INITIAL
End Date: 6/18/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 6/19/2018
Name of Company: WEDBUSH SECURITIES INC

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FCM's Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 13,000,000
  b. Minimum percentage of customer segregated funds required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 1,000,000
  b. Minimum percentage of customer secured funds required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or 0
  b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
**Daily Segregation - Secured Amounts**

- **Foreign Futures and Foreign Options Secured Amounts**
  - Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - Cash: 12,102,983 [7315]
   - Securities (at market): 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: -384,315 [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade: 0 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: 11,718,668 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: 1,498 [7351]
   - Less: amount offset by customer owned securities: 0 [7352] 1,498 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: 11,720,166 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**: 11,720,166 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. **Cash in banks**
   - A. Banks located in the United States: 1,234,966 [7500]
   - B. Other banks qualified under Regulation 30.7: 663,624 [7520] 1,898,590 [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States: 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash: 5,942,723 [7580]
   - B. Securities: 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   - D. Value of long option contracts: 0 [7610]
   - E. Value of short option contracts: 0 [7615] 5,942,723 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash: 6,931,279 [7640]
   - B. Securities: 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   - D. Value of long option contracts: 0 [7670]
   - E. Value of short option contracts: 0 [7675] 6,931,279 [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: 588,149 [7700]
   - B. Securities: 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   - D. Value of long option contracts: 0 [7730]
   - E. Value of short option contracts: 0 [7735] 588,149 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**: 0 [7760]

7. **Segregated funds on hand**: 0 [7765]

8. **Total funds in separate section 30.7 accounts**: 15,360,741 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: 3,640,575 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 2,640,575 [7785]
**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEA)**

1. Net ledger balance
   - **Cash**: $928,205,788
   - **Securities (at market)**: $237,452,329

2. Net unrealized profit (loss) in open futures contracts traded on a contract market: $16,679,064

3. Exchange traded options
   - **Cash**: $591,087,221
   - **Securities (at market)**: $144,666,740

4. Net equity (deficit) (add lines 1, 2, and 3): $1,221,518,642

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount: $1,897,439
   - Less: amount offset by customer securities: -$575,126
   - 1,322,313

6. Amount required to be segregated (add lines 4 and 5): $1,222,840,955

7. Deposited in segregated funds bank accounts
   - **Cash**: $496,250,903
   - **Securities representing investments of customers’ funds (at market)**: $30,000,000
   - **Securities held for particular customers or option customers in lieu of cash (at market)**: $4,781,510

8. Margins on deposit with derivatives clearing organizations of contract markets
   - **Cash**: $353,622,704
   - **Securities representing investments of customers’ funds (at market)**: $144,666,740
   - **Securities held for particular customers or option customers in lieu of cash (at market)**: $230,276,635

9. Net settlement from (to) derivatives clearing organizations of contract markets: -$60,799,869

10. Exchange traded options
    - **Value of open long option contracts**: $591,087,221
    - **Value of open short option contracts**: -$551,905,760

11. Net equities with other FCMs
    - **Net liquidating equity**: 0
    - **Securities representing investments of customers’ funds (at market)**: 0
    - **Securities held for particular customers or option customers in lieu of cash (at market)**: 0

12. Segregated funds on hand: $2,394,184

13. Total amount in segregation (add lines 7 through 12): $1,240,374,268

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13): $17,533,313

15. Management Target Amount for Excess funds in segregation: $13,000,000

16. Excess (deficiency) funds in segregation over (under) Management Target Amount: $4,533,313
### Daily Segregation - Swaps Statement

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   - A. Cash 0 [8500]  
   - B. Securities (at market) 0 [8510]
2. Net unrealized profit (loss) in open cleared swaps 0 [8520]
3. Cleared swaps options
   - A. Market value of open cleared swaps option contracts purchased 0 [8530]  
   - B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]
4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   - Less: amount offset by customer owned securities 0 [8570] 0 [8580]
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]
7. Deposited in cleared swaps customer segregated accounts at banks
   - A. Cash 0 [8600]  
   - B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   - A. Cash 0 [8630]  
   - B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]
9. Net settlement from (to) derivatives clearing organizations 0 [8660]
10. Cleared swaps options
    - A. Value of open cleared swaps long option contracts 0 [8670]
    - B. Value of open cleared swaps short option contracts 0 [8680]
11. Net equities with other FCMs
    - A. Net liquidating equity 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]
12. Cleared swaps customer funds on hand
    - A. Cash 0
    - B. Securities representing investments of cleared swaps customers' funds (at market) 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]
13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]
15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]