INITIAL
End Date: 7/2/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 7/3/2018
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Daily Segregation - Cover Page

Name of Company

WEDBUSH SECURITIES INC

Contact Name

Kevin Wirth

Contact Phone Number

312-784-0475

Contact Email Address

kevin.wirth@wedbush.com

FCM’s Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

13,000,000

b. Minimum percentage of customer segregated funds required:% ; or

c. Dollar amount range between:and; or

0 0

d. Percentage range of customer segregated funds required between:% and%.

0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

1,000,000

b. Minimum percentage of customer secured funds required:% ; or

0 0

c. Dollar amount range between:and; or

0 0

d. Percentage range of customer secured funds required between:% and%.

0 0

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: ; or

0

b. Minimum percentage of cleared swaps customer collateral required:% ; or

0 0

c. Dollar amount range between:and; or

0 0

d. Percentage range of cleared swaps customer collateral required between:% and%.

0 0

Attach supporting documents
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### Daily Segregation - Secured Amounts

- **Foreign Futures and Foreign Options Secured Amounts**
  - Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

- **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
  - A. Cash: 10,338,502 [7315]
  - B. Securities (at market): 0 [7317]

- **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: 978,291 [7325]

- **Exchange traded options**
  - a. Market value of open option contracts purchased on a foreign board of trade: 0 [7335]
  - b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

- **Net equity (deficit) (add lines 1. 2. and 3.)**: 11,316,793 [7345]

- **Account liquidating to a deficit and account with a debit balances - gross amount**
  - Less: amount offset by customer owned securities: 0 [7352] 3,414 [7354]

- **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: 11,320,207 [7355]

- **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**: 11,320,207 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States: 968,549 [7500]
   - B. Other banks qualified under Regulation 30.7: 928,313 [7520] 1,896,862 [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States: 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash: 5,728,917 [7580]
   - B. Securities: 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   - D. Value of long option contracts: 0 [7610]
   - E. Value of short option contracts: 0 [7615] 5,728,917 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash: 6,933,682 [7640]
   - B. Securities: 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   - D. Value of long option contracts: 0 [7670]
   - E. Value of short option contracts: 0 [7675] 6,933,682 [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: 688,310 [7700]
   - B. Securities: 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   - D. Value of long option contracts: 0 [7730]
   - E. Value of short option contracts: 0 [7735] 688,310 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**: 0 [7760]

7. **Segregated funds on hand**: 0 [7765]

8. **Total funds in separate section 30.7 accounts**: 15,247,771 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: 3,927,564 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 2,927,564 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS** (Section 4d(2) of the CEA Act)

1. **Net ledger balance**
   - **A. Cash**
     - 959,732,026 [7010]
   - **B. Securities (at market)**
     - 235,380,939 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - -6,662,696 [7030]

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**
     - 778,984,286 [7032]
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**
     - -738,915,525 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - 1,228,519,030 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - 3,224,389 [7045]
   - **Less: amount offset by customer securities**
     - -1,878,464 [7047] 1,345,925 [7050]
   - 1,229,864,955 [7060]

### FUNDS IN SEGREGATED ACCOUNTS

7. **Deposited in segregated funds bank accounts**
   - **A. Cash**
     - 510,892,370 [7070]
   - **B. Securities representing investments of customers' funds (at market)**
     - 30,000,000 [7080]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
     - 2,664,533 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash**
     - 330,218,058 [7100]
   - **B. Securities representing investments of customers' funds (at market)**
     - 154,336,153 [7110]
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
     - 230,411,898 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - -45,983,409 [7130]

10. **Exchange traded options**
    - **A. Value of open long option contracts**
      - 778,984,286 [7132]
    - **B. Value of open short option contracts**
      - -738,915,525 [7133]

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**
      - 0 [7140]
    - **B. Securities representing investments of customers' funds (at market)**
      - 0 [7160]
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**
      - 0 [7170]

12. **Segregated funds on hand**
    - 2,304,508 [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - 1,254,912,872 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - 25,047,917 [7190]

15. **Management Target Amount for Excess funds in segregation**
    - 13,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess**
      - 12,047,917 [7198]
### Daily Segregation - Swaps Statement

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

**Cleared Swaps Customer Requirements**

1. Net ledger balance
   - A. Cash
   - B. Securities (at market)

2. Net unrealized profit (loss) in open cleared swaps

3. Cleared swaps options
   - A. Market value of open cleared swaps option contracts purchased
   - B. Market value of open cleared swaps option contracts granted (sold)

4. Net Equity (deficit) (add lines 1, 2, and 3)

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   - Less: amount offset by customer owned securities

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)
   - Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   - A. Cash
   - B. Securities representing investments of cleared swaps customers' funds (at market)
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market)

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   - A. Cash
   - B. Securities representing investments of cleared swaps customers' funds (at market)
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market)

9. Net settlement from (to) derivatives clearing organizations

10. Cleared swaps options
    - A. Value of open cleared swaps long option contracts
    - B. Value of open cleared swaps short option contracts

11. Net equities with other FCMs
    - A. Net liquidating equity
    - B. Securities representing investments of cleared swaps customers' funds (at market)
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market)

12. Cleared swaps customer funds on hand
    - A. Cash
    - B. Securities representing investments of cleared swaps customers' funds (at market)
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market)

13. Total amount in cleared swaps customer segregation (add lines 7 through 12)

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)

15. Management Target Amount for Excess funds in cleared swaps segregated accounts

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management