 INITIAL  
End Date: 4/4/2018  
Firm Name: WEDBUSH SECURITIES INC  
Form: Daily Seg - FOCUS II  
Submit Date: 4/5/2018  

Daily Segregation - Cover Page  

Name of Company: WEDBUSH SECURITIES INC  
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Contact Email Address: kevin.wirth@wedbush.com  

FCM’s Customer Segregated Funds Residual Interest Target (choose one):  
   a. Minimum dollar amount: $13,000,000  
   b. Minimum percentage of customer segregated funds required: %  
   c. Dollar amount range between: $0 and $0  
   d. Percentage range of customer segregated funds required between: % and %.

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):  
   a. Minimum dollar amount: $1,000,000  
   b. Minimum percentage of customer secured funds required: %  
   c. Dollar amount range between: $0 and $0  
   d. Percentage range of customer secured funds required between: % and %.

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):  
   a. Minimum dollar amount: $0  
   b. Minimum percentage of cleared swaps customer collateral required: %  
   c. Dollar amount range between: $0 and $0  
   d. Percentage range of cleared swaps customer collateral required between: % and %.

Attach supporting documents
Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 15,330,340 [7315]
   B. Securities (at market) 0 [7317]
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade -615,959 [7325]
3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 0 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]
4. Net equity (deficit) (add lines 1. 2. and 3.) 14,711,431 [7345]
5. Account liquidating to a deficit and account with a debit balances - gross amount 1,664 [7351]
   Less: amount offset by customer owned securities 0 [7352] 1,664 [7354]
6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 14,716,045 [7355]
7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 14,716,045 [7360]

Funds Deposited in Separate Regulation 30.7 Accounts

1. Cash in banks
   A. Banks located in the United States 1,573,410 [7500]
   B. Other banks qualified under Regulation 30.7 844,949 [7520] 2,418,359 [7530]
2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]
3. Equities with registered futures commission merchants
   A. Cash 6,076,978 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 6,076,978 [7620]
4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 6,108,446 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 6,108,446 [7680]
5. Amounts held by members of foreign boards of trade
   A. Cash 3,040,771 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 0 [7730]
   E. Value of short option contracts 0 [7735] 3,040,771 [7740]
6. Amounts with other depositories designated by a foreign board of trade 0 [7760]
7. Segregated funds on hand 0 [7765]
8. Total funds in separate section 30.7 accounts 17,644,554 [7770]
9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 2,928,509 [7780]
10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 1,928,509 [7785]
<p>| | | |</p>
<table>
<thead>
<tr>
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<tbody>
<tr>
<td><strong>1. Net ledger balance</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>A. Cash</td>
<td>836,832,373</td>
<td>[7010]</td>
</tr>
<tr>
<td>B. Securities (at market)</td>
<td>337,319,201</td>
<td>[7020]</td>
</tr>
<tr>
<td><strong>2. Net unrealized profit (loss) in open futures contracts traded on a contract market</strong></td>
<td>128,808,107</td>
<td>[7030]</td>
</tr>
<tr>
<td><strong>3. Exchange traded options</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>A. Add market value of open option contracts purchased on a contract market</td>
<td>441,308,981</td>
<td>[7032]</td>
</tr>
<tr>
<td>B. Deduct market value of open option contracts granted (sold) on a contract market</td>
<td>-456,557,074</td>
<td>[7033]</td>
</tr>
<tr>
<td><strong>4. Net equity (deficit) (add lines 1, 2 and 3)</strong></td>
<td>1,287,711,588</td>
<td>[7040]</td>
</tr>
<tr>
<td><strong>5. Accounts liquidating to a deficit and accounts with debit balances - gross amount</strong></td>
<td>1,518,762</td>
<td>[7045]</td>
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<tr>
<td>Less: amount offset by customer securities</td>
<td>-79,022</td>
<td>[-79,022]</td>
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<tr>
<td><strong>6. Amount required to be segregated (add lines 4 and 5)</strong></td>
<td>1,289,151,328</td>
<td>[7060]</td>
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<tr>
<td><strong>7. Deposited in segregated funds bank accounts</strong></td>
<td></td>
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<tr>
<td>A. Cash</td>
<td>527,709,542</td>
<td>[7070]</td>
</tr>
<tr>
<td>B. Securities representing investments of customers' funds (at market)</td>
<td>30,000,000</td>
<td>[7080]</td>
</tr>
<tr>
<td>C. Securities held for particular customers or option customers in lieu of cash (at market)</td>
<td>16,002,632</td>
<td>[7090]</td>
</tr>
<tr>
<td><strong>8. Margins on deposit with derivatives clearing organizations of contract markets</strong></td>
<td></td>
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<tr>
<td>A. Cash</td>
<td>280,249,336</td>
<td>[7100]</td>
</tr>
<tr>
<td>B. Securities representing investments of customers' funds (at market)</td>
<td>144,435,350</td>
<td>[7110]</td>
</tr>
<tr>
<td>C. Securities held for particular customers or option customers in lieu of cash (at market)</td>
<td>319,034,320</td>
<td>[7120]</td>
</tr>
<tr>
<td><strong>9. Net settlement from (to) derivatives clearing organizations of contract markets</strong></td>
<td>2,931,685</td>
<td>[7130]</td>
</tr>
<tr>
<td><strong>10. Exchange traded options</strong></td>
<td></td>
<td></td>
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<tr>
<td>A. Value of open long option contracts</td>
<td>441,308,981</td>
<td>[7132]</td>
</tr>
<tr>
<td>B. Value of open short option contracts</td>
<td>-456,557,074</td>
<td>[7133]</td>
</tr>
<tr>
<td><strong>11. Net equities with other FCMs</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>A. Net liquidating equity</td>
<td>0</td>
<td>[7140]</td>
</tr>
<tr>
<td>B. Securities representing investments of customers' funds (at market)</td>
<td>0</td>
<td>[7160]</td>
</tr>
<tr>
<td>C. Securities held for particular customers or option customers in lieu of cash (at market)</td>
<td>0</td>
<td>[7170]</td>
</tr>
<tr>
<td><strong>12. Segregated funds on hand</strong></td>
<td>2,282,249</td>
<td>[7150]</td>
</tr>
<tr>
<td><strong>13. Total amount in segregation (add lines 7 through 12)</strong></td>
<td>1,307,397,021</td>
<td>[7180]</td>
</tr>
<tr>
<td><strong>14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)</strong></td>
<td>18,245,693</td>
<td>[7190]</td>
</tr>
<tr>
<td><strong>15. Management Target Amount for Excess funds in segregation</strong></td>
<td>13,000,000</td>
<td>[7194]</td>
</tr>
<tr>
<td><strong>16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess</strong></td>
<td>5,245,693</td>
<td>[7198]</td>
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**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

### Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]
2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]
3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]
4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]
5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities: 0 [8560]
6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0 [8570] 0 [8580]
7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]
8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]
9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]
10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]
11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]
12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]
13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]
14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]
15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]
16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]