INITIAL
End Date: 4/16/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 4/17/2018
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Daily Segregation - Cover Page

Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM’s Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: $13,000,000; or
b. Minimum percentage of customer segregated funds required: 0%; or
c. Dollar amount range between: $0 and $0; or
d. Percentage range of customer segregated funds required between: 0% and 0%.

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: $1,000,000; or
b. Minimum percentage of customer secured funds required: 0%; or
c. Dollar amount range between: $0 and $0; or
d. Percentage range of customer secured funds required between: 0% and 0%.

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: $0; or
b. Minimum percentage of cleared swaps customer collateral required: 0%; or
c. Dollar amount range between: $0 and $0; or
d. Percentage range of cleared swaps customer collateral required between: 0% and 0%.

Attach supporting documents
INITIAL
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Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
A. Cash 14,061,102 [7315]
B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade -381,812 [7325]

3. Exchange traded options
a. Market value of open option contracts purchased on a foreign board of trade 0 [7335]
b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 13,679,290 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 116,457 [7351]
Less: amount offset by customer owned securities 0 [7352] 116,457 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 13,795,747 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 13,795,747 [7360]

Funds Deposited in Separate Regulation 30.7 Accounts

1. Cash in banks
A. Banks located in the United States 2,637,607 [7500]
B. Other banks qualified under Regulation 30.7 651,094 [7520] 3,288,701 [7530]

2. Securities
A. In safekeeping with banks located in the United States 0 [7540]
B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
A. Cash 5,746,572 [7580]
B. Securities 0 [7590]
C. Unrealized gain (loss) on open futures contracts 0 [7600]
D. Value of long option contracts 0 [7610]
E. Value of short option contracts 0 [7615] 5,746,572 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
A. Cash 6,253,138 [7640]
B. Securities 0 [7650]
C. Amount due to (from) clearing organization - daily variation 0 [7660]
D. Value of long option contracts 0 [7670]
E. Value of short option contracts 0 [7675] 6,253,138 [7680]

5. Amounts held by members of foreign boards of trade
A. Cash 915,100 [7700]
B. Securities 0 [7710]
C. Unrealized gain (loss) on open futures contracts 0 [7720]
D. Value of long option contracts 0 [7730]
E. Value of short option contracts 0 [7735] 915,100 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 16,203,511 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 2,407,764 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 1,407,764 [7785]
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<td>Net equities with other FCMs</td>
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<td>A. Net liquidating equity</td>
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### Daily Segregation - Swaps Statement

#### STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. Cash
   - B. Securities (at market)
2. **Net unrealized profit (loss) in open cleared swaps**
3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased
   - B. Market value of open cleared swaps option contracts granted (sold)
4. **Net Equity (deficit) (add lines 1, 2, and 3)**
5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities
6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**
   - **Funds in Cleared Swaps Customer Segregated Accounts**
   - A. Cash
   - B. Securities representing investments of cleared swaps customers' funds (at market)
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market)
7. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash
   - B. Securities representing investments of cleared swaps customers' funds (at market)
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market)
8. **Net settlement from (to) derivatives clearing organizations**
9. **Cleared swaps options**
   - A. Value of open cleared swaps long option contracts
   - B. Value of open cleared swaps short option contracts
10. **Net equities with other FCMs**
   - A. Net liquidating equity
   - B. Securities representing investments of cleared swaps customers' funds (at market)
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market)
11. **Cleared swaps customer funds on hand**
   - A. Cash
   - B. Securities representing investments of cleared swaps customers' funds (at market)
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market)
12. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**
13. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**
14. **Management Target Amount for Excess funds in cleared swaps segregated accounts**
15. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**