INITIAL
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Firm Name: WEDBUSH SECURITIES INC
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Submit Date: 5/8/2018

Daily Segregation - Cover Page

Name of Company
WEDBUSH SECURITIES INC

Contact Name
Kevin Wirth

Contact Phone Number
312-784-0475

Contact Email Address
kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: $13,000,000

b. Minimum percentage of customer segregated funds required: 0%

c. Dollar amount range between: 0 and 0

d. Percentage range of customer segregated funds required between: 0% and 0%

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: $1,000,000

b. Minimum percentage of customer secured funds required: 0%

c. Dollar amount range between: 0 and 0

d. Percentage range of customer secured funds required between: 0% and 0%

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: $0

b. Minimum percentage of cleared swaps customer collateral required: 0%

c. Dollar amount range between: 0 and 0

d. Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents
# Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **Cash**: 15,187,312 [7315]
   - **Securities (at market)**: 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: -659,253 [7325]

3. **Exchange traded options**
   - Market value of open option contracts purchased on a foreign board of trade: 18,812 [7335]
   - Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: 14,546,871 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: 3,136 [7351]
   - Less: amount offset by customer owned securities: 0 [7352] 3,136 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: 14,550,007 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6: 14,550,007 [7360]

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**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. **Cash in banks**
   - Banks located in the United States: 3,225,732 [7500]
   - Other banks qualified under Regulation 30.7: 772,649 [7520] 3,998,381 [7530]

2. **Securities**
   - In safekeeping with banks located in the United States: 0 [7540]
   - In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - **Cash**: 5,167,142 [7580]
   - **Securities**: 0 [7590]
   - Unrealized gain (loss) on open futures contracts: 0 [7600]
   - Value of long option contracts: 0 [7610]
   - Value of short option contracts: 0 [7615] 5,167,142 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - **Cash**: 5,935,548 [7640]
   - Securities: 0 [7650]
   - Amount due to (from) clearing organization - daily variation: 0 [7660]
   - Value of long option contracts: 0 [7670]
   - Value of short option contracts: 0 [7675] 5,935,548 [7680]

5. **Amounts held by members of foreign boards of trade**
   - **Cash**: 1,674,550 [7700]
   - Securities: 0 [7710]
   - Unrealized gain (loss) on open futures contracts: 0 [7720]
   - Value of long option contracts: 18,812 [7730]
   - Value of short option contracts: 0 [7735] 1,693,362 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**: 0 [7760]

7. **Segregated funds on hand**: 0 [7765]

8. **Total funds in separate section 30.7 accounts**: 16,794,433 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: 2,244,426 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 1,244,426 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS** (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - A. Cash: 900,386,804 [7010]
   - B. Securities (at market): 319,798,105 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: 209,157,057 [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: 417,403,562 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -528,310,843 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**: 1,318,434,685 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: 1,428,486 [7045]
   - Less: amount offset by customer securities: -93,804 [7047] **1,334,682** [7050]

6. **Amount required to be segregated (add lines 4 and 5)**: 1,319,769,367 [7060]

#### FUNDS IN SEGREGATED ACCOUNTS

7. **Deposited in segregated funds bank accounts**
   - A. Cash: 650,003,382 [7070]
   - B. Securities representing investments of customers' funds (at market): 30,000,000 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 17,373,527 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: 305,955,692 [7100]
   - B. Securities representing investments of customers' funds (at market): 144,645,945 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 300,143,507 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**: -2,508,100 [7130]

10. **Exchange traded options**
    - A. Value of open long option contracts: 417,403,562 [7132]
    - B. Value of open short option contracts: -528,310,843 [7133]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [7140]
    - B. Securities representing investments of customers' funds (at market): 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 0 [7170]

12. **Segregated funds on hand**: 2,281,071 [7150]

13. **Total amount in segregation (add lines 7 through 12)**: 1,336,987,743 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: 17,218,376 [7190]

15. **Management Target Amount for Excess funds in segregation**: 13,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**: 4,218,376 [7198]
### Daily Segregation - Swaps Statement

#### STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. Cash
   - B. Securities (at market)

2. **Net unrealized profit (loss) in open cleared swaps**

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased
   - B. Market value of open cleared swaps option contracts granted (sold)

4. **Net Equity (deficit) (add lines 1, 2, and 3)**

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**
   - Funds in Cleared Swaps Customer Segregated Accounts

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash
   - B. Securities representing investments of cleared swaps customers' funds (at market)
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market)

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash
   - B. Securities representing investments of cleared swaps customers' funds (at market)
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market)

9. **Net settlement from (to) derivatives clearing organizations**

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts
    - B. Value of open cleared swaps short option contracts

11. **Net equities with other FCMs**
    - A. Net liquidating equity
    - B. Securities representing investments of cleared swaps customers' funds (at market)
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market)

12. **Cleared swaps customer funds on hand**
    - A. Cash
    - B. Securities representing investments of cleared swaps customers' funds (at market)
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market)

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**