WINJAMMER FILING

INITIAL
End Date: 6/15/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 6/18/2018
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Daily Segregation - Cover Page

Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
Contact Phone Number: 312-784-0475
Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: $13,000,000 or
   b. Minimum percentage of customer segregated funds required: 0% or
   c. Dollar amount range between: 0 and 0
   d. Percentage range of customer segregated funds required between: 0% and 0%

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: $1,000,000 or
   b. Minimum percentage of customer secured funds required: 0% or
   c. Dollar amount range between: 0 and 0
   d. Percentage range of customer secured funds required between: 0% and 0%

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: 0 or
   b. Minimum percentage of cleared swaps customer collateral required: 0% or
   c. Dollar amount range between: 0 and 0
   d. Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents
**INITIAL**

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### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash: 12,096,096 [7315]
   - B. Securities (at market): 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: -584,864 [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade: 853 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: 11,512,085 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: 1,587 [7351]
   - Less: amount offset by customer owned securities: 0 [7352] 1,587 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: 11,513,672 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**: 11,513,672 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States: 726,237 [7500]
   - B. Other banks qualified under Regulation 30.7: 662,170 [7520] 1,388,407 [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States: 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash: 5,890,990 [7580]
   - B. Securities: 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   - D. Value of long option contracts: 0 [7610]
   - E. Value of short option contracts: 0 [7615] 5,890,990 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash: 6,869,751 [7640]
   - B. Securities: 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   - D. Value of long option contracts: 0 [7670]
   - E. Value of short option contracts: 0 [7675] 6,869,751 [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: 1,239,692 [7700]
   - B. Securities: 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   - D. Value of long option contracts: 853 [7730]
   - E. Value of short option contracts: 0 [7735] 1,240,545 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**: 0 [7760]
7. **Segregated funds on hand**: 0 [7765]
8. **Total funds in separate section 30.7 accounts**: 15,389,693 [7770]
9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: 3,876,021 [7780]
10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: 1,000,000 [7780]
11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 2,876,021 [7785]
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Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash 960,861,540 [7010]
   B. Securities (at market) 236,858,491 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market 52,543,934 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market 606,524,841 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market -641,839,430 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) 1,214,949,376 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 1,622,893 [7045]
   Less: amount offset by customer securities -306,656 [7047] 1,316,237 [7050]

6. Amount required to be segregated (add lines 4 and 5) 1,216,265,613 [7060]

Funds in segregated accounts

7. Deposited in segregated funds bank accounts
   A. Cash 481,214,997 [7070]
   B. Securities representing investments of customers’ funds (at market) 30,000,000 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 4,181,510 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash 409,378,627 [7100]
   B. Securities representing investments of customers’ funds (at market) 144,650,695 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 230,279,317 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets -32,705,410 [7130]

10. Exchange traded options
    A. Value of open long option contracts 606,524,841 [7132]
    B. Value of open short option contracts -641,839,430 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [7140]
    B. Securities representing investments of customers’ funds (at market) 0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7170]

12. Segregated funds on hand 2,397,664 [7150]

13. Total amount in segregation (add lines 7 through 12) 1,234,082,811 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 17,817,198 [7190]

15. Management Target Amount for Excess funds in segregation 13,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount 4,817,198 [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS
AND
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash                             0 [8500]
   B. Securities (at market)           0 [8510]

2. Net unrealized profit (loss) in open cleared swaps  0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased  0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold)  0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3)  0 [8550]

5. Accounts liquidating to a deficit and accounts with
   debit balances - gross amount  0 [8560]
   Less: amount offset by customer owned securities  0 [8570]  0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)  0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash                             0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market)  0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market)  0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash                             0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market)  0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market)  0 [8650]

9. Net settlement from (to) derivatives clearing organizations  0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts  0 [8670]
    B. Value of open cleared swaps short option contracts  0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity  0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market)  0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market)  0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash                             0
    B. Securities representing investments of cleared swaps customers' funds (at market)  0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market)  0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12)  0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)  0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts  0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management  0 [8770]