INITIAL
End Date: 7/4/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 7/5/2018
WEDBUSH SECURITIES INC

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FCM’s Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or
  b. Minimum percentage of customer segregated funds required: % ; or
  c. Dollar amount range between:and; or
  d. Percentage range of customer segregated funds required between: % and%.

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or
  b. Minimum percentage of customer secured funds required: % ; or
  c. Dollar amount range between:and; or
  d. Percentage range of customer secured funds required between: % and%.

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or
  b. Minimum percentage of cleared swaps customer collateral required: % ; or
  c. Dollar amount range between:and; or
  d. Percentage range of cleared swaps customer collateral required between: % and%.

Attach supporting documents
## Daily Segregation - Secured Amounts

### Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - A. Cash: 11,245,204 [7315]
   - B. Securities (at market): 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: 790,871 [7325]

3. **Exchange traded options**
   - a. Market value of open option contracts purchased on a foreign board of trade: 0 [7335]
   - b. Market value of open contracts granted (sold) on a foreign board of trade: 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: 12,036,075 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: 1,823 [7351]
   - Less: amount offset by customer owned securities: 0 [7352] 1,823 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity**
   - Method (add lines 4 and 5): 12,037,898 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**: 12,037,898 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - A. Banks located in the United States: 1,610,337 [7500]
   - B. Other banks qualified under Regulation 30.7: 931,841 [7520] 2,542,178 [7530]

2. **Securities**
   - A. In safekeeping with banks located in the United States: 0 [7540]
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - A. Cash: 5,782,295 [7580]
   - B. Securities: 0 [7590]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7600]
   - D. Value of long option contracts: 0 [7610]
   - E. Value of short option contracts: 0 [7615] 5,782,295 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - A. Cash: 6,960,707 [7640]
   - B. Securities: 0 [7650]
   - C. Amount due to (from) clearing organization - daily variation: 0 [7660]
   - D. Value of long option contracts: 0 [7670]
   - E. Value of short option contracts: 0 [7675] 6,960,707 [7680]

5. **Amounts held by members of foreign boards of trade**
   - A. Cash: 711,518 [7700]
   - B. Securities: 0 [7710]
   - C. Unrealized gain (loss) on open futures contracts: 0 [7720]
   - D. Value of long option contracts: 0 [7730]
   - E. Value of short option contracts: 0 [7735] 711,518 [7740]

6. **Amounts with other depositaries designated by a foreign board of trade**: 0 [7760]

7. **Segregated funds on hand**: 0 [7765]

8. **Total funds in separate section 30.7 accounts**: 15,996,698 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount**
    - (subtract line 7 Secured Statement Page 1 from Line 8): 3,958,800 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 2,958,800 [7785]
SEGREGATION REQUIREMENTS (Section 4d(2) of the CEA-title)

1. Net ledger balance
   A. Cash  \(947,499,564\) [7010]
   B. Securities (at market)  \(235,395,831\) [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market  \(-532,444\) [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market  \(774,155,059\) [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market  \(-737,029,944\) [7033]

4. Net equity (deficit) (add lines 1, 2 and 3)  \(1,219,485,066\) [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount  \(1,440,473\) [7045]
   Less: amount offset by customer securities  \(-92,594\) [7047]  \(1,347,879\) [7050]

6. Amount required to be segregated (add lines 4 and 5)  \(1,220,835,945\) [7060]

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   A. Cash  \(468,279,989\) [7070]
   B. Securities representing investments of customers’ funds (at market)  \(30,000,000\) [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market)  \(2,651,269\) [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash  \(301,910,126\) [7100]
   B. Securities representing investments of customers’ funds (at market)  \(174,260,595\) [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market)  \(230,411,898\) [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets  \(-475,915\) [7130]

10. Exchange traded options
    A. Value of open long option contracts  \(774,155,059\) [7132]
    B. Value of open short option contracts  \(-737,029,944\) [7133]

11. Net equities with other FCMs
    A. Net liquidating equity  \(0\) [7140]
    B. Securities representing investments of customers’ funds (at market)  \(0\) [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market)  \(0\) [7170]

12. Segregated funds on hand  \(2,332,664\) [7150]

13. Total amount in segregation (add lines 7 through 12)  \(1,246,495,741\) [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)  \(25,659,796\) [7190]

15. Management Target Amount for Excess funds in segregation  \(13,000,000\) [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    Excess  \(12,659,796\) [7198]
**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

**Cleared Swaps Customer Requirements**

1. **Net ledger balance**
   - A. Cash: 0 [8500]
   - B. Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   - A. Market value of open cleared swaps option contracts purchased: 0 [8530]
   - B. Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: 0 [8560]
   - Less: amount offset by customer owned securities: 0 [8570] 0 [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0 [8590]

**Funds in Cleared Swaps Customer Segregated Accounts**

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - A. Cash: 0 [8600]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - A. Cash: 0 [8630]
   - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]

10. **Cleared swaps options**
    - A. Value of open cleared swaps long option contracts: 0 [8670]
    - B. Value of open cleared swaps short option contracts: 0 [8680]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [8690]
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. **Cleared swaps customer funds on hand**
    - A. Cash: 0
    - B. Securities representing investments of cleared swaps customers' funds (at market): 0
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market): 0 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]