INITIAL
End Date: 7/3/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 7/5/2018
Name of Company: WEDBUSH SECURITIES INC

Contact Name: Kevin Wirth

Contact Phone Number: 312-784-0475

Contact Email Address: kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: $13,000,000
   b. Minimum percentage of customer segregated funds required: 0%
   c. Dollar amount range between: 0 and 0
   d. Percentage range of customer segregated funds required between: 0% and 0%

FCM's Customer Secure Amount Funds Residual Interest Target (choose one):
   a. Minimum dollar amount: $1,000,000
   b. Minimum percentage of customer secured funds required: 0%
   c. Dollar amount range between: 0 and 0
   d. Percentage range of customer secured funds required between: 0% and 0%

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):
   a. Minimum dollar amount: $0
   b. Minimum percentage of cleared swaps customer collateral required: 0%
   c. Dollar amount range between: 0 and 0
   d. Percentage range of cleared swaps customer collateral required between: 0% and 0%

Attach supporting documents
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**Daily Segregation - Secured Amounts**

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 11,254,340 [7315]
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 728,905 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 0 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 11,983,245 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 1,820 [7351]
   Less: amount offset by customer owned securities 0 [7352] 1,820 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 11,985,065 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 11,985,065 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. Cash in banks
   A. Banks located in the United States 1,610,337 [7500]
   B. Other banks qualified under Regulation 30.7 930,431 [7520] 2,540,768 [7530]

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash 5,755,337 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 5,755,337 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 6,923,318 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 6,923,318 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash 721,812 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 0 [7730]
   E. Value of short option contracts 0 [7735] 721,812 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 15,941,235 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 3,956,170 [7780]

10. Excess (deficiency) Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 2,956,170 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS (Section 4d(2) of the CEA)**

1. **Net ledger balance**
   - A. Cash: \(949,024,122 \text{ [7010]}\)
   - B. Securities (at market): \(235,395,831 \text{ [7020]}\)

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - \(-2,642,118 \text{ [7030]}\)

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: \(772,089,629 \text{ [7032]}\)
   - B. Deduct market value of open option contracts granted (sold) on a contract market: \(-735,240,461 \text{ [7033]}\)

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - \(1,218,627,003 \text{ [7040]}\)

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - \(1,440,474 \text{ [7045]}\)
   - Less: amount offset by customer securities: \(-92,594 \text{ [7047]} \text{ [1,347,880] [7050]}\)

6. **Amount required to be segregated (add lines 4 and 5)**
   - \(1,219,974,883 \text{ [7060]}\)

#### FUNDS IN SEGREGATED ACCOUNTS

7. **Deposited in segregated funds bank accounts**
   - A. Cash: \(468,279,989 \text{ [7070]}\)
   - B. Securities representing investments of customers’ funds (at market): \(30,000,000 \text{ [7080]}\)
   - C. Securities held for particular customers or option customers in lieu of cash (at market): \(2,651,269 \text{ [7090]}\)

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: \(295,807,678 \text{ [7100]}\)
   - B. Securities representing investments of customers’ funds (at market): \(174,260,595 \text{ [7110]}\)
   - C. Securities held for particular customers or option customers in lieu of cash (at market): \(230,411,898 \text{ [7120]}\)

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - \(4,995,221 \text{ [7130]}\)

10. **Exchange traded options**
    - A. Value of open long option contracts: \(772,089,629 \text{ [7132]}\)
    - B. Value of open short option contracts: \(-735,240,461 \text{ [7133]}\)

11. **Net equities with other FCMs**
    - A. Net liquidating equity: \(0 \text{ [7140]}\)
    - B. Securities representing investments of customers’ funds (at market): \(0 \text{ [7160]}\)
    - C. Securities held for particular customers or option customers in lieu of cash (at market): \(0 \text{ [7170]}\)

12. **Segregated funds on hand**
    - \(2,332,664 \text{ [7150]}\)

13. **Total amount in segregation (add lines 7 through 12)**
    - \(1,245,588,482 \text{ [7180]}\)

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - \(25,613,599 \text{ [7190]}\)

15. **Management Target Amount for Excess funds in segregation**
    - \(13,000,000 \text{ [7194]}\)

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess: \(12,613,599 \text{ [7198]}\)
## Daily Segregation - Swaps Statement

**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

### Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities: 0 [8560] 0 [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0 [8590]

### Funds in Cleared Swaps Customer Segregated Accounts

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]