INITIAL
End Date: 4/19/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 4/20/2018
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM’s Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount:  ; or 13,000,000
   b. Minimum percentage of customer segregated funds required: % ; or 0%
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount:  ; or 1,000,000
   b. Minimum percentage of customer secured funds required: % ; or 0%
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer secured funds required between: % and%. 0 0

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount:  ; or 0
   b. Minimum percentage of cleared swaps customer collateral required: % ; or 0%
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   - A. Cash: 15,150,127 [7315]
   - B. Securities (at market): 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade: -381,073 [7325]

3. Exchange traded options
   - a. Market value of open option contracts purchased on a foreign board of trade: 592 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.): 14,769,646 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount: 2,199 [7351]
   - Less: amount offset by customer owned securities: 0 [7352] 2,199 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5): 14,771,845 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6: 14,771,845 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   - A. Banks located in the United States: 3,765,503 [7500]
   - B. Other banks qualified under Regulation 30.7: 637,801 [7520] 4,403,304 [7530]

2. Securities
   - A. In safekeeping with banks located in the United States: 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   - A. Cash: 5,637,426 [7580]
   - B. Securities: 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   - D. Value of long option contracts: 0 [7610]
   - E. Value of short option contracts: 0 [7615] 5,637,426 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   - A. Cash: 6,103,465 [7640]
   - B. Securities: 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   - D. Value of long option contracts: 0 [7670]
   - E. Value of short option contracts: 0 [7675] 6,103,465 [7680]

5. Amounts held by members of foreign boards of trade
   - A. Cash: 1,088,500 [7700]
   - B. Securities: 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   - D. Value of long option contracts: 592 [7730]
   - E. Value of short option contracts: 0 [7735] 1,089,092 [7740]

6. Amounts with other depositories designated by a foreign board of trade: 0 [7760]

7. Segregated funds on hand: 0 [7765]

8. Total funds in separate section 30.7 accounts: 17,233,287 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8): 2,461,442 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts: 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target: 1,461,442 [7785]
**Daily Segregation - Segregation Statement**

SEGRESSION REQUIREMENTS (Section 4d(2) of the CEAAct)

1. **Net ledger balance**
   - A. Cash 893,926,666 [7010]
   - B. Securities (at market) 279,235,072 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - 161,231,997 [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market 429,219,717 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market -479,085,618 [7033]

4. **Net equity (deficit) (add lines 1, 2, and 3)**
   - 1,284,527,834 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - 1,409,949 [7045]
   - Less: amount offset by customer securities -86,911
   - 1,323,038 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**
   - 1,285,850,872 [7060]

Funds in segregated accounts

7. **Deposited in segregated funds bank accounts**
   - A. Cash 608,865,223 [7070]
   - B. Securities representing investments of customers’ funds (at market) 30,000,000 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market) 6,201,421 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash 265,369,239 [7100]
   - B. Securities representing investments of customers’ funds (at market) 144,515,466 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market) 270,652,177 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - 24,894,013 [7130]

10. **Exchange traded options**
    - A. Value of open long option contracts 429,219,717 [7132]
    - B. Value of open short option contracts -479,085,618 [7133]

11. **Net equities with other FCMs**
    - A. Net liquidating equity 0 [7140]
    - B. Securities representing investments of customers’ funds (at market) 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]

12. **Segregated funds on hand**
    - 2,381,474 [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - 1,303,013,112 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - 17,162,240 [7190]

15. **Management Target Amount for Excess funds in segregation**
    - 13,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess 4,162,240 [7198]
### Statement of Cleared Swaps Customer Segregation Requirements and Funds in Cleared Swaps Customer Accounts Under 4D(F) of the CEA

**Cleared Swaps Customer Requirements**

1. **Net ledger balance**
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities: 0 [8560]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**
   - Funds in Cleared Swaps Customer Segregated Accounts: 0 [8570] 0 [8580]

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]