INITIAL
End Date: 5/3/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 5/4/2018
Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM’s Customer Segregated Funds Residual Interest Target (choose one):
1. Minimum dollar amount: $13,000,000
2. Minimum percentage of customer segregated funds required: 0%
3. Dollar amount range between: 0 and 0
4. Percentage range of customer segregated funds required between: 0% and 0%

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
1. Minimum dollar amount: $1,000,000
2. Minimum percentage of customer secured funds required: 0%
3. Dollar amount range between: 0 and 0
4. Percentage range of customer secured funds required between: 0% and 0%

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
1. Minimum dollar amount: $0
2. Minimum percentage of cleared swaps customer collateral required: 0%
3. Dollar amount range between: 0 and 0
4. Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash: 13,573,944 [7315]
   - B. Securities (at market): 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: -601,384 [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade: 18,866 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: 12,991,426 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: 2,570 [7351]
   - Less: amount offset by customer owned securities: 0 [7352] 2,570 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: 12,993,996 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.** 12,993,996 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States: 2,619,478 [7500]
   - B. Other banks qualified under Regulation 30.7: 644,940 [7520] 3,264,418 [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States: 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash: 5,229,960 [7580]
   - B. Securities: 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   - D. Value of long option contracts: 0 [7610]
   - E. Value of short option contracts: 0 [7615] 5,229,960 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash: 6,070,330 [7640]
   - B. Securities: 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   - D. Value of long option contracts: 0 [7670]
   - E. Value of short option contracts: 0 [7675] 6,070,330 [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: 676,788 [7700]
   - B. Securities: 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   - D. Value of long option contracts: 18,866 [7730]
   - E. Value of short option contracts: 0 [7735] 695,654 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**: 0 [7760]

7. **Segregated funds on hand**: 0 [7765]

8. **Total funds in separate section 30.7 accounts**: 15,260,362 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: 2,266,366 [7380]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 1,266,366 [7785]
### Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAAct)

1. **Net ledger balance**
   - **A. Cash** 893,814,157 [7010]
   - **B. Securities (at market)** 309,246,348 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market** 210,836,518 [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market** 423,292,731 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market** -540,002,646 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)** 1,297,187,108 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount** 1,422,496 [7045]
   - **Less: amount offset by customer securities** 84,554 [7047] 1,337,942 [7050]

6. **Amount required to be segregated (add lines 4 and 5)** 1,298,525,050 [7060]

7. **Deposited in segregated funds bank accounts**
   - **A. Cash** 629,801,693 [7070]
   - **B. Securities representing investments of customers’ funds (at market)** 30,000,000 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 6,773,527 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash** 298,529,560 [7100]
   - **B. Securities representing investments of customers’ funds (at market)** 144,619,181 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 300,197,807 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets** 20,835,560 [7130]

10. **Exchange traded options**
    - **A. Value of open long option contracts** 423,292,731 [7132]
    - **B. Value of open short option contracts** -540,002,646 [7133]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity** 0 [7140]
    - **B. Securities representing investments of customers’ funds (at market)** 0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 0 [7170]

12. **Segregated funds on hand** 2,275,014 [7150]

13. **Total amount in segregation (add lines 7 through 12)** 1,316,322,427 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)** 17,797,377 [7190]

15. **Management Target Amount for Excess funds in segregation** 13,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess** 4,797,377 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash  0 [8500]
   B. Securities (at market)  0 [8510]

2. Net unrealized profit (loss) in open cleared swaps  0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased  0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold)  0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3)  0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   Less: amount offset by customer owned securities  0 [8560]  0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)  0 [8590]

Deposited in cleared swaps customer segregated accounts at banks

7. A. Cash  0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market)  0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market)  0 [8620]

Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts

8. A. Cash  0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market)  0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market)  0 [8650]

Net settlement from (to) derivatives clearing organizations

9. Net settlement from (to) derivatives clearing organizations  0 [8660]

Cleared swaps options

10. A. Value of open cleared swaps long option contracts  0 [8670]
    B. Value of open cleared swaps short option contracts  0 [8680]

Net equities with other FCMs

11. A. Net liquidating equity  0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market)  0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market)  0 [8710]

Cleared swaps customer funds on hand

12. A. Cash  0
    B. Securities representing investments of cleared swaps customers' funds (at market)  0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market)  0 [8715]

Total amount in cleared swaps customer segregation (add lines 7 through 12)  0 [8720]

Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)  0 [8730]

Management Target Amount for Excess funds in cleared swaps segregated accounts  0 [8760]

Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management  0 [8770]