INITIAL
End Date: 6/22/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 6/25/2018
Name of Company

Contact Name

Contact Phone Number

Contact Email Address

FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 13,000,000
b. Minimum percentage of customer segregated funds required:% ; or 0
c. Dollar amount range between:and; or 0 0
d. Percentage range of customer segregated funds required between:% and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 1,000,000
b. Minimum percentage of customer secured funds required:% ; or 0
c. Dollar amount range between:and; or 0 0
d. Percentage range of customer secured funds required between:% and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: ; or 0
b. Minimum percentage of cleared swaps customer collateral required:% ; or 0
c. Dollar amount range between:and; or 0 0
d. Percentage range of cleared swaps customer collateral required between:% and%. 0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder

0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash
      12,344,411 [7315]
   B. Securities (at market)
      0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade
   -492,216 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade
      0 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade
      0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.)
   11,852,195 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount
   1,410 [7351]
Less: amount offset by customer owned securities
   0 [7352] 1,410 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity
   Method (add lines 4 and 5)
   11,853,605 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.
   11,853,605 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. Cash in banks
   A. Banks located in the United States
      1,077,000 [7500]
   B. Other banks qualified under Regulation 30.7
      667,655 [7520] 1,744,655 [7530]

2. Securities
   A. In safekeeping with banks located in the United States
      0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7
      0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash
      6,146,692 [7580]
   B. Securities
      0 [7590]
   C. Unrealized gain (loss) on open futures contracts
      0 [7600]
   D. Value of long option contracts
      0 [7610]
   E. Value of short option contracts
      0 [7615] 6,146,692 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash
      7,092,329 [7640]
   B. Securities
      0 [7650]
   C. Amount due to (from) clearing organization - daily variation
      0 [7660]
   D. Value of long option contracts
      0 [7670]
   E. Value of short option contracts
      0 [7675] 7,092,329 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash
      456,027 [7700]
   B. Securities
      0 [7710]
   C. Unrealized gain (loss) on open futures contracts
      0 [7720]
   D. Value of long option contracts
      0 [7730]
   E. Value of short option contracts
      0 [7735] 456,027 [7740]

6. Amounts with other depositories designated by a foreign board of trade
   0 [7760]

7. Segregated funds on hand
   0 [7765]

8. Total funds in separate section 30.7 accounts
   15,439,703 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)
   3,586,098 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts
    1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target
    2,586,098 [7785]
## Daily Segregation - Segregation Statement

SEGREgATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   - **Cash**: 886,425,298 [7010]
   - **Securities (at market)**: 235,142,006 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market: 79,720,298 [7030]

3. Exchange traded options
   - **A. Add market value of open option contracts purchased on a contract market**: 544,228,454 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**: -526,297,609 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3): 1,219,218,447 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount: 1,442,864 [7045]
   - Less: amount offset by customer securities: -96,394
   - **1,346,470 [7050]**

6. Amount required to be segregated (add lines 4 and 5): 1,220,564,917 [7060]

### FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   - **A. Cash**: 476,556,665 [7070]
   - **B. Securities representing investments of customers' funds (at market)**: 30,000,000 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 8,596,510 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   - **A. Cash**: 265,529,937 [7100]
   - **B. Securities representing investments of customers' funds (at market)**: 174,394,759 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 224,152,823 [7120]


10. Exchange traded options
    - **A. Value of open long option contracts**: 544,228,454 [7132]
    - **B. Value of open short option contracts**: -526,297,609 [7133]

11. Net equities with other FCMs
    - **A. Net liquidating equity**: 0 [7140]
    - **B. Securities representing investments of customers' funds (at market)**: 0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**: 0 [7170]

12. Segregated funds on hand: 2,392,673 [7150]

13. Total amount in segregation (add lines 7 through 12): 1,240,915,428 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13): 20,350,511 [7190]

15. Management Target Amount for Excess funds in segregation: 13,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    - **Excess**: 7,350,511 [7198]
**Statement of Cleared Swaps Customer Segregation Requirements and Funds in Cleared Swaps Customer Accounts Under 4D(F) of the CEA**

Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: 0 [8560]
   - Less: amount offset by customer owned securities: 0 [8570]
     - 0 [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0 [8590]

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]