INITIAL
End Date: 7/23/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 7/24/2018
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Daily Segregation - Cover Page

Name of Company: WEDBUSH SECURITIES INC
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FCM’s Customer Segregated Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or
  b. Minimum percentage of customer segregated funds required: ; or
  c. Dollar amount range between: and; or
  d. Percentage range of customer segregated funds required between: % and %.

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or
  b. Minimum percentage of customer secured funds required: ; or
  c. Dollar amount range between: and; or
  d. Percentage range of customer secured funds required between: % and %.

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
  a. Minimum dollar amount: ; or
  b. Minimum percentage of cleared swaps customer collateral required: ; or
  c. Dollar amount range between: and; or
  d. Percentage range of cleared swaps customer collateral required between: % and %.

Attach supporting documents
### Daily Segregation - Secured Amounts

#### Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

**1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**

- **A. Cash**
  - 9,959,839 [7315]
- **B. Securities (at market)**
  - 0 [7317]

**2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**

- 788,460 [7325]

**3. Exchange traded options**

  a. **Market value of open option contracts purchased on a foreign board of trade**
  - 0 [7335]
  b. **Market value of open contracts granted (sold) on a foreign board of trade**
  - 0 [7337]

**4. Net equity (deficit) (add lines 1. 2. and 3.)**

- 10,748,299 [7355]

**5. Account liquidating to a deficit and account with a debit balances - gross amount**

- 2,607 [7351]
  Less: amount offset by customer owned securities
  - 2,607 [7354]

**6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**

- 10,750,906 [7355]

**7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**

- 10,750,906 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

**1. Cash in banks**

- A. Banks located in the United States
  - 323,347 [7500]
- B. Other banks qualified under Regulation 30.7
  - 718,313 [7520] 1,041,660 [7530]

**2. Securities**

- A. In safekeeping with banks located in the United States
  - 0 [7540]
- B. In safekeeping with other banks qualified under Regulation 30.7
  - 0 [7560] 0 [7570]

**3. Equities with registered futures commission merchants**

- A. Cash
  - 5,404,818 [7580]
- B. Securities
  - 0 [7590]
- C. Unrealized gain (loss) on open futures contracts
  - 0 [7600]
- D. Value of long option contracts
  - 0 [7610]
- E. Value of short option contracts
  - 0 [7615] 5,404,818 [7620]

**4. Amounts held by clearing organizations of foreign boards of trade**

- A. Cash
  - 7,129,756 [7640]
- B. Securities
  - 0 [7650]
- C. Amount due to (from) clearing organization - daily variation
  - 0 [7660]
- D. Value of long option contracts
  - 0 [7670]
- E. Value of short option contracts
  - 0 [7675] 7,129,756 [7680]

**5. Amounts held by members of foreign boards of trade**

- A. Cash
  - 1,329,894 [7700]
- B. Securities
  - 0 [7710]
- C. Unrealized gain (loss) on open futures contracts
  - 0 [7720]
- D. Value of long option contracts
  - 0 [7730]
- E. Value of short option contracts
  - 0 [7735] 1,329,894 [7740]

**6. Amounts with other depositories designated by a foreign board of trade**

- 0 [7760]

**7. Segregated funds on hand**

- 0 [7765]

**8. Total funds in separate section 30.7 accounts**

- 14,906,128 [7770]

**9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**

- 4,155,222 [7780]

**10. Management Target Amount for Excess funds in separate section 30.7 accounts**

- 1,000,000 [7780]

**11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**

- 3,155,222 [7785]
**Daily Segregation - Segregation Statement**

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - A. **Cash** \(1,041,481,296\) [7010]
   - B. **Securities (at market)** \(240,915,481\) [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market** \(33,306,822\) [7030]

3. **Exchange traded options**
   - A. **Add market value of open option contracts purchased on a contract market** \(728,637,562\) [7032]
   - B. **Deduct market value of open option contracts granted (sold) on a contract market** \(-720,622,419\) [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)** \(1,323,718,742\) [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount** \(1,528,715\) [7045]
   - Less: amount offset by customer securities \(-185,389\) [7047] \(1,343,326\) [7050]

6. **Amount required to be segregated (add lines 4 and 5)** \(1,325,062,068\) [7060]

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - A. **Cash** \(488,747,627\) [7070]
   - B. **Securities representing investments of customers' funds (at market)** \(30,000,000\) [7080]
   - C. **Securities held for particular customers or option customers in lieu of cash (at market)** \(2,719,755\) [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. **Cash** \(501,634,022\) [7100]
   - B. **Securities representing investments of customers' funds (at market)** \(174,114,924\) [7110]
   - C. **Securities held for particular customers or option customers in lieu of cash (at market)** \(235,939,350\) [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets** \(-101,632,084\) [7130]

10. **Exchange traded options**
    - A. **Value of open long option contracts** \(728,637,562\) [7132]
    - B. **Value of open short option contracts** \(-720,622,419\) [7133]

11. **Net equities with other FCMs**
    - A. **Net liquidating equity** \(0\) [7140]
    - B. **Securities representing investments of customers' funds (at market)** \(0\) [7160]
    - C. **Securities held for particular customers or option customers in lieu of cash (at market)** \(0\) [7170]

12. **Segregated funds on hand** \(2,256,376\) [7150]

13. **Total amount in segregation (add lines 7 through 12)** \(1,341,795,113\) [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)** \(16,733,045\) [7190]

15. **Management Target Amount for Excess funds in segregation** \(13,000,000\) [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess** \(3,733,045\) [7198]
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management 0 [8770]