INITIAL
End Date: 4/23/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 4/24/2018
Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM’s Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: $13,000,000
   b. Minimum percentage of customer segregated funds required: 0%
   c. Dollar amount range between: 0$ and 0$ (or none specified)
   d. Percentage range of customer segregated funds required between: 0% and 0%

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: $1,000,000
   b. Minimum percentage of customer secured funds required: 0%
   c. Dollar amount range between: 0$ and 0$ (or none specified)
   d. Percentage range of customer secured funds required between: 0% and 0%

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: $0
   b. Minimum percentage of cleared swaps customer collateral required: 0%
   c. Dollar amount range between: 0$ and 0$ (or none specified)
   d. Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents
### Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   
   **A. Cash**  
   **B. Securities (at market)**  
   **0** [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade: -581,792 [7325]

3. Exchange traded options
   
   **a. Market value of open option contracts purchased on a foreign board of trade**  
   **272** [7335]
   **b. Market value of open contracts granted (sold) on a foreign board of trade**  
   **0** [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.)  
   **12,600,788** [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount  
   **1,833** [7351]
   
   Less: amount offset by customer owned securities  
   **0** [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)  
   **12,602,621** [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.  
   **12,602,621** [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   
   **A. Banks located in the United States**  
   **2,431,133** [7500]
   **B. Other banks qualified under Regulation 30.7**  
   **642,981** [7520] **3,074,114** [7530]

2. Securities
   
   **A. In safekeeping with banks located in the United States**  
   **0** [7540]
   **B. In safekeeping with other banks qualified under Regulation 30.7**  
   **0** [7560] **0** [7570]

3. Equities with registered futures commission merchants
   
   **A. Cash**  
   **5,321,819** [7580]
   **B. Securities**  
   **0** [7590]
   **C. Unrealized gain (loss) on open futures contracts**  
   **0** [7600]
   **D. Value of long option contracts**  
   **0** [7610]
   **E. Value of short option contracts**  
   **0** [7615] **5,321,819** [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   
   **A. Cash**  
   **6,019,535** [7640]
   **B. Securities**  
   **0** [7650]
   **C. Amount due to (from) clearing organization - daily variation**  
   **0** [7660]
   **D. Value of long option contracts**  
   **0** [7670]
   **E. Value of short option contracts**  
   **0** [7675] **6,019,535** [7680]

5. Amounts held by members of foreign boards of trade
   
   **A. Cash**  
   **573,721** [7700]
   **B. Securities**  
   **0** [7710]
   **C. Unrealized gain (loss) on open futures contracts**  
   **0** [7720]
   **D. Value of long option contracts**  
   **272** [7730]
   **E. Value of short option contracts**  
   **0** [7735] **573,993** [7740]

6. Amounts with other depositories designated by a foreign board of trade  
   **0** [7760]

7. Segregated funds on hand  
   **0** [7765]

8. Total funds in separate section 30.7 accounts  
   **14,989,461** [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)  
   **2,386,840** [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts  
    **1,000,000** [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target  
    **1,386,840** [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEA)**

1. **Net ledger balance**
   - **A. Cash** 861,265,926 [7010]
   - **B. Securities (at market)** 298,987,307 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market** 159,174,831 [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market** 402,562,982 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market** -457,450,481 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)** 1,264,540,565 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer securities 84,183 [7047] 1,324,246 [7050]

6. **Amount required to be segregated (add lines 4 and 5)** 1,265,864,811 [7060]

7. **FUNDS IN SEGREGATED ACCOUNTS**
   - **A. Cash** 577,854,664 [7070]
   - **B. Securities representing investments of customers’ funds (at market)** 30,000,000 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 6,201,421 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash** 285,792,412 [7100]
   - **B. Securities representing investments of customers’ funds (at market)** 144,543,058 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 290,483,789 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets** 1,311,515 [7130]

10. **Exchange traded options**
    - **A. Value of open long option contracts** 402,562,982 [7132]
    - **B. Value of open short option contracts** -457,450,481 [7133]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity** 0 [7140]
    - **B. Securities representing investments of customers’ funds (at market)** 0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 0 [7170]

12. **Segregated funds on hand** 2,302,097 [7150]

13. **Total amount in segregation (add lines 7 through 12)** 1,283,601,457 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)** 17,736,646 [7190]

15. **Management Target Amount for Excess funds in segregation** 13,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount Excess** 4,736,646 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]