INITIAL
End Date: 5/4/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 5/7/2018
Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM’s Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: $13,000,000 or
   b. Minimum percentage of customer segregated funds required: 0% or
   c. Dollar amount range between: 0 and 0 or
   d. Percentage range of customer segregated funds required between: 0% and 0%

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: $1,000,000 or
   b. Minimum percentage of customer secured funds required: 0% or
   c. Dollar amount range between: 0 and 0 or
   d. Percentage range of customer secured funds required between: 0% and 0%

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: 0 or
   b. Minimum percentage of cleared swaps customer collateral required: 0% or
   c. Dollar amount range between: 0 and 0 or
   d. Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents
### Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder

#### 1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
- **A. Cash**: 0 [7305]
- **B. Securities (at market)**: 0 [7317]

#### 2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade

- **-642,074 [7325]**

#### 3. Exchange traded options

- **a. Market value of open option contracts purchased on a foreign board of trade**: 18,855 [7335]
- **b. Market value of open contracts granted (sold) on a foreign board of trade**: 0 [7337]

#### 4. Net equity (deficit) (add lines 1. 2. and 3.)

- **14,540,734 [7345]**

#### 5. Account liquidating to a deficit and account with a debit balances - gross amount

- **2,675 [7351]**

#### 6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)

- **14,543,409 [7355]**

#### 7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.

- **14,543,409 [7360]**

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - **A. Banks located in the United States**: 3,900,926 [7500]
   - **B. Other banks qualified under Regulation 30.7**: 637,057 [7520] **4,537,983 [7530]**

2. **Securities**
   - **A. In safekeeping with banks located in the United States**: 0 [7540]
   - **B. In safekeeping with other banks qualified under Regulation 30.7**: 0 [7560] **0 [7570]**

3. **Equities with registered futures commission merchants**
   - **A. Cash**: 5,184,576 [7580]
   - **B. Securities**: 0 [7590]
   - **C. Unrealized gain (loss) on open futures contracts**: 0 [7600]
   - **D. Value of long option contracts**: 0 [7610]
   - **E. Value of short option contracts**: 0 [7615] **5,184,576 [7620]**

4. **Amounts held by clearing organizations of foreign boards of trade**
   - **A. Cash**: 6,085,722 [7640]
   - **B. Securities**: 0 [7650]
   - **C. Amount due to (from) clearing organization - daily variation**: 0 [7660]
   - **D. Value of long option contracts**: 0 [7670]
   - **E. Value of short option contracts**: 0 [7675] **6,085,722 [7680]**

5. **Amounts held by members of foreign boards of trade**
   - **A. Cash**: 972,278 [7700]
   - **B. Securities**: 0 [7710]
   - **C. Unrealized gain (loss) on open futures contracts**: 0 [7720]
   - **D. Value of long option contracts**: 18,855 [7730]
   - **E. Value of short option contracts**: 0 [7735] **991,133 [7740]**

6. **Amounts with other depositories designated by a foreign board of trade**
   - **0 [7760]**

7. **Segregated funds on hand**
   - **0 [7765]**

8. **Total funds in separate section 30.7 accounts**
   - **16,799,414 [7770]**

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**
   - **2,256,005 [7780]**

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**
    - **1,000,000 [7780]**

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**
    - **1,256,005 [7785]**
### Daily Segregation - Segregation Statement

#### SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAAct)

1. **Net ledger balance**
   - **Cash**: 894,751,683
   - **Securities (at market)**: 309,353,871

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: 223,622,115

3. **Exchange traded options**
   - **Add market value of open option contracts purchased on a contract market**: 422,993,308
   - **Deduct market value of open option contracts granted (sold) on a contract market**: -536,444,644

4. **Net equity (deficit) (add lines 1, 2 and 3)**: 1,314,276,333

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: 1,421,879
   - **Less: amount offset by customer securities**: -89,341
   - **1,332,538**

6. **Amount required to be segregated (add lines 4 and 5)**: 1,315,608,871

#### FUNDS IN SEGREGATED ACCOUNTS

7. **Deposited in segregated funds bank accounts**
   - **Cash**: 661,447,908
   - **Securities representing investments of customers’ funds (at market)**: 30,000,000
   - **Securities held for particular customers or option customers in lieu of cash (at market)**: 6,873,527

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **Cash**: 301,747,765
   - **Securities representing investments of customers’ funds (at market)**: 144,624,942
   - **Securities held for particular customers or option customers in lieu of cash (at market)**: 300,196,826

9. **Net settlement from (to) derivatives clearing organizations of contract markets**: -1,259,447

10. **Exchange traded options**
    - **Value of open long option contracts**: 422,993,308
    - **Value of open short option contracts**: -536,444,644

11. **Net equities with other FCMs**
    - **Net liquidating equity**: 0
    - **Securities representing investments of customers’ funds (at market)**: 0
    - **Securities held for particular customers or option customers in lieu of cash (at market)**: 0

12. **Segregated funds on hand**: 2,283,518

13. **Total amount in segregation (add lines 7 through 12)**: 1,332,463,703

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: 16,854,832

15. **Management Target Amount for Excess funds in segregation**: 13,000,000

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess**: 3,854,832
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS
AND
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with
debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer
   segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from
    line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under)
    Management 0 [8770]