INITIAL
End Date: 4/17/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 4/18/2018
Daily Segregation - Cover Page

Name of Company: WEDBUSH SECURITIES INC
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FCM’s Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: $13,000,000
  b. Minimum percentage of customer segregated funds required: 0%
  c. Dollar amount range between: $0 and $0
  d. Percentage range of customer segregated funds required between: 0% and 0%

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: $1,000,000
  b. Minimum percentage of customer secured funds required: 0%
  c. Dollar amount range between: $0 and $0
  d. Percentage range of customer secured funds required between: 0% and 0%

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: $0
  b. Minimum percentage of cleared swaps customer collateral required: 0%
  c. Dollar amount range between: $0 and $0
  d. Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents
**INITIAL**

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### Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts  
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self- regulatory organization authorized thereunder: 0

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers  
   A. Cash: 13,895,337  
   B. Securities (at market): 0

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade: -375,954

3. Exchange traded options  
   a. Market value of open option contracts purchased on a foreign board of trade: 0  
   b. Market value of open contracts granted (sold) on a foreign board of trade: 0

4. Net equity (deficit) (add lines 1. 2. and 3.): 13,519,383

5. Account liquidating to a deficit and account with a debit balances - gross amount: 4,386  
   Less: amount offset by customer owned securities: 0

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5): 13,523,769

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6: 13,523,769

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. Cash in banks  
   A. Banks located in the United States: 2,481,902  
   B. Other banks qualified under Regulation 30.7: 652,635

2. Securities  
   A. In safekeeping with banks located in the United States: 0  
   B. In safekeeping with other banks qualified under Regulation 30.7: 0

3. Equities with registered futures commission merchants  
   A. Cash: 5,758,217  
   B. Securities: 0  
   C. Unrealized gain (loss) on open futures contracts: 0  
   D. Value of long option contracts: 0  
   E. Value of short option contracts: 0

4. Amounts held by clearing organizations of foreign boards of trade  
   A. Cash: 6,165,471

5. Amounts held by members of foreign boards of trade  
   A. Cash: 1,003,969

6. Amounts with other depositories designated by a foreign board of trade: 0

7. Segregated funds on hand: 0

8. Total funds in separate section 30.7 accounts: 16,062,194


10. Management Target Amount for Excess funds in separate section 30.7 accounts: 1,000,000

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target: 1,538,425
### SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - A. Cash: $883,373,983 [7010]
   - B. Securities (at market): $279,007,715 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: $144,164,916 [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: $415,656,517 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: ($451,539,587 [7033])

4. **Net equity (deficit) (add lines 1, 2 and 3)**: $1,270,663,544 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: $1,502,476 [7045]
   - Less: amount offset by customer securities: ($88,140) [7047] $1,414,336 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**: $1,272,077,880 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - A. Cash: $605,439,804 [7070]
   - B. Securities representing investments of customers' funds (at market): $30,000,000 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): $17,201,421 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: $265,982,055 [7100]
   - B. Securities representing investments of customers' funds (at market): $144,512,165 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): $259,472,810 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**: ($246,994) [7130]

10. **Exchange traded options**
    - A. Value of open long option contracts: $415,656,517 [7132]
    - B. Value of open short option contracts: ($451,539,587) [7133]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: $0 [7140]
    - B. Securities representing investments of customers' funds (at market): $0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): $0 [7170]

12. **Segregated funds on hand**: $2,333,484 [7150]

13. **Total amount in segregation (add lines 7 through 12)**: $1,288,811,675 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: $16,733,795 [7190]

15. **Management Target Amount for Excess funds in segregation**: $13,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess: $3,733,795 [7198]
**Daily Segregation - Swaps Statement**

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

**Cleared Swaps Customer Requirements**

1. **Net ledger balance**
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities: 0 [8560] 0 [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0 [8590]

**Funds in Cleared Swaps Customer Segregated Accounts**

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]