B. Securities (at market): 338,283,291 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market: 73,442,149 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market: 436,895,539 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market: -418,963,313 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3): 1,296,851,594 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount: 1,496,582 [7045]
   Less: amount offset by customer securities: -72,974 [7047]
   1,423,608 [7050]

6. Amount required to be segregated (add lines 4 and 5): 1,298,275,202 [7060]

7. Deposited in segregated funds bank accounts
   A. Cash: 503,048,786 [7070]
   B. Securities representing investments of customers’ funds (at market): 30,000,000 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market): 16,952,632 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash: 278,774,292 [7100]
   B. Securities representing investments of customers’ funds (at market): 144,370,506 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market): 319,032,180 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets: 10,770,158 [7130]

10. Exchange traded options
    A. Value of open long option contracts: 436,895,539 [7132]
    B. Value of open short option contracts: -418,963,313 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity: 0 [7140]
    B. Securities representing investments of customers’ funds (at market): 0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market): 0 [7170]

12. Segregated funds on hand: 2,298,479 [7150]

13. Total amount in segregation (add lines 7 through 12): 1,323,179,259 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13): 24,904,057 [7190]

15. Management Target Amount for Excess funds in segregation: 13,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount: 11,904,057 [7198]
**Daily Segregation - Swaps Statement**

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cleared Swaps Customer Requirements</td>
<td></td>
</tr>
<tr>
<td>1. Net ledger balance</td>
<td></td>
</tr>
<tr>
<td>A. Cash</td>
<td>0 [8500]</td>
</tr>
<tr>
<td>B. Securities (at market)</td>
<td>0 [8510]</td>
</tr>
<tr>
<td>2. Net unrealized profit (loss) in open cleared swaps</td>
<td>0 [8520]</td>
</tr>
<tr>
<td>3. Cleared swaps options</td>
<td></td>
</tr>
<tr>
<td>A. Market value of open cleared swaps option contracts purchased</td>
<td>0 [8530]</td>
</tr>
<tr>
<td>B. Market value of open cleared swaps option contracts granted (sold)</td>
<td>0 [8540]</td>
</tr>
<tr>
<td>4. Net Equity (deficit) (add lines 1, 2, and 3)</td>
<td>0 [8550]</td>
</tr>
<tr>
<td>5. Accounts liquidating to a deficit and accounts with debit balances - gross amount</td>
<td>0 [8560]</td>
</tr>
<tr>
<td>Less: amount offset by customer owned securities</td>
<td>0 [8570]</td>
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<tr>
<td>Amount required to be segregated for cleared swaps customers (add lines 4 and 5)</td>
<td>0 [8590]</td>
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<tr>
<td>Funds in Cleared Swaps Customer Segregated Accounts</td>
<td></td>
</tr>
<tr>
<td>A. Cash</td>
<td>0 [8600]</td>
</tr>
<tr>
<td>B. Securities representing investments of cleared swaps customers' funds (at market)</td>
<td>0 [8610]</td>
</tr>
<tr>
<td>C. Securities held for particular cleared swaps customers in lieu of cash (at market)</td>
<td>0 [8620]</td>
</tr>
<tr>
<td>Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts</td>
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</tr>
<tr>
<td>A. Cash</td>
<td>0 [8630]</td>
</tr>
<tr>
<td>B. Securities representing investments of cleared swaps customers' funds (at market)</td>
<td>0 [8640]</td>
</tr>
<tr>
<td>C. Securities held for particular cleared swaps customers in lieu of cash (at market)</td>
<td>0 [8650]</td>
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<tr>
<td>Net settlement from (to) derivatives clearing organizations</td>
<td>0 [8660]</td>
</tr>
<tr>
<td>Cleared swaps options</td>
<td></td>
</tr>
<tr>
<td>A. Value of open cleared swaps long option contracts</td>
<td>0 [8670]</td>
</tr>
<tr>
<td>B. Value of open cleared swaps short option contracts</td>
<td>0 [8680]</td>
</tr>
<tr>
<td>11. Net equities with other FCMs</td>
<td></td>
</tr>
<tr>
<td>A. Net liquidating equity</td>
<td>0 [8690]</td>
</tr>
<tr>
<td>B. Securities representing investments of cleared swaps customers' funds (at market)</td>
<td>0 [8700]</td>
</tr>
<tr>
<td>C. Securities held for particular cleared swaps customers in lieu of cash (at market)</td>
<td>0 [8710]</td>
</tr>
<tr>
<td>Cleared swaps customer funds on hand</td>
<td></td>
</tr>
<tr>
<td>A. Cash</td>
<td>0</td>
</tr>
<tr>
<td>B. Securities representing investments of cleared swaps customers' funds (at market)</td>
<td>0</td>
</tr>
<tr>
<td>C. Securities held for particular cleared swaps customers in lieu of cash (at market)</td>
<td>0 [8710]</td>
</tr>
<tr>
<td>Total amount in cleared swaps customer segregation (add lines 7 through 12)</td>
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</tr>
<tr>
<td>Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)</td>
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</tr>
<tr>
<td>Management Target Amount for Excess funds in cleared swaps segregated accounts</td>
<td>0 [8760]</td>
</tr>
<tr>
<td>Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management</td>
<td>0 [8770]</td>
</tr>
</tbody>
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