INITIAL
End Date: 6/1/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 6/4/2018
Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM’s Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: $13,000,000
  b. Minimum percentage of customer segregated funds required: 0%
  c. Dollar amount range between: 0 and 0
  d. Percentage range of customer segregated funds required between: 0% and 0%

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: $1,000,000
  b. Minimum percentage of customer secured funds required: 0%
  c. Dollar amount range between: 0 and 0
  d. Percentage range of customer secured funds required between: 0% and 0%

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: 0
  b. Minimum percentage of cleared swaps customer collateral required: 0%
  c. Dollar amount range between: 0 and 0
  d. Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder</td>
<td>0</td>
</tr>
</tbody>
</table>

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **Cash**: $13,008,862
   - **Securities (at market)**: $0

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: $-913,350

3. **Exchange traded options**
   - **Market value of open option contracts purchased on a foreign board of trade**: $868
   - **Market value of open contracts granted (sold) on a foreign board of trade**: $0

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: $12,096,380

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: $2,100
   - **Less: amount offset by customer owned securities**: $0
   - **Market value of open option contracts purchased on a foreign board of trade**: $0
   - **Market value of open contracts granted (sold) on a foreign board of trade**: $0

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: $12,098,480

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**: $12,098,480

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - **Banks located in the United States**: $2,189,459
   - **Other banks qualified under Regulation 30.7**: $651,177

2. **Securities**
   - **In safekeeping with banks located in the United States**: $0
   - **In safekeeping with other banks qualified under Regulation 30.7**: $0

3. **Equities with registered futures commission merchants**
   - **Cash**: $5,945,760
   - **Securities**: $0
   - **Unrealized gain (loss) on open futures contracts**: $0
   - **Value of long option contracts**: $0
   - **Value of short option contracts**: $0

4. **Amounts held by clearing organizations of foreign boards of trade**
   - **Cash**: $6,228,246
   - **Securities**: $0
   - **Amount due to (from) clearing organization - daily variation**: $0
   - **Value of long option contracts**: $0
   - **Value of short option contracts**: $0

5. **Amounts held by members of foreign boards of trade**
   - **Cash**: $879,417
   - **Securities**: $0
   - **Unrealized gain (loss) on open futures contracts**: $0
   - **Value of long option contracts**: $868
   - **Value of short option contracts**: $0

6. **Amounts with other depositories designated by a foreign board of trade**: $0

7. **Segregated funds on hand**: $0

8. **Total funds in separate section 30.7 accounts**: $15,894,927

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: $3,796,447

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: $1,000,000

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: $2,796,447
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)**

1. **Net ledger balance**
   - **A. Cash**: $1,004,625,258 [7010]
   - **B. Securities (at market)**: $211,198,080 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**: $143,041,988 [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**: $486,461,814 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**: $-573,368,902 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**: $1,271,958,238 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - **Less: amount offset by customer securities**: $-474,500 [7047] 1,324,146 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**: $1,273,282,384 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - **A. Cash**: $617,935,537 [7070]
   - **B. Securities representing investments of customers’ funds (at market)**: $30,000,000 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: $11,396,269 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash**: $384,634,558 [7100]
   - **B. Securities representing investments of customers’ funds (at market)**: $144,809,864 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: $197,400,895 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**: $-8,683,560 [7130]

10. **Exchange traded options**
    - **A. Value of open long option contracts**: $486,461,814 [7132]
    - **B. Value of open short option contracts**: $-573,368,902 [7133]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**: $0 [7140]
    - **B. Securities representing investments of customers’ funds (at market)**: $0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: $0 [7170]

12. **Segregated funds on hand**: $2,400,916 [7150]

13. **Total amount in segregation (add lines 7 through 12)**: $1,292,987,391 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**: $19,705,007 [7190]

15. **Management Target Amount for Excess funds in segregation**: $13,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**: $6,705,007 [7198]
## Daily Segregation - Swaps Statement

### STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

**Cleared Swaps Customer Requirements**

1. **Net ledger balance**
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps:** 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3):** 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities: 0 [8560] 0 [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5):** 0 [8590]

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations:** 0 [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12):** 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13):** 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts:** 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management:** 0 [8770]