INITIAL
End Date: 4/12/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 4/13/2018
Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
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FCM’s Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: $13,000,000
   b. Minimum percentage of customer segregated funds required: 0%
   c. Dollar amount range between: 0 and
   d. Percentage range of customer segregated funds required between: 0% and 0%

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: $1,000,000
   b. Minimum percentage of customer secured funds required: 0%
   c. Dollar amount range between: 0 and
   d. Percentage range of customer secured funds required between: 0% and 0%

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: $0
   b. Minimum percentage of cleared swaps customer collateral required: 0%
   c. Dollar amount range between: 0 and
   d. Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   - A. Cash 15,432,425 [7315]
   - B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade -295,932 [7325]

3. Exchange traded options
   - a. Market value of open option contracts purchased on a foreign board of trade 0 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 15,136,493 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 3,572 [7351]
   Less: amount offset by customer owned securities 0 [7352] 3,572 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 15,140,065 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 15,140,065 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   - A. Banks located in the United States 4,079,263 [7500]
   - B. Other banks qualified under Regulation 30.7 649,550 [7520] 4,728,813 [7530]

2. Securities
   - A. In safekeeping with banks located in the United States 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   - A. Cash 5,745,143 [7580]
   - B. Securities 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts 0 [7600]
   - D. Value of long option contracts 0 [7610]
   - E. Value of short option contracts 0 [7615] 5,745,143 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   - A. Cash 6,207,800 [7640]
   - B. Securities 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation 0 [7660]
   - D. Value of long option contracts 0 [7670]
   - E. Value of short option contracts 0 [7675] 6,207,800 [7680]

5. Amounts held by members of foreign boards of trade
   - A. Cash 930,362 [7700]
   - B. Securities 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts 0 [7720]
   - D. Value of long option contracts 0 [7730]
   - E. Value of short option contracts 0 [7735] 930,362 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 17,612,118 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 2,472,053 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 1,472,053 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEA)**

1. **Net ledger balance**
   - **A. Cash**: $915,763,859
   - **B. Securities (at market)**: $278,968,992

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: $131,852,031

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**: $464,478,597
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**: $-510,418,469

4. **Net equity (deficit) (add lines 1, 2 and 3)**: $1,280,645,010

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - **Less: amount offset by customer securities**: $-76,339
   - **Amount required to be segregated (add lines 4 and 5)**: $1,282,082,654

**FUNDS IN SEGREGATED ACCOUNTS**

6. **Deposited in segregated funds bank accounts**
   - **A. Cash**: $614,093,277
   - **B. Securities representing investments of customers' funds (at market)**: $30,000,000
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: $6,201,421

7. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash**: $280,758,348
   - **B. Securities representing investments of customers' funds (at market)**: $144,483,295
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: $270,472,810

8. **Net settlement from (to) derivatives clearing organizations of contract markets**: $-3,272,477

9. **Exchange traded options**
   - **A. Value of open long option contracts**: $464,478,597
   - **B. Value of open short option contracts**: $-510,418,469

10. **Net equities with other FCMs**
    - **A. Net liquidating equity**: $0
    - **B. Securities representing investments of customers' funds (at market)**: $0
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: $0

11. **Segregated funds on hand**: $2,294,761

12. **Total amount in segregation (add lines 7 through 12)**: $1,299,091,563

13. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: $17,008,909

14. **Management Target Amount for Excess funds in segregation**: $13,000,000

15. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess**: $4,008,909
## Daily Segregation - Swaps Statement

### Statement of Cleared Swaps Customer Segregation Requirements and Funds in Cleared Swaps Customer Accounts Under 4D(F) of the CEA

**Cleared Swaps Customer Requirements**

1. **Net ledger balance**
   - **A. Cash**: 0 [8500]
   - **B. Securities (at market)**: 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   - **A. Market value of open cleared swaps option contracts purchased**: 0 [8530]
   - **B. Market value of open cleared swaps option contracts granted (sold)**: 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities: 0 [8560]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0 [8570] 0 [8580]

**Funds in Cleared Swaps Customer Segregated Accounts**

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - **A. Cash**: 0 [8600]
   - **B. Securities representing investments of cleared swaps customers' funds (at market)**: 0 [8610]
   - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)**: 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - **A. Cash**: 0 [8630]
   - **B. Securities representing investments of cleared swaps customers' funds (at market)**: 0 [8640]
   - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)**: 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]

10. **Cleared swaps options**
    - **A. Value of open cleared swaps long option contracts**: 0 [8670]
    - **B. Value of open cleared swaps short option contracts**: 0 [8680]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**: 0 [8690]
    - **B. Securities representing investments of cleared swaps customers' funds (at market)**: 0 [8700]
    - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)**: 0 [8710]

12. **Cleared swaps customer funds on hand**
    - **A. Cash**: 0
    - **B. Securities representing investments of cleared swaps customers' funds (at market)**: 0
    - **C. Securities held for particular cleared swaps customers in lieu of cash (at market)**: 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]