INITIAL
End Date: 4/30/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 5/1/2018
Name of Company
Contact Name
Contact Phone Number
Contact Email Address

FCM’s Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: $13,000,000
b. Minimum percentage of customer segregated funds required: 0%
c. Dollar amount range between: 0 and 0

d. Percentage range of customer segregated funds required between: 0% and 0%.

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: $1,000,000
b. Minimum percentage of customer secured funds required: 0%
c. Dollar amount range between: 0 and 0

d. Percentage range of customer secured funds required between: 0% and 0%.

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: $0
b. Minimum percentage of cleared swaps customer collateral required: 0%
c. Dollar amount range between: 0 and 0

d. Percentage range of cleared swaps customer collateral required between: 0% and 0%.

Attach supporting documents
**INITIAL**

**End Date:** 4/30/2018  
**Firm Name:** WEDBUSH SECURITIES INC  
**Form:** Daily Seg - FOCUS II  
**Submit Date:** 5/1/2018

### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder. 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**  
   a. **Cash**  
      13,176,595 [7315]  
   b. **Securities (at market)**  
      0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**  
   -250,751 [7325]

3. **Exchange traded options**
   a. **Market value of open option contracts purchased on a foreign board of trade**  
      273 [7335]
   b. **Market value of open contracts granted (sold) on a foreign board of trade**  
      0 [7337]

4. **Net equity (deficit) (add lines 1, 2, and 3.)**  
   12,926,117 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**  
   2,310 [7351]
   Less: amount offset by customer owned securities  
   0 [7352] 2,310 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**  
   12,928,427 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**  
   12,928,427 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. **Cash in banks**
   a. **Banks located in the United States**  
      2,726,983 [7500]
   b. **Other banks qualified under Regulation 30.7**  
      645,516 [7520] 3,372,499 [7530]

2. **Securities**
   a. **In safekeeping with banks located in the United States**  
      0 [7540]
   b. **In safekeeping with other banks qualified under Regulation 30.7**  
      0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   a. **Cash**  
      5,294,221 [7580]
   b. **Securities**  
      0 [7590]
   c. **Unrealized gain (loss) on open futures contracts**  
      0 [7600]
   d. **Value of long option contracts**  
      0 [7610]
   e. **Value of short option contracts**  
      0 [7615] 5,294,221 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   a. **Cash**  
      6,192,841 [7640]
   b. **Securities**  
      0 [7650]
   c. **Amount due to (from) clearing organization - daily variation**  
      0 [7660]
   d. **Value of long option contracts**  
      0 [7670]
   e. **Value of short option contracts**  
      0 [7675] 6,192,841 [7680]

5. **Amounts held by members of foreign boards of trade**
   a. **Cash**  
      431,370 [7700]
   b. **Securities**  
      0 [7710]
   c. **Unrealized gain (loss) on open futures contracts**  
      0 [7720]
   d. **Value of long option contracts**  
      273 [7730]
   e. **Value of short option contracts**  
      0 [7735] 431,643 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**  
   0 [7760]

7. **Segregated funds on hand**  
   0 [7765]

8. **Total funds in separate section 30.7 accounts**  
   15,291,204 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**  
   2,362,777 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**  
    1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**  
    1,362,777 [7785]
**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - A. Cash
     - 877,510,594 [7010]
   - B. Securities (at market)
     - 309,252,515 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - 186,160,135 [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market
     - 410,367,243 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market
     - -508,556,027 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - 1,274,734,460 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - 1,419,501 [7045]
   - Less: amount offset by customer securities
     - -89,861 [7047] 1,329,640 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**
   - 1,276,064,100 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - A. Cash
     - 611,177,932 [7070]
   - B. Securities representing investments of customers’ funds (at market)
     - 30,000,000 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market)
     - 6,763,527 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash
     - 306,024,126 [7100]
   - B. Securities representing investments of customers’ funds (at market)
     - 144,592,957 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market)
     - 300,197,852 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - -8,968,392 [7130]

10. **Exchange traded options**
    - A. Value of open long option contracts
      - 410,367,243 [7132]
    - B. Value of open short option contracts
      - -508,556,027 [7133]

11. **Net equities with other FCMs**
    - A. Net liquidating equity
      - 0 [7140]
    - B. Securities representing investments of customers’ funds (at market)
      - 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market)
      - 0 [7170]

12. **Segregated funds on hand**
    - 2,291,136 [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - 1,293,890,354 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - 17,826,254 [7190]

15. **Management Target Amount for Excess funds in segregation**
    - 13,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess
      - 4,826,254 [7198]
<table>
<thead>
<tr>
<th>Statement of Cleared Swaps Customer Segregation Requirements and Funds in Cleared Swaps Customer Accounts Under 4D(F) of the CEA</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Cleared Swaps Customer Requirements</strong></td>
</tr>
<tr>
<td><strong>1. Net ledger balance</strong></td>
</tr>
<tr>
<td>A. Cash</td>
</tr>
<tr>
<td>B. Securities (at market)</td>
</tr>
<tr>
<td><strong>2. Net unrealized profit (loss) in open cleared swaps</strong></td>
</tr>
<tr>
<td><strong>3. Cleared swaps options</strong></td>
</tr>
<tr>
<td>A. Market value of open cleared swaps option contracts purchased</td>
</tr>
<tr>
<td>B. Market value of open cleared swaps option contracts granted (sold)</td>
</tr>
<tr>
<td><strong>4. Net Equity (deficit) (add lines 1, 2, and 3)</strong></td>
</tr>
<tr>
<td><strong>5. Accounts liquidating to a deficit and accounts with debit balances - gross amount</strong></td>
</tr>
<tr>
<td>Less: amount offset by customer owned securities</td>
</tr>
<tr>
<td>6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)</td>
</tr>
<tr>
<td>Funds in Cleared Swaps Customer Segregated Accounts</td>
</tr>
<tr>
<td><strong>7. Deposited in cleared swaps customer segregated accounts at banks</strong></td>
</tr>
<tr>
<td>A. Cash</td>
</tr>
<tr>
<td>B. Securities representing investments of cleared swaps customers' funds (at market)</td>
</tr>
<tr>
<td>C. Securities held for particular cleared swaps customers in lieu of cash (at market)</td>
</tr>
<tr>
<td><strong>8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts</strong></td>
</tr>
<tr>
<td>A. Cash</td>
</tr>
<tr>
<td>B. Securities representing investments of cleared swaps customers' funds (at market)</td>
</tr>
<tr>
<td>C. Securities held for particular cleared swaps customers in lieu of cash (at market)</td>
</tr>
<tr>
<td><strong>9. Net settlement from (to) derivatives clearing organizations</strong></td>
</tr>
<tr>
<td><strong>10. Cleared swaps options</strong></td>
</tr>
<tr>
<td>A. Value of open cleared swaps long option contracts</td>
</tr>
<tr>
<td>B. Value of open cleared swaps short option contracts</td>
</tr>
<tr>
<td><strong>11. Net equities with other FCMs</strong></td>
</tr>
<tr>
<td>A. Net liquidating equity</td>
</tr>
<tr>
<td>B. Securities representing investments of cleared swaps customers' funds (at market)</td>
</tr>
<tr>
<td>C. Securities held for particular cleared swaps customers in lieu of cash (at market)</td>
</tr>
<tr>
<td><strong>12. Cleared swaps customer funds on hand</strong></td>
</tr>
<tr>
<td>A. Cash</td>
</tr>
<tr>
<td>B. Securities representing investments of cleared swaps customers' funds (at market)</td>
</tr>
<tr>
<td>C. Securities held for particular cleared swaps customers in lieu of cash (at market)</td>
</tr>
<tr>
<td><strong>13. Total amount in cleared swaps customer segregation (add lines 7 through 12)</strong></td>
</tr>
<tr>
<td><strong>14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)</strong></td>
</tr>
<tr>
<td><strong>15. Management Target Amount for Excess funds in cleared swaps segregated accounts</strong></td>
</tr>
<tr>
<td><strong>16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management</strong></td>
</tr>
</tbody>
</table>