INITIAL
End Date: 5/30/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 5/31/2018
Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
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FCM’s Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 13,000,000
b. Minimum percentage of customer segregated funds required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 1,000,000
b. Minimum percentage of customer secured funds required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of customer secured funds required between: % and%. 0 0

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 0
b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
c. Dollar amount range between: and; or 0 0
d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts

- Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **A. Cash**: 12,685,514 [7315]
   - **B. Securities (at market)**: 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: -1,100,876 [7325]

3. **Exchange traded options**
   - a. **Market value of open option contracts purchased on a foreign board of trade**: 874 [7335]
   - b. **Market value of open contracts granted (sold) on a foreign board of trade**: 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: 11,585,512 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: 2,791 [7351]
   - Less: amount offset by customer owned securities: 0 [7352] 2,791 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: 11,588,303 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**: 11,588,303 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States: 1,224,947 [7500]
   - B. Other banks qualified under Regulation 30.7: 647,640 [7520] 1,872,587 [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States: 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash: 5,578,523 [7580]
   - B. Securities: 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   - D. Value of long option contracts: 0 [7610]
   - E. Value of short option contracts: 0 [7615] 5,578,523 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash: 6,031,257 [7640]
   - B. Securities: 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   - D. Value of long option contracts: 0 [7670]
   - E. Value of short option contracts: 0 [7675] 6,031,257 [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: 1,993,506 [7700]
   - B. Securities: 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   - D. Value of long option contracts: 874 [7730]
   - E. Value of short option contracts: 0 [7735] 1,994,380 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**: 0 [7760]

7. **Segregated funds on hand**: 0 [7765]

8. **Total funds in separate section 30.7 accounts**: 15,476,747 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: 3,888,444 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 2,888,444 [7785]
**Daily Segregation - Segregation Statement**

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   - **A. Cash** 988,831,646 [7010]
   - **B. Securities (at market)** 231,862,756 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market 153,008,791 [7030]

3. Exchange traded options
   - **A. Add market value of open option contracts purchased on a contract market** 490,857,733 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market** -550,882,141 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) 1,313,678,785 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 1,419,139 [7045]
   
   Less: amount offset by customer securities 92,542 [7047] 1,326,597 [7050]

6. Amount required to be segregated (add lines 4 and 5) 1,315,005,382 [7060]

Funds in segregated accounts

7. Deposited in segregated funds bank accounts
   - **A. Cash** 624,619,204 [7070]
   - **B. Securities representing investments of customers' funds (at market)** 30,000,000 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 7,496,269 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   - **A. Cash** 381,027,607 [7100]
   - **B. Securities representing investments of customers' funds (at market)** 144,794,973 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 222,101,786 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets -16,555,270 [7130]

10. Exchange traded options
    - **A. Value of open long option contracts** 490,857,733 [7132]
    - **B. Value of open short option contracts** -550,882,141 [7133]

11. Net equities with other FCMs
    - **A. Net liquidating equity** 0 [7140]
    - **B. Securities representing investments of customers' funds (at market)** 0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)** 0 [7170]

12. Segregated funds on hand 2,264,701 [7150]

13. Total amount in segregation (add lines 7 through 12) 1,335,724,862 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 20,719,480 [7190]

15. Management Target Amount for Excess funds in segregation 13,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess 7,719,480 [7198]
### Daily Segregation - Swaps Statement

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

**Cleared Swaps Customer Requirements**

1. Net ledger balance
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps: 0 [8520]

3. Cleared swaps options
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3): 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   - Less: amount offset by customer owned securities: 0 [8560]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)
   - Funds in Cleared Swaps Customer Segregated Accounts: 0 [8570] 0 [8580]

7. Deposited in cleared swaps customer segregated accounts at banks
   - A. Cash: 0 [8600]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   - A. Cash: 0 [8630]

9. Net settlement from (to) derivatives clearing organizations: 0 [8660]

10. Cleared swaps options
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. Net equities with other FCMs
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. Cleared swaps customer funds on hand
    - A. Cash: 0

13. Total amount in cleared swaps customer segregation (add lines 7 through 12): 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13): 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts: 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management: 0 [8770]