INITIAL
End Date: 6/26/2018
Firm Name: WEGBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 6/27/2018
Name of Company: WEDBUSH SECURITIES INC
Contact Name: Kevin Wirth
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FCM's Customer Segregated Funds Residual Interest Target (choose one):
a. Minimum dollar amount: $13,000,000 or
b. Minimum percentage of customer segregated funds required: 0% or
c. Dollar amount range between: $0 and $0
.d. Percentage range of customer segregated funds required between: 0% and 0%.

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):
a. Minimum dollar amount: $1,000,000 or
b. Minimum percentage of customer secured funds required: 0% or
c. Dollar amount range between: $0 and $0
.d. Percentage range of customer secured funds required between: 0% and 0%.

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
a. Minimum dollar amount: $0 or
b. Minimum percentage of cleared swaps customer collateral required: 0% or
c. Dollar amount range between: $0 and $0
.d. Percentage range of cleared swaps customer collateral required between: 0% and 0%.

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash: 11,805,626 [7315]
   - B. Securities (at market): 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: -684,722 [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade: 0 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: 11,120,904 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: 1,421 [7351]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity**
   - Method (add lines 4 and 5): 11,122,325 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.** 11,122,325 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. **Cash in banks**
   - A. Banks located in the United States: 814,210 [7500]
   - B. Other banks qualified under Regulation 30.7: 666,713 [7520] 1,480,923 [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States: 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash: 6,113,030 [7580]
   - B. Securities: 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   - D. Value of long option contracts: 0 [7610]
   - E. Value of short option contracts: 0 [7615] 6,113,030 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash: 7,064,823 [7640]
   - B. Securities: 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   - D. Value of long option contracts: 0 [7670]
   - E. Value of short option contracts: 0 [7675] 7,064,823 [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: 338,134 [7700]
   - B. Securities: 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   - D. Value of long option contracts: 0 [7730]
   - E. Value of short option contracts: 0 [7735] 338,134 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**: 0 [7760]

7. **Segregated funds on hand**: 0 [7765]

8. **Total funds in separate section 30.7 accounts**: 14,996,910 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**
   - 3,874,585 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 2,874,585 [7785]
### Daily Segregation - Segregation Statement

#### SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - **Cash** 947,157,927 [7010]
   - **Securities (at market)** 235,115,099 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market** 48,386,844 [7030]

3. **Exchange traded options**
   - **Add market value of open option contracts purchased on a contract market** 573,623,171 [7032]
   - **Deduct market value of open option contracts granted (sold) on a contract market** -563,060,587 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)** 1,241,222,454 (7040)

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount** 1,948,706 [7045]
   - **Less: amount offset by customer securities** -629,227 [7047] 1,319,479 [7050]

6. **Amount required to be segregated (add lines 4 and 5)** 1,242,541,933 [7060]

#### FUNDS IN SEREGATED ACCOUNTS

7. **Deposited in segregated funds bank accounts**
   - **Cash** 528,658,536 [7070]
   - **Securities representing investments of customers' funds (at market)** 30,000,000 [7080]
   - **Securities held for particular customers or option customers in lieu of cash (at market)** 3,556,510 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **Cash** 281,091,454 [7100]
   - **Securities representing investments of customers’ funds (at market)** 174,429,401 [7110]
   - **Securities held for particular customers or option customers in lieu of cash (at market)** 229,192,823 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets** 1,533,168 [7130]

10. **Exchange traded options**
    - **Value of open long option contracts** 573,623,171 [7132]
    - **Value of open short option contracts** -563,060,587 [7133]

11. **Net equities with other FCMs**
    - **Net liquidating equity** 0 [7140]
    - **Securities representing investments of customers’ funds (at market)** 0 [7160]
    - **Securities held for particular customers or option customers in lieu of cash (at market)** 0 [7170]

12. **Segregated funds on hand** 2,365,766 [7150]

13. **Total amount in segregation (add lines 7 through 12)** 1,261,390,242 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)** 18,848,309 [7190]

15. **Management Target Amount for Excess funds in segregation** 13,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount** 5,848,309 [7198]
### STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

**Cleared Swaps Customer Requirements**

1. **Net ledger balance**
   - A. Cash **0** [8500]
   - B. Securities (at market) **0** [8510]

2. **Net unrealized profit (loss) in open cleared swaps** **0** [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased **0** [8530]
   - B. Market value of open cleared swaps option contracts granted (sold) **0** [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)** **0** [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount** **0** [8560]
   - Less: amount offset by customer owned securities **0** [8570] **0** [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)** **0** [8590]

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash **0** [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market) **0** [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market) **0** [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash **0** [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market) **0** [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market) **0** [8650]

9. **Net settlement from (to) derivatives clearing organizations** **0** [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts **0** [8670]
    - B. Value of open cleared swaps short option contracts **0** [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity **0** [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market) **0** [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market) **0** [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash **0**
    - B. Securities representing investments of cleared swaps customers' funds (at market) **0**
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market) **0** [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)** **0** [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)** **0** [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts** **0** [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management** **0** [8770]