INITIAL
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Firm Name: WEDBUSH SECURITIES INC
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Daily Segregation - Cover Page

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FCM’s Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: \( \_ \_ \_ \_ \_ \) or \( 13,000,000 \)
   b. Minimum percentage of customer segregated funds required: 0 \% \; or
   c. Dollar amount range between: \_ \_ \_ \_ \_ \_ and: \_ \_ \_ \_ \_ \_ ; or
   d. Percentage range of customer segregated funds required between: 0 \% and 0 \%.

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: \( 1,000,000 \)
   b. Minimum percentage of customer secured funds required: 0 \% \; or
   c. Dollar amount range between: \_ \_ \_ \_ \_ \_ and: \_ \_ \_ \_ \_ \_ ; or
   d. Percentage range of customer secured funds required between: 0 \% and 0 \%.

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: 0 \; or
   b. Minimum percentage of cleared swaps customer collateral required: 0 \% \; or
   c. Dollar amount range between: \_ \_ \_ \_ \_ \_ and: \_ \_ \_ \_ \_ \_ ; or
   d. Percentage range of cleared swaps customer collateral required between: 0 \% and 0 \%.

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**
- Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash: 12,410,859 [7315]
   - B. Securities (at market): 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: -942,830 [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade: 13,684 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: 11,481,713 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: 1,865 [7351]
   - Less: amount offset by customer owned securities: 0 [7352] 1,865 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: 11,483,578 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**: 11,483,578 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States: 1,317,501 [7500]
   - B. Other banks qualified under Regulation 30.7: 645,322 [7520] 1,962,823 [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States: 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash: 5,254,695 [7580]
   - B. Securities: 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   - D. Value of long option contracts: 0 [7610]
   - E. Value of short option contracts: 0 [7615] 5,254,695 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash: 5,991,012 [7640]
   - B. Securities: 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   - D. Value of long option contracts: 0 [7670]
   - E. Value of short option contracts: 0 [7675] 5,991,012 [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: 1,530,794 [7700]
   - B. Securities: 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   - D. Value of long option contracts: 13,684 [7730]
   - E. Value of short option contracts: 0 [7735] 1,544,478 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**: 0 [7760]

7. **Segregated funds on hand**: 0 [7765]

8. **Total funds in separate section 30.7 accounts**: 14,753,008 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: 3,269,430 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 2,269,430 [7785]
**Daily Segregation - Segregation Statement**

SEGERATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - A. Cash: 959,190,951
   - B. Securities (at market): 220,983,318

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - 201,808,694

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: 471,460,579
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -566,154,297

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - 1,287,289,245

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - 1,409,682
   - Less: amount offset by customer securities: 86,235
   - 1,323,447

6. **Amount required to be segregated (add lines 4 and 5)**
   - 1,288,612,692

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - A. Cash: 577,117,034
   - B. Securities representing investments of customers' funds (at market): 30,000,000
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 7,496,269

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: 412,382,204
   - B. Securities representing investments of customers' funds (at market): 144,762,065
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 211,202,262

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - 16,500,395

10. **Exchange traded options**
    - A. Value of open long option contracts: 471,460,579
    - B. Value of open short option contracts: -566,154,297

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0
    - B. Securities representing investments of customers' funds (at market): 0
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 0

12. **Segregated funds on hand**
    - 2,284,787

13. **Total amount in segregation (add lines 7 through 12)**
    - 1,307,051,298

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - 18,438,606

15. **Management Target Amount for Excess funds in segregation**
    - 13,000,000

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - 5,438,606
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   Less: amount offset by customer owned securities 0 [8560] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8715]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]