INITIAL
End Date: 5/1/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 5/2/2018
Daily Segregation - Cover Page

Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM’s Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: $13,000,000
b. Minimum percentage of customer segregated funds required: 0%
c. Dollar amount range between: 0 and 0
 d. Percentage range of customer segregated funds required between: 0% and 0%

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: $1,000,000
b. Minimum percentage of customer secured funds required: 0%
c. Dollar amount range between: 0 and 0
 d. Percentage range of customer secured funds required between: 0% and 0%

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: $0
b. Minimum percentage of cleared swaps customer collateral required: 0%
c. Dollar amount range between: 0 and 0
 d. Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents
### Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder **0** [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   
   A. Cash **13,389,322** [7315]
   
   B. Securities (at market) **0** [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade **-442,918** [7325]

3. Exchange traded options
   
   a. Market value of open option contracts purchased on a foreign board of trade **18,869** [7335]
   
   b. Market value of open contracts granted (sold) on a foreign board of trade **0** [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) **12,965,273** [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount **2,749** [7351]

   Less: amount offset by customer owned securities **0** [7352] **2,749** [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) **12,968,022** [7355]

   - Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. **12,968,022** [7360]

Funds Deposited in Separate Regulation 30.7 Accounts

1. Cash in banks
   
   A. Banks located in the United States **2,696,427** [7500]
   
   B. Other banks qualified under Regulation 30.7 **642,285** [7520] **3,338,712** [7530]

2. Securities
   
   A. In safekeeping with banks located in the United States **0** [7540]
   
   B. In safekeeping with other banks qualified under Regulation 30.7 **0** [7560] **0** [7570]

3. Equities with registered futures commission merchants
   
   A. Cash **5,220,492** [7580]
   
   B. Securities **0** [7590]
   
   C. Unrealized gain (loss) on open futures contracts **0** [7600]
   
   D. Value of long option contracts **0** [7610]
   
   E. Value of short option contracts **0** [7615] **5,220,492** [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   
   A. Cash **6,053,195** [7640]
   
   B. Securities **0** [7650]
   
   C. Amount due to (from) clearing organization - daily variation **0** [7660]
   
   D. Value of long option contracts **0** [7670]
   
   E. Value of short option contracts **0** [7675] **6,053,195** [7680]

5. Amounts held by members of foreign boards of trade
   
   A. Cash **589,716** [7700]
   
   B. Securities **0** [7710]
   
   C. Unrealized gain (loss) on open futures contracts **0** [7720]
   
   D. Value of long option contracts **18,869** [7730]
   
   E. Value of short option contracts **0** [7735] **508,585** [7740]

6. Amounts with other depositories designated by a foreign board of trade **0** [7760]

7. Segregated funds on hand **0** [7765]

8. Total funds in separate section 30.7 accounts **15,220,984** [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) **2,252,962** [7380]

10. Management Target Amount for Excess funds in separate section 30.7 accounts **1,000,000** [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target **1,252,962** [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. Net ledger balance
   - A. Cash: 876,669,655 [7010]
   - B. Securities (at market): 309,199,072 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market: 210,117,142 [7030]

3. Exchange traded options
   - A. Add market value of open option contracts purchased on a contract market: 432,073,977 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -537,163,691 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3): 1,290,896,155 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount: 1,457,412 [7045]
   - Less: amount offset by customer securities: -83,031 [7047]
   - 1,374,381 [7050]

6. Amount required to be segregated (add lines 4 and 5): 1,292,270,536 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. Deposited in segregated funds bank accounts
   - A. Cash: 606,305,478 [7070]
   - B. Securities representing investments of customers' funds (at market): 30,000,000 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 6,763,527 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   - A. Cash: 304,303,787 [7100]
   - B. Securities representing investments of customers' funds (at market): 144,599,933 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 300,197,852 [7120]


10. Exchange traded options
     - A. Value of open long option contracts: 432,073,977 [7132]
     - B. Value of open short option contracts: -537,163,691 [7133]

11. Net equities with other FCMs
     - A. Net liquidating equity: 0 [7140]
     - B. Securities representing investments of customers' funds (at market): 0 [7160]
     - C. Securities held for particular customers or option customers in lieu of cash (at market): 0 [7170]

12. Segregated funds on hand: 2,237,693 [7150]

13. Total amount in segregation (add lines 7 through 12): 1,309,514,310 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13): 17,243,774 [7190]

15. Management Target Amount for Excess funds in segregation: 13,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    - Excess: 4,243,774 [7198]
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**Daily Segregation - Swaps Statement**

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   Less: amount offset by customer owned securities 0 [8560] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)
   Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]