INITIAL
End Date: 7/26/2018
Firm Name: WEDBUSH SECURITIES INC
Form: Daily Seg - FOCUS II
Submit Date: 7/27/2018
WEDBUSH SECURITIES INC

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FCM’s Customer Segregated Funds Residual Interest Target (choose one):
- Minimum dollar amount: $13,000,000
- Minimum percentage of customer segregated funds required: 0%
- Dollar amount range between: 0
- Percentage range of customer segregated funds required between: 0% and 0%

FCM’s Customer Secured Amount Funds Residual Interest Target (choose one):
- Minimum dollar amount: $1,000,000
- Minimum percentage of customer secured funds required: 0%
- Dollar amount range between: 0
- Percentage range of customer secured funds required between: 0% and 0%

FCM’s Cleared Swaps Customer Collateral Residual Interest Target (choose one):
- Minimum dollar amount: 0
- Minimum percentage of cleared swaps customer collateral required: 0%
- Dollar amount range between: 0
- Percentage range of cleared swaps customer collateral required between: 0% and 0%
### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **A. Cash**: 10,115,595 [7315]
   - **B. Securities (at market)**: 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: 779,711 [7325]

3. **Exchange traded options**
   - **a. Market value of open option contracts purchased on a foreign board of trade**: 0 [7335]
   - **b. Market value of open contracts granted (sold) on a foreign board of trade**: 0 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: 10,895,306 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: 1,889 [7351]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)**: 10,897,195 [7355]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**: 10,897,195 [7360]

#### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - **A. Banks located in the United States**: 751,235 [7500]
   - **B. Other banks qualified under Regulation 30.7**: 1,218,284 [7520] 1,969,519 [7530]

2. **Securities**
   - **A. In safekeeping with banks located in the United States**: 0 [7540]
   - **B. In safekeeping with other banks qualified under Regulation 30.7**: 0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - **A. Cash**: 5,477,400 [7580]
   - **B. Securities**: 0 [7590]
   - **C. Unrealized gain (loss) on open futures contracts**: 0 [7600]
   - **D. Value of long option contracts**: 0 [7610]
   - **E. Value of short option contracts**: 0 [7615] 5,477,400 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - **A. Cash**: 6,716,729 [7640]
   - **B. Securities**: 0 [7650]
   - **C. Amount due to (from) clearing organization - daily variation**: 0 [7660]
   - **D. Value of long option contracts**: 0 [7670]
   - **E. Value of short option contracts**: 0 [7675] 6,716,729 [7680]

5. **Amounts held by members of foreign boards of trade**
   - **A. Cash**: 598,218 [7700]
   - **B. Securities**: 0 [7710]
   - **C. Unrealized gain (loss) on open futures contracts**: 0 [7720]
   - **D. Value of long option contracts**: 0 [7730]
   - **E. Value of short option contracts**: 0 [7735] 598,218 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**: 0 [7760]

7. **Segregated funds on hand**: 0 [7765]

8. **Total funds in separate section 30.7 accounts**: 14,761,866 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**: 3,864,671 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: 1,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 2,864,671 [7785]
### Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   - A. Cash: 1,059,289,869 [7010]
   - B. Securities (at market): 241,484,667 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market: 1,516,710 [7030]

3. Exchange traded options
   - A. Add market value of open option contracts purchased on a contract market: 719,440,703 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -726,642,072 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3): 1,295,089,877 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount: 1,525,162 [7045]
   - Less: amount offset by customer securities: -137,884 [7047]
   - 1,387,278 [7050]

6. Amount required to be segregated (add lines 4 and 5): 1,296,477,155 [7060]

7. Deposited in segregated funds bank accounts
   - A. Cash: 439,740,680 [7070]
   - B. Securities representing investments of customers’ funds (at market): 30,000,000 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 3,440,645 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   - A. Cash: 436,150,459 [7100]
   - B. Securities representing investments of customers’ funds (at market): 174,139,829 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 235,779,506 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets: -266,583 [7130]

10. Exchange traded options
    - A. Value of open long option contracts: 719,440,703 [7132]
    - B. Value of open short option contracts: -726,642,072 [7133]

11. Net equities with other FCMs
    - A. Net liquidating equity: 0 [7140]
    - B. Securities representing investments of customers’ funds (at market): 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 0 [7170]

12. Segregated funds on hand: 2,264,516 [7150]

13. Total amount in segregation (add lines 7 through 12): 1,314,047,683 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13): 17,570,528 [7190]

15. Management Target Amount for Excess funds in segregation: 13,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    - Excess: 4,570,528 [7198]
### STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

#### Cleared Swaps Customer Requirements

1. **Net ledger balance**
   - Cash: 0 [8500]
   - Securities (at market): 0 [8510]

2. **Net unrealized profit (loss) in open cleared swaps**: 0 [8520]

3. **Cleared swaps options**
   - Market value of open cleared swaps option contracts purchased: 0 [8530]
   - Market value of open cleared swaps option contracts granted (sold): 0 [8540]

4. **Net Equity (deficit) (add lines 1, 2, and 3)**: 0 [8550]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**: 0 [8560]
   - Less: amount offset by customer owned securities: 0 [8570] 0 [8580]

6. **Amount required to be segregated for cleared swaps customers (add lines 4 and 5)**: 0 [8590]

7. **Deposited in cleared swaps customer segregated accounts at banks**
   - Cash: 0 [8600]
   - Securities representing investments of cleared swaps customers' funds (at market): 0 [8610]
   - Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8620]

8. **Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts**
   - Cash: 0 [8630]
   - Securities representing investments of cleared swaps customers' funds (at market): 0 [8640]
   - Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8650]

9. **Net settlement from (to) derivatives clearing organizations**: 0 [8660]

10. **Cleared swaps options**
    - Value of open cleared swaps long option contracts: 0 [8670]
    - Value of open cleared swaps short option contracts: 0 [8680]

11. **Net equities with other FCMs**
    - Net liquidating equity: 0 [8690]
    - Securities representing investments of cleared swaps customers' funds (at market): 0 [8700]
    - Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8710]

12. **Cleared swaps customer funds on hand**
    - Cash: 0
    - Securities representing investments of cleared swaps customers' funds (at market): 0
    - Securities held for particular cleared swaps customers in lieu of cash (at market): 0 [8715]

13. **Total amount in cleared swaps customer segregation (add lines 7 through 12)**: 0 [8720]

14. **Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)**: 0 [8730]

15. **Management Target Amount for Excess funds in cleared swaps segregated accounts**: 0 [8760]

16. **Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management**: 0 [8770]