INITIAL
End Date: 7/24/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 7/25/2018
Name of Company

WEDBUSH SECURITIES INC

Contact Name

Kevin Wirth

Contact Phone Number

312-784-0475

Contact Email Address

kevin.wirth@wedbush.com

FCM's Customer Segregated Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or 13,000,000
b. Minimum percentage of customer segregated funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer segregated funds required between: % and%. 0 0

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

a. Minimum dollar amount: ; or 1,000,000
b. Minimum percentage of customer secured funds required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of customer secured funds required between: % and%. 0 0

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

a. Minimum dollar amount: ; or 0
b. Minimum percentage of cleared swaps customer collateral required: % ; or 0
   c. Dollar amount range between: and; or 0 0
   d. Percentage range of cleared swaps customer collateral required between: % and%. 0 0

Attach supporting documents
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **A. Cash**: 9,891,135 [7315]
   - **B. Securities (at market)**: 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: 780,595 [7325]

3. **Exchange traded options**
   - **a. Market value of open option contracts purchased on a foreign board of trade**: 0 [7335]
   - **b. Market value of open contracts granted (sold) on a foreign board of trade**: 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: 10,671,730 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: 12,310 [7351]
   - **Less: amount offset by customer owned securities**: 0 [7352] 12,310 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: 10,684,040 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**: 10,684,040 [7360]

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### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - **A. Banks located in the United States**: 409,176 [7500]
   - **B. Other banks qualified under Regulation 30.7**: 718,582 [7520] 1,127,758 [7530]

2. **Securities**
   - **A. In safekeeping with banks located in the United States**: 0 [7540]
   - **B. In safekeeping with other banks qualified under Regulation 30.7**: 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - **A. Cash**: 5,413,452 [7580]
   - **B. Securities**: 0 [7590]
   - **C. Unrealized gain (loss) on open futures contracts**: 0 [7600]
   - **D. Value of long option contracts**: 0 [7610]
   - **E. Value of short option contracts**: 0 [7615] 5,413,452 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - **A. Cash**: 7,118,237 [7640]
   - **B. Securities**: 0 [7650]
   - **C. Amount due to (from) clearing organization - daily variation**: 0 [7660]
   - **D. Value of long option contracts**: 0 [7670]
   - **E. Value of short option contracts**: 0 [7675] 7,118,237 [7680]

5. **Amounts held by members of foreign boards of trade**
   - **A. Cash**: 1,178,906 [7700]
   - **B. Securities**: 0 [7710]
   - **C. Unrealized gain (loss) on open futures contracts**: 0 [7720]
   - **D. Value of long option contracts**: 0 [7730]
   - **E. Value of short option contracts**: 0 [7735] 1,178,906 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**: 0 [7760]

7. **Segregated funds on hand**: 0 [7765]

8. **Total funds in separate section 30.7 accounts**: 14,838,353 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: 4,154,313 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 3,154,313 [7785]
<table>
<thead>
<tr>
<th>1. Net ledger balance</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Cash</td>
<td>1,040,500,965</td>
</tr>
<tr>
<td>B. Securities (at market)</td>
<td>240,925,596</td>
</tr>
</tbody>
</table>

| 2. Net unrealized profit (loss) in open futures contracts traded on a contract market | 4,650,674 |

<table>
<thead>
<tr>
<th>3. Exchange traded options</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Add market value of open option contracts purchased on a contract market</td>
<td>719,582,392</td>
</tr>
<tr>
<td>B. Deduct market value of open option contracts granted (sold) on a contract market</td>
<td>-709,985,976</td>
</tr>
</tbody>
</table>

| 4. Net equity (deficit) (add lines 1, 2 and 3) | 1,295,673,651 |

| 5. Accounts liquidating to a deficit and accounts with debit balances - gross amount | 1,452,952 |
| Less: amount offset by customer securities | -100,734 |
| 1,352,218 |

| 6. Amount required to be segregated (add lines 4 and 5) | 1,297,025,869 |

<table>
<thead>
<tr>
<th>7. Deposited in segregated funds bank accounts</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Cash</td>
<td>450,424,628</td>
</tr>
<tr>
<td>B. Securities representing investments of customers' funds (at market)</td>
<td>30,000,000</td>
</tr>
<tr>
<td>C. Securities held for particular customers or option customers in lieu of cash (at market)</td>
<td>2,719,755</td>
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</tbody>
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<thead>
<tr>
<th>8. Margins on deposit with derivatives clearing organizations of contract markets</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Cash</td>
<td>432,944,811</td>
</tr>
<tr>
<td>B. Securities representing investments of customers’ funds (at market)</td>
<td>174,120,361</td>
</tr>
<tr>
<td>C. Securities held for particular customers or option customers in lieu of cash (at market)</td>
<td>235,939,350</td>
</tr>
</tbody>
</table>

| 9. Net settlement from (to) derivatives clearing organizations of contract markets | -24,154,596 |

<table>
<thead>
<tr>
<th>10. Exchange traded options</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Value of open long option contracts</td>
<td>719,582,392</td>
</tr>
<tr>
<td>B. Value of open short option contracts</td>
<td>-709,985,976</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>11. Net equities with other FCMs</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Net liquidating equity</td>
<td>0</td>
</tr>
<tr>
<td>B. Securities representing investments of customers’ funds (at market)</td>
<td>0</td>
</tr>
<tr>
<td>C. Securities held for particular customers or option customers in lieu of cash (at market)</td>
<td>0</td>
</tr>
</tbody>
</table>

| 12. Segregated funds on hand | 2,266,491 |

| 13. Total amount in segregation (add lines 7 through 12) | 1,313,857,216 |

| 14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) | 16,831,347 |

| 15. Management Target Amount for Excess funds in segregation | 13,000,000 |

| 16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess | 3,831,347 |
STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance
   A. Cash 0 [8500]
   B. Securities (at market) 0 [8510]

2. Net unrealized profit (loss) in open cleared swaps 0 [8520]

3. Cleared swaps options
   A. Market value of open cleared swaps option contracts purchased 0 [8530]
   B. Market value of open cleared swaps option contracts granted (sold) 0 [8540]

4. Net Equity (deficit) (add lines 1, 2, and 3) 0 [8550]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 0 [8560]
   Less: amount offset by customer owned securities 0 [8570] 0 [8580]

6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5) 0 [8590]

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks
   A. Cash 0 [8600]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8610]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8620]

8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
   A. Cash 0 [8630]
   B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8640]
   C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8650]

9. Net settlement from (to) derivatives clearing organizations 0 [8660]

10. Cleared swaps options
    A. Value of open cleared swaps long option contracts 0 [8670]
    B. Value of open cleared swaps short option contracts 0 [8680]

11. Net equities with other FCMs
    A. Net liquidating equity 0 [8690]
    B. Securities representing investments of cleared swaps customers' funds (at market) 0 [8700]
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8710]

12. Cleared swaps customer funds on hand
    A. Cash 0
    B. Securities representing investments of cleared swaps customers' funds (at market) 0
    C. Securities held for particular cleared swaps customers in lieu of cash (at market) 0 [8715]

13. Total amount in cleared swaps customer segregation (add lines 7 through 12) 0 [8720]

14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) 0 [8730]

15. Management Target Amount for Excess funds in cleared swaps segregated accounts 0 [8760]

16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management