INITIAL
End Date: 4/26/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 4/27/2018
Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: $13,000,000
b. Minimum percentage of customer segregated funds required: 0%
c. Dollar amount range between: 0 0
   Percentage range of customer segregated funds required between: 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: $1,000,000
b. Minimum percentage of customer secured funds required: 0%
c. Dollar amount range between: 0 0
   Percentage range of customer secured funds required between: 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: $0
b. Minimum percentage of cleared swaps customer collateral required: 0%
c. Dollar amount range between: 0 0
   Percentage range of cleared swaps customer collateral required between: 0 0

Attach supporting documents
## Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash: 13,991,568 [7315]
   - B. Securities (at market): 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**
   - -793,286 [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade: 272 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**
   - 13,198,554 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**
   - 1,779 [7351]
   - Less: amount offset by customer owned securities: 0 [7352] 1,779 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**
   - 13,200,333 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**
   - 13,200,333 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States: 2,933,986 [7500]
   - B. Other banks qualified under Regulation 30.7: 644,534 [7520] 3,578,520 [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States: 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash: 5,363,357 [7580]
   - B. Securities: 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   - D. Value of long option contracts: 0 [7610]
   - E. Value of short option contracts: 0 [7615] 5,363,357 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash: 6,036,316 [7640]
   - B. Securities: 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   - D. Value of long option contracts: 0 [7670]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: 622,444 [7700]
   - B. Securities: 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   - D. Value of long option contracts: 0 [7760]
   - E. Value of short option contracts: 272 [7730] 622,716 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**
   - 0 [7760]

7. **Segregated funds on hand**
   - 0 [7765]

8. **Total funds in separate section 30.7 accounts**
   - 15,600,909 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**
   - 2,400,576 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**
    - 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**
    - 1,400,576 [7785]
<p>| | | | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>SEGREGATION REQUIREMENTS</strong> (Section 4d(2) of the CEApt)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>A. Cash</strong></td>
<td><strong>888,951,745</strong> [7010]</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>B. Securities (at market)</strong></td>
<td><strong>309,240,009</strong> [7020]</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>2.</strong></td>
<td><strong>Net unrealized profit (loss) in open futures contracts traded on a contract market</strong></td>
<td><strong>175,242,656</strong> [7030]</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>3.</strong></td>
<td><strong>Exchange traded options</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>A. Add market value of open option contracts purchased on a contract market</strong></td>
<td><strong>400,208,438</strong> [7032]</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>B. Deduct market value of open option contracts granted (sold) on a contract market</strong></td>
<td><strong>-485,815,632</strong> [7033]</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>4.</strong></td>
<td><strong>Net equity (deficit) (add lines 1, 2 and 3)</strong></td>
<td><strong>1,287,827,216</strong> [7040]</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>5.</strong></td>
<td><strong>Accounts liquidating to a deficit and accounts with debit balances - gross amount</strong></td>
<td><strong>1,415,142</strong> [7045]</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>Less: amount offset by customer securities</strong></td>
<td><strong>83,491</strong> [7047]</td>
<td><strong>1,331,651</strong> [7050]</td>
<td></td>
</tr>
<tr>
<td><strong>6.</strong></td>
<td><strong>Amount required to be segregated (add lines 4 and 5)</strong></td>
<td><strong>1,289,158,867</strong> [7060]</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>7.</strong></td>
<td><strong>Deposited in segregated funds bank accounts</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>A. Cash</strong></td>
<td><strong>616,310,894</strong> [7070]</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>B. Securities representing investments of customers' funds (at market)</strong></td>
<td><strong>30,000,000</strong> [7080]</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>C. Securities held for particular customers or option customers in lieu of cash (at market)</strong></td>
<td><strong>6,751,421</strong> [7090]</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>8.</strong></td>
<td><strong>Margins on deposit with derivatives clearing organizations of contract markets</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>A. Cash</strong></td>
<td><strong>288,529,027</strong> [7100]</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>B. Securities representing investments of customers' funds (at market)</strong></td>
<td><strong>144,567,899</strong> [7110]</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>C. Securities held for particular customers or option customers in lieu of cash (at market)</strong></td>
<td><strong>300,197,852</strong> [7120]</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>9.</strong></td>
<td><strong>Net settlement from (to) derivatives clearing organizations of contract markets</strong></td>
<td><strong>3,396,831</strong> [7130]</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>10.</strong></td>
<td><strong>Exchange traded options</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>A. Value of open long option contracts</strong></td>
<td><strong>400,208,438</strong> [7132]</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>B. Value of open short option contracts</strong></td>
<td><strong>-485,815,632</strong> [7133]</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>11.</strong></td>
<td><strong>Net equities with other FCMs</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>A. Net liquidating equity</strong></td>
<td><strong>0</strong> [7140]</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>B. Securities representing investments of customers' funds (at market)</strong></td>
<td><strong>0</strong> [7160]</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>C. Securities held for particular customers or option customers in lieu of cash (at market)</strong></td>
<td><strong>0</strong> [7170]</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>12.</strong></td>
<td><strong>Segregated funds on hand</strong></td>
<td><strong>2,290,736</strong> [7150]</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>13.</strong></td>
<td><strong>Total amount in segregation (add lines 7 through 12)</strong></td>
<td><strong>1,306,437,466</strong> [7180]</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>14.</strong></td>
<td><strong>Excess (deficiency) funds in segregation (subtract line 6 from line 13)</strong></td>
<td><strong>17,278,599</strong> [7190]</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>15.</strong></td>
<td><strong>Management Target Amount for Excess funds in segregation</strong></td>
<td><strong>13,000,000</strong> [7194]</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>16.</strong></td>
<td><strong>Excess (deficiency) funds in segregation over (under) Management Target Amount</strong></td>
<td><strong>4,278,599</strong> [7198]</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
### Daily Segregation - Swaps Statement

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

**Cleared Swaps Customer Requirements**

1. **Net ledger balance**
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities: 0 [8560] 0 [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**:
   - Funds in Cleared Swaps Customer Segregated Accounts: 0 [8590]

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]