INITIAL
End Date: 7/5/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 7/6/2018

Daily Segregation - Cover Page

Name of Company: WEDBUSH SECURITIES INC
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FCM's Customer Segregated Funds Residual Interest Target (choose one):
- a. Minimum dollar amount: ; or 13,000,000
  b. Minimum percentage of customer segregated funds required: %; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
- a. Minimum dollar amount: ; or 1,000,000
  b. Minimum percentage of customer secured funds required: %; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of customer secured funds required between: % and%. 0 0

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
- a. Minimum dollar amount: ; or 0
  b. Minimum percentage of cleared swaps customer collateral required: %; or 0
  c. Dollar amount range between: and; or 0 0
  d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
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**Daily Segregation - Secured Amounts**

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 10,532,242 [7315]
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 886,703 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 0 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1, 2. and 3.) 11,418,945 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 77,460 [7351]
   Less: amount offset by customer owned securities 0 [7352] 77,460 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 11,496,405 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6 11,496,405 [7360]

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. Cash in banks
   A. Banks located in the United States 1,039,847 [7500]
   B. Other banks qualified under Regulation 30.7 932,207 [7520] 1,972,054 [7530]

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash 5,760,041 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 5,760,041 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 7,067,888 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 7,067,888 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash 605,733 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 0 [7730]
   E. Value of short option contracts 0 [7735] 605,733 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 15,405,716 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 3,909,311 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 2,909,311 [7785]
### Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEA Act)

1. **Net ledger balance**
   - A. Cash: 951,605,540 [7010]
   - B. Securities (at market): 234,411,935 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - -5,481,970 [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: 769,911,307 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -728,944,667 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - 1,221,502,145 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - 1,442,713 [7045]

6. **Amount required to be segregated (add lines 4 and 5)**
   - 1,222,850,466 [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - A. Cash: 395,529,904 [7070]
   - B. Securities representing investments of customers’ funds (at market): 30,000,000 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 4,664,533 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: 445,542,133 [7100]
   - B. Securities representing investments of customers’ funds (at market): 104,286,084 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 227,405,896 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - -6,979,567 [7130]

10. **Exchange traded options**
    - A. Value of open long option contracts: 769,911,307 [7132]
    - B. Value of open short option contracts: -728,944,667 [7133]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 0 [7140]
    - B. Securities representing investments of customers’ funds (at market): 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 0 [7170]

12. **Segregated funds on hand**
    - 2,341,506 [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - 1,243,757,129 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - 20,906,663 [7190]

15. **Management Target Amount for Excess funds in segregation**
    - 13,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess: 7,906,663 [7198]
## Daily Segregation - Swaps Statement

### STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

**Cleared Swaps Customer Requirements**

1. Net ledger balance
   - A. Cash
   - B. Securities (at market)

2. Net unrealized profit (loss) in open cleared swaps

3. Cleared swaps options
   - A. Market value of open cleared swaps option contracts purchased
   - B. Market value of open cleared swaps option contracts granted (sold)

4. Net Equity (deficit) (add lines 1, 2, and 3)

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount
   - Less: amount offset by customer owned securities

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)

**Funds in Cleared Swaps Customer Segregated Accounts**

7. Deposited in cleared swaps customer segregated accounts at banks
   - A. Cash
   - B. Securities representing investments of cleared swaps customers' funds (at market)
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market)

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   - A. Cash
   - B. Securities representing investments of cleared swaps customers' funds (at market)
   - C. Securities held for particular cleared swaps customers in lieu of cash (at market)

9. Net settlement from (to) derivatives clearing organizations

10. Cleared swaps options
    - A. Value of open cleared swaps long option contracts
    - B. Value of open cleared swaps short option contracts

11. Net equities with other FCMs
    - A. Net liquidating equity
    - B. Securities representing investments of cleared swaps customers' funds (at market)
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market)

12. Cleared swaps customer funds on hand
    - A. Cash
    - B. Securities representing investments of cleared swaps customers' funds (at market)
    - C. Securities held for particular cleared swaps customers in lieu of cash (at market)

13. Total amount in cleared swaps customer segregation (add lines 7 through 12)

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)

15. Management Target Amount for Excess funds in cleared swaps segregated accounts

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management