INITIAL
End Date: 7/17/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 7/18/2018
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Daily Segregation - Cover Page

Name of Company  
WEDBUSH SECURITIES INC

Contact Name  
Kevin Wirth

Contact Phone Number  
312-784-0475

Contact Email Address  
kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):  
a. Minimum dollar amount: $ ; or $13,000,000  
b. Minimum percentage of customer segregated funds required: % ; or 0%  
c. Dollar amount range between: and ; or 0 0  
d. Percentage range of customer segregated funds required between: % and %. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):  
a. Minimum dollar amount: $ ; or $1,000,000  
b. Minimum percentage of customer secured funds required: % ; or 0%  
c. Dollar amount range between: and ; or 0 0  
d. Percentage range of customer secured funds required between: % and %. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):  
a. Minimum dollar amount: $ ; or 0  
b. Minimum percentage of cleared swaps customer collateral required: % ; or 0%  
c. Dollar amount range between: and ; or 0 0  
d. Percentage range of cleared swaps customer collateral required between: % and %. 0 0

Attach supporting documents
INITIAL
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Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts
Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder 0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   A. Cash 9,939,634 [7315]
   B. Securities (at market) 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 910,139 [7325]

3. Exchange traded options
   a. Market value of open option contracts purchased on a foreign board of trade 0 [7335]
   b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]

4. Net equity (deficit) (add lines 1. 2. and 3.) 10,849,773 [7345]

5. Account liquidating to a deficit and account with a debit balances - gross amount 1,603 [7351]
Less: amount offset by customer owned securities 0 [7352] 1,603 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 10,851,376 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 10,851,376 [7360]

Funds Deposited in Separate Regulation 30.7 Accounts

1. Cash in banks
   A. Banks located in the United States 394,618 [7500]
   B. Other banks qualified under Regulation 30.7 715,747 [7520] 1,110,365 [7530]

2. Securities
   A. In safekeeping with banks located in the United States 0 [7540]
   B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   A. Cash 5,703,753 [7580]
   B. Securities 0 [7590]
   C. Unrealized gain (loss) on open futures contracts 0 [7600]
   D. Value of long option contracts 0 [7610]
   E. Value of short option contracts 0 [7615] 5,703,753 [7620]

4. Amounts held by clearing organizations of foreign boards of trade
   A. Cash 7,175,810 [7640]
   B. Securities 0 [7650]
   C. Amount due to (from) clearing organization - daily variation 0 [7660]
   D. Value of long option contracts 0 [7670]
   E. Value of short option contracts 0 [7675] 7,175,810 [7680]

5. Amounts held by members of foreign boards of trade
   A. Cash 442,704 [7700]
   B. Securities 0 [7710]
   C. Unrealized gain (loss) on open futures contracts 0 [7720]
   D. Value of long option contracts 0 [7730]
   E. Value of short option contracts 0 [7735] 442,704 [7740]

6. Amounts with other depositories designated by a foreign board of trade 0 [7760]

7. Segregated funds on hand 0 [7765]

8. Total funds in separate section 30.7 accounts 14,432,632 [7770]

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 3,581,256 [7780]

10. Management Target Amount for Excess funds in separate section 30.7 accounts 1,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 2,581,256 [7785]
<table>
<thead>
<tr>
<th>SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>1. Net ledger balance</strong></td>
<td></td>
</tr>
<tr>
<td>A. Cash</td>
<td>$944,861,813 (7010)</td>
</tr>
<tr>
<td>B. Securities (at market)</td>
<td>$240,337,552 (7020)</td>
</tr>
<tr>
<td><strong>2. Net unrealized profit (loss) in open futures contracts traded on a contract market</strong></td>
<td>$156,422,275 (7030)</td>
</tr>
<tr>
<td><strong>3. Exchange traded options</strong></td>
<td></td>
</tr>
<tr>
<td>A. Add market value of open option contracts purchased on a contract market</td>
<td>$773,408,270 (7032)</td>
</tr>
<tr>
<td>B. Deduct market value of open option contracts granted (sold) on a contract market</td>
<td>-$718,588,177 (7033)</td>
</tr>
<tr>
<td><strong>4. Net equity (deficit) (add lines 1, 2 and 3)</strong></td>
<td>$1,396,441,733 (7040)</td>
</tr>
<tr>
<td><strong>5. Accounts liquidating to a deficit and accounts with debit balances - gross amount</strong></td>
<td>$1,416,319 (7045)</td>
</tr>
<tr>
<td>Less: amount offset by customer securities</td>
<td>-$99,774 (7047) $1,316,545 (7050)</td>
</tr>
<tr>
<td><strong>6. Amount required to be segregated (add lines 4 and 5)</strong></td>
<td>$1,397,758,278 (7060)</td>
</tr>
<tr>
<td><strong>FUNDS IN SEGREGATED ACCOUNTS</strong></td>
<td></td>
</tr>
<tr>
<td><strong>7. Deposited in segregated funds bank accounts</strong></td>
<td></td>
</tr>
<tr>
<td>A. Cash</td>
<td>$451,254,659 (7070)</td>
</tr>
<tr>
<td>B. Securities representing investments of customers’ funds (at market)</td>
<td>$30,000,000 (7080)</td>
</tr>
<tr>
<td>C. Securities held for particular customers or option customers in lieu of cash (at market)</td>
<td>$4,969,755 (7090)</td>
</tr>
<tr>
<td><strong>8. Margins on deposit with derivatives clearing organizations of contract markets</strong></td>
<td></td>
</tr>
<tr>
<td>A. Cash</td>
<td>$548,457,631 (7100)</td>
</tr>
<tr>
<td>B. Securities representing investments of customers’ funds (at market)</td>
<td>$154,116,446 (7110)</td>
</tr>
<tr>
<td>C. Securities held for particular customers or option customers in lieu of cash (at market)</td>
<td>$233,091,525 (7120)</td>
</tr>
<tr>
<td><strong>9. Net settlement from (to) derivatives clearing organizations of contract markets</strong></td>
<td>-$64,956,038 (7130)</td>
</tr>
<tr>
<td><strong>10. Exchange traded options</strong></td>
<td></td>
</tr>
<tr>
<td>A. Value of open long option contracts</td>
<td>$773,408,270 (7132)</td>
</tr>
<tr>
<td>B. Value of open short option contracts</td>
<td>-$718,588,177 (7133)</td>
</tr>
<tr>
<td><strong>11. Net equities with other FCMs</strong></td>
<td></td>
</tr>
<tr>
<td>A. Net liquidating equity</td>
<td>$0 (7140)</td>
</tr>
<tr>
<td>B. Securities representing investments of customers’ funds (at market)</td>
<td>$0 (7160)</td>
</tr>
<tr>
<td>C. Securities held for particular customers or option customers in lieu of cash (at market)</td>
<td>$0 (7170)</td>
</tr>
<tr>
<td><strong>12. Segregated funds on hand</strong></td>
<td>$2,276,272 (7150)</td>
</tr>
<tr>
<td><strong>13. Total amount in segregation (add lines 7 through 12)</strong></td>
<td>$1,414,030,343 (7180)</td>
</tr>
<tr>
<td><strong>14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)</strong></td>
<td>$16,272,065 (7190)</td>
</tr>
<tr>
<td><strong>15. Management Target Amount for Excess funds in segregation</strong></td>
<td>$13,000,000 (7194)</td>
</tr>
<tr>
<td><strong>16. Excess (deficiency) funds in segregation over (under) Management Target Amount</strong></td>
<td>$3,272,065 (7198)</td>
</tr>
</tbody>
</table>
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]