INITIAL
End Date: 4/18/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 4/19/2018
FCM's Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: \( \leq \) 13,000,000
   b. Minimum percentage of customer segregated funds required: 0
   c. Dollar amount range between: 0 and 0
   d. Percentage range of customer segregated funds required between: 0 and 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: \( \geq \) 1,000,000
   b. Minimum percentage of customer secured funds required: 0
   c. Dollar amount range between: 0 and 0
   d. Percentage range of customer secured funds required between: 0 and 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: 0
   b. Minimum percentage of cleared swaps customer collateral required: 0
   c. Dollar amount range between: 0 and 0
   d. Percentage range of cleared swaps customer collateral required between: 0 and 0

Attach supporting documents
**Daily Segregation - Secured Amounts**

Foreign Futures and Foreign Options Secured Amounts  
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder  
0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers  
   A. Cash  
   13,787,371 [7315]
   B. Securities (at market)  
   0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade  
   -356,822 [7325]

3. Exchange traded options  
   a. Market value of open option contracts purchased on a foreign board of trade  
      594 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade  
      0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.)  
   13,431,143 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount  
   1,381 [7351]
   Less: amount offset by customer owned securities  
   0 [7352] 1,381 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)  
   13,432,524 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6  
   13,432,524 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. Cash in banks  
   A. Banks located in the United States  
      2,480,588 [7500]
   B. Other banks qualified under Regulation 30.7  
      639,613 [7520] 3,120,201 [7530]

2. Securities  
   A. In safekeeping with banks located in the United States  
      0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7  
      0 [7560] 0 [7570]

3. Equities with registered futures commission merchants  
   A. Cash  
      5,691,595 [7580]
   B. Securities  
      0 [7590]
   C. Unrealized gain (loss) on open futures contracts  
      0 [7600]
   D. Value of long option contracts  
      0 [7610]
   E. Value of short option contracts  
      0 [7615] 5,691,595 [7620]

4. Amounts held by clearing organizations of foreign boards of trade  
   A. Cash  
      6,194,877 [7640]
   B. Securities  
      0 [7650]
   C. Amount due to (from) clearing organization - daily variation  
      0 [7660]
   D. Value of long option contracts  
      0 [7670]
   E. Value of short option contracts  
      0 [7675] 6,194,877 [7680]

5. Amounts held by members of foreign boards of trade  
   A. Cash  
      993,240 [7700]
   B. Securities  
      0 [7710]
   C. Unrealized gain (loss) on open futures contracts  
      0 [7720]
   D. Value of long option contracts  
      594 [7730]
   E. Value of short option contracts  
      0 [7735] 993,834 [7740]

6. Amounts with other depositories designated by a foreign board of trade  
   0 [7760]

7. Segregated funds on hand  
   0 [7765]

8. Total funds in separate section 30.7 accounts  
   16,000,507 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)  
   2,567,983 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts  
    1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target  
    1,567,983 [7785]
### Daily Segregation - Segregation Statement

#### SEGREGATION REQUIREMENTS (Section 4d(2) of the CEA Act)

1. **Net ledger balance**
   - **A. Cash**: 897,372,112 [7010]
   - **B. Securities (at market)**: 279,058,428 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: 138,891,511 [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**: 431,495,928 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**: -471,902,756 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**: 1,274,915,223 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - **Less: amount offset by customer securities**: 89,836 [7047] 1,320,639 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**: 1,276,235,862 [7060]

### FUNDS IN SEGREGATED ACCOUNTS

7. **Deposited in segregated funds bank accounts**
   - **A. Cash**: 612,535,833 [7070]
   - **B. Securities representing investments of customers’ funds (at market)**: 30,000,000 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 6,201,421 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash**: 265,796,180 [7100]
   - **B. Securities representing investments of customers’ funds (at market)**: 144,512,527 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 270,472,810 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**: 1,834,668 [7130]

10. **Exchange traded options**
    - **A. Value of open long option contracts**: 431,495,928 [7132]
    - **B. Value of open short option contracts**: -471,902,756 [7133]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**: 0 [7140]
    - **B. Securities representing investments of customers’ funds (at market)**: 0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 0 [7170]

12. **Segregated funds on hand**: 2,384,197 [7150]

13. **Total amount in segregation (add lines 7 through 12)**: 1,293,330,808 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: 17,094,946 [7190]

15. **Management Target Amount for Excess funds in segregation**: 13,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess**: 4,094,946 [7198]
### STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

**Cleared Swaps Customer Requirements**

1. **Net ledger balance**
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities: 8560 [8560]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0 [8570] 0 [8580]

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]